

The City of Oklahoma City

2021-2022 Second Action Year

Strategic Plan for Year 2021-2022

**Presented to the U.S. Department of Housing and Urban Development
Oklahoma City Field Office of Community Planning and Development**



Strategic Plan Submission Date: April 29, 2021



Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The City of Oklahoma City (the City) is a federal Entitlement Community under the U.S. Department of Housing and Urban Development (HUD). As such, the City receives annual formula Community Development Block Grant (CDBG), Home Investments Partnership Program (HOME), Emergency Solutions Grant (ESG) and Housing Opportunities for Persons with AIDs (HOPWA) grants. As a condition of funding, the City is required to prepare a Consolidated Plan (Plan) every five (5) years for submittal to HUD. The purpose is to provide an updated community-based analysis of current demographic, economic, and public policy trends, and reassessment of the needs of low and moderate income populations relating to housing, shelter, public service, and economic development opportunities. This analysis, along with input received during a comprehensive citizen and stakeholder consultation process, forms the foundation of the City's five (5) year strategic plan for addressing unmet community needs. Each year of the five (5) year strategy, the City must prepare an annual Action Plan to inform citizens and HUD of planned activities. At the end of each fiscal year, the City must prepare a Consolidated Annual Performance Evaluation Report (CAPER) that provides an assessment of annual performance in the context of the five (5) year Consolidated Plan and Annual Action Plan.

The CDBG program goals are to develop viable urban communities by providing safe and decent housing, a suitable living environment, and expanding economic opportunities for low and moderate income persons, Regulations require that each activity undertaken must meet one of three (3) National Objectives: Benefit people with low and moderate incomes, Aid in the prevention or elimination of slums and blight, or Meet an urgent need (such as earthquake, flood, or tornado relief). The HOME program supports the development of affordable housing, expands capacity of affordable housing developers, and leverages private sector investment.

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The Emergency Solutions Grant (ESG) program provides funding to: (1) engage homeless individuals and families living on the street; (2) improve the number and quality of emergency shelters for homeless individuals and families; (3) help operate these shelters; (4) provide essential services to shelter residents; (5) rapidly re-house homeless individuals and families; and (6) prevent families and individuals from becoming homeless.

HOPWA is the only Federal program dedicated to addressing the housing needs of persons living with HIV/AIDS and their families.

This Plan details the City's strategy for meeting National Objectives and HOME program goals, as well as identifying proposed uses for ESG and HOPWA grant funds. The Plan prioritizes housing as a primary objective, specifically within the Neighborhood Revitalization Strategy Area (NRSA) and the Strong Neighborhoods Initiative (SNI) neighborhoods where 80% of available grant resources are targeted. Each Action Plan Year, funding recommendations are reviewed and vetted according to the goals and objectives of the Consolidated Plan.

2. Summarize the objectives and outcomes identified in the Plan

The 2021-22 Second Annual Action Year Plan addresses housing and community development needs, and provides support to homeless persons and special populations in Oklahoma City. In this Plan, The City continues our approach of concentrating resources strategically within the Strong Neighborhood Initiative (SNI) to promote a holistic approach to neighborhood revitalization. In the Second Action Year Plan, The City continues to emphasize affordable housing, with specific emphasis on the Neighborhood Revitalization Strategy Area (NRSA). Special consideration will also be given to supporting projects in LMI census areas within the Opportunity Zones (see map in appendices.)

HOME funding has been allocated for down payment assistance to low to moderate income homebuyers, non-profit housing construction/rehabilitation, and rehabilitation of owner-occupied homes. CDBG funds have been used to support public service activities which continue to be a priority of the community. Funding has been allocated for free and reduced bus and taxi fares for special populations, securing abandoned houses, after school programming, hazardous tree removal, healthcare for homeless and public education. Several public facilities projects are underway and/or in various steps of planning, including new sidewalks, trail connections and park improvements in SNI neighborhoods. New public facilities projects are immediately identified for funding in this Second Action Year Plan for projects in a MAPS funded wellness center and Pitts Park. New projects are also being vetted under an open solicitation which has not yet closed.

The City of Oklahoma City continues to support economic development and slum/blight removal activities using CDBG funding. CDBG funded activities include small business training; support for the Urban Renewal Authority (to include slum and blight removal, urban renewal completion, low-moderate income housing, and low-mod area benefit. A Section 108 loan fund contingency for potential defaults remains in place. Funds are being allocated to assist in construction of a sewer extension in NE Oklahoma City to service a low-moderate income housing development. A new Code Enforcement title inspection program has been established to assist in new requirements related to the City's demolition of blighted properties. CDBG funds continue to support Fair Housing activities, with a slight increase in funding for FY 2021-22.

ESG funding continues to support a myriad of activities to address chronic homelessness, and HOPWA funds have been allocated to provide Tenant Based Rental Assistance (TBRA) and Short-Term Rental, Mortgage, and Utilities assistance to persons with HIV/AIDS.

A substantial amendment may sometimes be required as the City adapts to changing needs and priorities within an Action Plan year. A substantial amendment to the Consolidated Plan is defined as an amendment that a.) adds a new sub-grantee; b.) transfers funds from one funded activity to another new or existing project, *and* in which an individual line item adjustment results in an increase or decrease of more than 30% to either of the current or newly funded project; or c.) cancels an activity.

3. Evaluation of past performance

The City's 2020-24 Consolidated Plan responded to community **housing goals** by providing funding and engaging in program activities that included support for nonprofit and for-profit housing developers to rehabilitate and construct new affordable housing; support for Community Housing Development Organizations to rehabilitate and construct new affordable housing; support for the Oklahoma City Housing Authority to modernize public housing units; funding to assist with emergency repairs of housing for low-income households; support for down payment and closing cost assistance to expand homeownership opportunities for low-income households; and, funding for activities that support the City's housing rehab program, and housing and services for persons with HIV/AIDS. Activities undertaken in the 2020-21 First Action Year Plan addressed these goals, and are on target to meet projected outcomes; albeit somewhat slower than projected.

The goal of providing a **suitable living environment** was addressed in the 5-year Consolidated Plan and Action Year strategies by continuing ongoing programs that addressed specific community needs. The 2020-21 First Year Action Plan strategy called for continued support for homeless services through the Emergency Solutions Grant Program; the Continuum of Care Program; discounted taxi coupons for elderly, disabled and sight impaired persons under the City's Share-A-Fare program; bus passes and discounted taxi service for homeless individuals and families; local funding of capacity building activities for neighborhood organizations and CDBG funding to provide neighborhood improvements; activities to address vacant and abandoned housing; removal of graffiti; and, removal of slum and blight conditions in low-income areas. These programs are much needed and continue to expend funds timely.

In addition, the City of Oklahoma City made progress in attaining its goals for **expanding economic opportunities**. The City's Consolidated Plan and Annual Action Year Strategies called for engaging in program activities that provide technical assistance to small businesses in obtaining financing and investing Section 108 Loan Guarantee funds in businesses to create jobs for low and moderate income persons. A Section 108 loan application for renovation of the historic First National Building was received and vetted by staff; modifications to the application were requested and received during the First Action Plan year and a formal application to HUD is expected in the upcoming Action Year.

4. Summary of Citizen Participation Process and consultation process

The principal goal of the Citizen Participation Plan is to provide for and encourage citizen participation, with emphasis on persons of low/moderate income. Funds are targeted in the Neighborhood Revitalization Strategy Area (NRSA) and Strong Neighborhoods Initiative (SNI) Program areas to the greatest extent possible, as the City has placed special emphasis on neighborhood revitalization in these neighborhoods.

The consultation process for this Plan provided several opportunities for residents, service providers, citizen's committees, and others to contribute. In December 2020, the City published a Notice of Public Meetings for two (2) community input sessions held virtually via Zoom teleconferencing due to pandemic restrictions on in-person meetings. The meetings were held in January 2021, with one meeting during the afternoon to accommodate persons working evening hours. A total of 35 citizens and representatives of local organizations and service providers participated in these virtual meetings. Instructions for offering subsequent feedback and comments were provided.

Currently there is a high community focus on the homeless population. In the summer of 2019, the Mayor initiated a Homelessness Task Force and the City hired Analytic Insight to assess existing conditions, and to develop a Comprehensive Strategy. Numerous stakeholders were interviewed, public planning sessions were held, and focus groups convened to examine various aspects of current systems. A Public Forum was held on December 16th, 2020.

In addition to public meetings, opportunity for community input was provided during a public meeting of the Citizens Committee for Community Development (CCCD) meeting held on March 16, 2021. A 30-day Notice seeking public comment on the proposed FY 2021-22 Second Year Action Plan, and providing notification of a final public hearing at City Council was published in *The Oklahoman* on March 26, 2021. Staff accepted written correspondence from the public until 5:00 p.m. on April 1, 2021 for inclusion in the presentation to City Council. A final opportunity for input was provided in a public hearing before City Council on April 27, 2021. A summary of community input is attached to this report (Appendix 2). Consultation with outside agencies and other municipalities is discussed in Section PR-10 of the 2020-24 Consolidated Plan.

5. Summary of public comments

Citizen input received from the public Zoom meetings and from the CCCD meeting described above related to several different topics. These questions and comments are itemized in the attached Appendix 2. The most common were the availability, eligibility, and marketing strategies for the City's Down Payment Assistance Program, insufficient transit connections, questions about unexpended funds, opportunities for community partnerships, and meeting the increasing need for services to the homeless.

All public comments and Staff's responses are included in the Attached Appendix.

6. Summary of comments or views not accepted and the reasons for not accepting them

All comments were received and evaluated. Those not accepted generally proposed ineligible activities that did not meet the regulatory requirements and/or could not be adequately funded under the public service cap. All public feedback was given due consideration, although not every requested activity could be supported. Some suggestions were non-compliant with program regulations and/or strategic goals or failed to demonstrate sufficient organizational capacity to engage in ongoing monitoring and reporting obligations. Others raised concerns about a potential duplication of benefits with other funding sources, or could better be addressed with other available revenue streams.

7. Summary

Citizen involvement and input from local non-profits and service providers has been instrumental in identifying priority needs, strategic objectives, and funded activities for the FY 2021-22 Second Year Action Plan. Although expressed needs continue to exceed available resources, citizen feedback obtained from community input meetings is used to inform the City's annual goals and objectives to the greatest extent possible. Public service activities continue to be a high priority with limited resources available.

A minor or substantial amendment to this Annual Action Year Plan may be required, as the City adapts to changing needs and priorities within the program year. A substantial amendment to an Annual Action Year Plan is defined as an amendment that a.) changes the priorities in the 5-Year Strategy; b.) funds a new activity not described in the Action Plan; or c.) increases funding allocated to an activity by more than 25%. In the event an amendment is required, the City will follow the terms and conditions of its Citizen Participation Plan to allow for public comment.

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	OKLAHOMA CITY	Planning- Community Development
HOPWA Administrator	OKLAHOMA CITY	Planning- Community Development
HOME Administrator	OKLAHOMA CITY	Planning- Community Development
ESG Administrator	OKLAHOMA CITY	Planning- Community Development

Table 1 – Responsible Agencies

Narrative

The lead agency for overseeing the development of the Consolidated Plan and Second Action Year Plan, and for administering programs covered by the Plan is the Housing & Community Development Division of the City of Oklahoma City, Planning Department.

Consolidated Plan Public Contact Information

For questions and comments regarding this Plan, please contact Chris Varga, Housing & Community Development Manager, The City of Oklahoma City, 420 W. Main Street, Ste. 920, Oklahoma City, OK 73102.

AP-10 Consultation – 91.100, 91.200(b), 91.215(I)

1. Introduction

The lead agency for overseeing the development of the Consolidated Plan and for administering programs covered by the Plan is the Housing & Community Development Division of the City of Oklahoma City Planning Department. The City also relies on the Oklahoma City Continuum of Care (CoC) Board which is comprised of several social service providers who provide guidance on allocation and expenditure of ESG and HOPWA funds. Citizen input on allocations and expenditures of federal grant funds is received from the Citizens Committee for Community Development (CCCD), a formal citizens advisory committee.

Provide a concise summary of the jurisdiction’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health, and service agencies (91.215(I))

The City routinely communicates with the Oklahoma City Housing Authority (OCHA) to understand their programs and needs. The City continues to provide them with funding each year to rehabilitate some public housing units as indicated within our Action Plan. The OCHA has housing units in the City’s SNI neighborhoods, and we have discussed with them the potential for exterior improvements to help the neighborhood. We have also planted trees on several OCHA properties within the SNI as part of our neighborhood tree planting initiative. The City is also collaborating with OCHA about affordable housing needs and priorities. A Substantial Amendment approved by City Council in April 2019 supports a partnership between The City and OCHA to provide property inspections for OCHA housing units; this activity is continued in this Plan.

The City interacts routinely with the Oklahoma Housing Finance Authority (OHFA) as we direct clients to Section 8 programs and coordinate data sharing. In the past City grant funds have provided additional funding to support selected LIHTC projects. Also, periodic meetings are held throughout the year with representatives of OHFA, OCHA, the City, and the Alliance for Economic Development to discuss program issues and affordable housing concerns.

The City collaborates with our Continuum of Care (CoC) subrecipients to provide various types of assisted housing. Some homeless service providers in the CoC specialize in assisting individuals with mental health concerns. Case managers with these organizations receive SSI/SSDI Outreach, Access, and Recovery (SOAR) training and connect eligible clients to appropriate SSI/SSDI benefits. All the community mental health centers in the City collaborate with CoC housing providers and two of the mental health centers are also housing providers.

Besides the Continuum of Care, Housing & Community Development Division staff participate in the Coalition to End Poverty, and the Governor’s Interagency Council on Homelessness which serves as a

forum for communication with representatives from state agencies, community organizations, and non-profits. In 2019, the Mayor established a new Task Force on Homelessness to examine and address community needs. Task Force members represent a variety of social service agencies including mental health providers, the housing authority, city program staff, and philanthropic funding agencies. A consultant was hired to examine our local assets and gaps in providing homelessness services to develop a Comprehensive Strategy to address homelessness. Public meetings were included as part of this effort as were a series of workshops held with stakeholders to discuss issues in February 2020.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

The City is the lead agency for the Oklahoma City Continuum of Care (CoC) . The City emphasizes Coordinated Entry through Journey Home OKC, a collaborative initiative that combines the efforts of over 40 government, faith-based, and non-profit organizations to address homelessness. In 2020, there were 57 people housed through Journey Home OKC. The CoC routinely realizes a housing retention rate of over 85%. Housing homeless veterans is an emphasis of the program, and the CoC coordinates with the VA for VASH vouchers and case management, and with Goodwill as the local provider of Supportive Services for Veteran’s Families.

Beginning in 2013, the City and our service providers began prioritizing a “housing first” approach for our chronically homeless and homeless veteran populations. We developed a need based coordinated entry program for both populations. Of those placed in permanent housing, the City has realized a client retention rate between 80-90%. While the City has permanent housing programs that focus on assisting homeless families, the most extensive services are provided via ESG through prevention and re-housing assistance. Families are assessed through the same Coordinated Entry System used for veterans and the chronically homeless. ESG and CoC providers meet several times a month to review and staff cases, generally based on assessment scores. The services provided through ESG are those most often required by homeless families, and generally make supportive housing a necessity. If an individual or family demonstrates they are at imminent risk of homelessness, ESG agencies can address immediate concerns and provide case management to prevent a loss of housing.

Homeless youth may also access housing and services through the Coordinated Entry System and are prioritized for housing based upon their demonstrated level of need. Youth service providers meet monthly to identify staffing needs and assign clients. In the last 5 years, the CoC has seen rapid expansion followed by a contraction in services. A new youth shelter and drop-in center was opened; however, it was unable to sustain operations and closed within a few years. Other organizations are filling the gap by adding shelter beds, and another organization is constructing an emergency shelter and drop-in center. Planning for homeless strategies begins with the Oklahoma City Coalition to End

Poverty. This is a broad-based group of service/housing providers, faith-based organizations, volunteer advocates, and service recipients. This group advises on Plan objectives to meet the needs of the homeless and those with special needs, including people with HIV/AIDS. The Coalition updates the City's 10-Year Plan to End Homelessness and provides awareness to elected officials, interested parties and the public about homelessness and persons with special needs. Recently, responsibilities have shifted to various groups and committees with specific service focuses. Planning in smaller groups has proved effective.

Over the last 4 years, the CoC has seen significant improvements in efficiency of outreach and shelter services, Point In Time (PIT) planning, and data collection; as well as in housing and case management services for all subpopulations. This can be attributed to the presence of CoC staff on these smaller committees. In collaboration with the City Planning staff and the Homeless Alliance, the Coalition organizes and conducts the annual PIT count of the Homeless each January. This information is used in the annual HUD CoC competition. The information obtained from the PIT count is used to establish need and identify current trends, including people who are chronically homeless and families with children.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS.

The Continuum of Care Board oversees and evaluates the housing and service programs funded through the CoC, HOPWA, ESG, and City Social Services. The CoC Board reviews proposals and makes funding recommendations to the City Council. City staff have partnered with the CoC Board to evaluate outcomes, rank potential projects, and determine funding allocations. Outcomes are tracked throughout the year via on-site monitoring and HMIS data reporting.

The geographic area served by ESG is the same as the Oklahoma City Continuum of Care (CoC), and ESG funded agencies are considered members of the CoC. All ESG and CoC funded organizations are members of the Coalition to End Poverty and several CoC subrecipients also receive ESG funding and conduct ESG eligible activities. As the CoC lead agency, the Oklahoma City Planning Department consults with ESG funded agencies to develop performance standards that serve as a measure for evaluating the outcomes of ESG assisted projects. The lead agency also collaborates with CoC and ESG funded agencies to develop local policies related to other ESG elements such as case management. The CoC Board ranks/determines funding allocations and monitors financial and programmatic elements of both CoC and ESG funded projects. The CoC board provides feedback on strengths and weaknesses as well as determines if projects should be defunded if performance does not improve by a set deadline.

Homeless Management Information System (HMIS) policies and procedures are developed through the Data Committee of the Coalition to End Poverty. Membership of the data committee is comprised of representatives from the CoC lead agency, the HMIS lead agency, and several CoC and ESG subrecipient organizations. Policies and procedures are developed to comply with HUD data requirements as well as

other federal and local requirements, and to meet the data needs of the CoC. HMIS funding is provided by the City of Oklahoma City, a Continuum of Care program grant, and other funding sources.

2. Describe Agencies, groups, organizations, and others who participated in the process and describe the jurisdiction’s consultations with housing, social service agencies and other entities.

1	Agency/Group/Organization	Metropolitan Fair Housing Council
	Agency/Group/Organization Type	Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Fair Housing Enforcement
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	Email Consultation and Fair Housing Survey participation. Identification of issues related to Fair Housing will inform this Consolidated Plan and future program activities.
2	Agency/Group/Organization	OKLAHOMA CITY HOUSING AUTHORITY
	Agency/Group/Organization Type	PHA
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homelessness Needs - Veterans Non-Homeless Special Needs
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	The Oklahoma City Housing Authority was consulted directly by City Staff to gauge current needs for public housing and Section 8 tenants. Information was provided by OCHA about current inventories, waiting lists, capital needs, veterans' benefits, anticipated funding over the next five (5) years, and anticipated gaps in resources. The City continues to provide CDBG funding to support the rehabilitation of about fifteen (15) public housing units annually to enhance the quality of public housing
3	Agency/Group/Organization	Association of Central Oklahoma Governments
	Agency/Group/Organization Type	Regional organization Planning organization
	What section of the Plan was addressed by Consultation?	Economic Development Market Analysis

	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	The City of Oklahoma City continues to partner with the Association of Central Oklahoma Governments (ACOG) in support of economic development activities identified in the regional Comprehensive Economic Development Strategy (CEDS). ACOG serves as the regional Metropolitan Planning Organization (MPO). ACOG reviewed the priority needs for the 5-year Consolidated Plan and the first and second year Action Plan funding allocations.
4	Agency/Group/Organization	COMMUNITY ACTION AGENCY OF OKLAHOMA/CANADIAN COUNTIES
	Agency/Group/Organization Type	Services - Housing Services-Children Services-Health Services-Abuse and Addiction Recovery
	What section of the Plan was addressed by Consultation?	Homelessness Needs - Veterans Non-Homeless Special Needs Economic Development
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	The Community Action Agency (CAA) was consulted for the homeless housing needs assessment and identification of social services gaps. CAA provides child development services, treatment for substance abuse, economic development loans for small businesses, homebuyer assistance, and emergency repair/weatherization activities for homeowners. CAA is provided with CDBG funding to support emergency home repairs, graffiti removal, and small business services.

Table 2 – Agencies, groups, organizations who participated:

Identify any Agency Types not consulted and provide rationale for not consulting.

The City of Oklahoma City consulted with all relevant agencies and municipalities. Each was provided an opportunity to comment and provide feedback on Plan priorities through a public meeting process and public comment period.

Other local/regional/state/federal planning efforts considered when preparing the Plan.

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	The City of Oklahoma City	The Continuum of Care Committee generally adheres to recommendations and priorities identified in the Consolidated Plan and seeks to ensure a targeted distribution of resources based on need. In the First Action Plan Year, CoC, HOPWA, ESG and City Social services funding was allocated with oversight by the CoC Committee. This allocation process will remain in place for the Second Action Plan Year.
planOKC	The City of Oklahoma City	In 2015, The City adopted a new comprehensive plan (planOKC) that will inform City planning strategies for the foreseeable future. It is anticipated to impact all funding priorities in the remaining years of our Five (5) Year Consolidated Plan
Comprehensive ED Strategy Central OK	Association of Central OK Governments	The CEDS is a long range regional plan that analyzes the challenges and opportunities related to economic and community development in the Central Oklahoma region. The City continues to collaborate with ACOG to achieve economic development objectives and enhance the regional appeal of the metropolitan area to attract new business and industry.

Narrative (optional)

The City of Oklahoma City maintains an ongoing dialogue with local non-profit and for-profit housing providers and developers to gauge housing needs in the metropolitan area. Solicitations for development proposals are drafted based upon current housing needs and community goals such as mixed income housing, deconcentration of poverty, infill development, and minimal design standards. Housing needs for very low-income families are identified through continuing discourse with the Oklahoma City Housing

Authority. Current data and market trends in multifamily and commercial development are monitored through analysis of the Price Edwards & Company, Oklahoma City market studies which are released annually. A new housing market study, affordable housing study, and an analysis of impediments to fair housing were completed in FY 2019-20 to support work on the 2020-2024 Five Year Consolidated Plan.

Two (2) public meetings were held to discuss the FY 2021-2022 Second Action Year Plan in January 2021 via Zoom Teleconferencing due to pandemic related in-person meeting restrictions. Participation in these meetings by local service providers and neighborhood associations included representatives from Community Action Agency, City Care, The Homeless Alliance, Northcare, Catholic Charities, Positively Paseo, and the OKC Metropolitan Area Realtors. Comments and input received during these public meetings are provided in the appendices of this report (Appendix 2).

Consultations with area service providers and homeless organizations help inform the allocation process for ESG and HOPWA funds. Collaboration and discussion with regional planning organizations and local governments in surrounding counties and communities is pursued to promote regional growth, and to identify opportunities and threats that may require increased attention and/or resources. The City seeks to identify opportunities for stretching resources such as the elimination of duplicative services. Regional approaches to the provision of social services are practiced and encouraged.

Collaborative efforts to promote economic growth are sometimes more difficult to achieve due to the competition for sales tax dollars between local communities. The funding of city services relies heavily on continued increases in sales tax receipts and the City of Oklahoma City often finds itself in direct competition with suburban municipalities for new businesses.

AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation.

Summarize citizen participation process and how it impacted goal-setting.

A Notice of Public Meetings was published in *The Oklahoman* on December 6, 2020 soliciting participation and feedback for the FY 2021-22 Second Action Year Plan. In addition, local stakeholders, non-profit organizations, and other interested parties were notified of the public meetings via email on January 5, 2021. Meetings were held via Zoom Teleconferencing at 6:00 p.m. on January 12, 2021 and at 3:00 p.m. on January 14, 2021. Additional opportunities for public comment were provided at the Citizens Committee for Community Development meeting held at 4:00 p.m. on March 16, 2021. A final public hearing was held at the regular City Council meeting at 8:30 a.m. on April 27, 2021.

The citizen participation process and summary of feedback has been fully described in the Executive Summary, and due to character space restrictions cannot be duplicated here. Feedback received at the public meetings was used in conjunction with quantitative data and current demographics to establish priority needs for this Second Action Year Plan. Where possible, funding allocations and strategic goals were identified based upon the most urgent community needs, and in support of the goals and objectives identified in the 5-year Consolidated Plan. Staff will continue to evaluate needs throughout the program year. Funding allocations in the Annual Action Plans will be adjusted as needed to promote a strategic and targeted allocation of resources, with the intent of creating a noticeable and sustainable community benefit.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Meetings	Non-targeted/broad community Non-Profit Organizations and Service Providers	Two (2) public meetings were held for public comment and feedback on January 12, and January 14, 2021. The session on January 14, 2021 was scheduled at 3:30 p.m. to accommodate citizens working evening hours, and to provide an alternative time for representatives from	A summary of community feedback is attached in the appendices of this report (Appendix 2).	All comments and suggestions were given due consideration in the allocation process, although not all requests could be supported.	

			local non-profits and service providers during normal business hours. Twenty (20) community members attended the two sessions. Eight (8) City Community Development Staff members were present at each meeting.			
2	Newspaper Ad	Non-targeted/broad community	A Combined Notice of Thirty (30) Day Comment Period and Notice of Public Hearing was submitted to Oklahoma Publishing Company (OPUBCO) for publication on March 23, 2021 and printed on March 26, 2021 in the legal notices.	No additional comments were received in response to the published request for public comment about proposed activities.	Not Applicable	
3	Public Meeting	Non-targeted/broad community	In addition to the two (2) public meetings conducted for citizen input, the proposed Second Action Year Plan was also presented at a public meeting of the Citizens Committee for Community Development on March 16, 2021. The Plan as recommended by the CCCD was presented during a final public hearing at City Council on April 27, 2021.	A summary of community and committee members feedback is attached in the appendices of this report (Appendix 2).	Not Applicable	

Table 3 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

Second program year allocations, funds carried forward from prior program years, and program income estimates are based upon the most recent data available at the time of report submission. Final allocations of funding from the U.S. Department of Housing and Urban Development (HUD) were announced on February 25, 2021 and communicated via email by HUD. The CDBG allocation of \$5,042,780 represents a 0.06% increase from FY 2020. The HOME program received \$2,378,892; a slight decrease of \$49,317 below last year’s funding. ESG funding of \$427,832 remains near the FY20 funding level, and HOPWA received an allocation increase of 12.3% to \$1,003,886.

The City has received an application for Section 108 funding to partially fund the renovation of the historic First National Center. The application is under review and if underwriting supports it, the City will make application to HUD. If additional opportunities materialize during the term of this Consolidated Plan, Section 108 Loan Guarantee applications may be submitted to further the City’s ongoing economic development initiatives.

Supplemental CDBG-CV, ESG-CV and HOPWA-CV funding provided in response to the COVID-19 pandemic in FY 2019 will be carried forward. Additional CV resources are expected to be made available during the FY 2021-22 program year. Guidance received from HUD requires that all CV funding be received, managed, and allocated under the FY19 CARES ACT Substantial Amendment. Resources allocated in this Plan are funded solely through regular formula grant funding allocations; however, it should be noted that some duplication may exist in beneficiary projections, primarily in assistance to the homeless and supportive services.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 2				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	5,042,780	1,593,519	\$3,964,462	10,600,761	20,018,690	Program income represents \$1,517,519 (unallocated) from sale of property in early FY20 and \$76,000 other FY20 unallocated PI. Prior year resources include 2020 recaptured funds of \$589,634.25 and funds carried forward in the amount of \$3,374,827. Amount available remainder of ConPlan = Year 1 projection,(\$25,061,470) less yr. 2 annual allocation).

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 2				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	2,329,575	80,000	5,458,162	7,867,737	9,567,250	Prior year resources include unallocated PY19 program funds, funds carried forward from prior program years, and recaptured program funds. Expected amount available for remainder of ConPlan in Year 1= projection (\$11,896,825) less yr.2 annual allocation).
HOPWA	public - federal	Permanent housing in facilities Permanent housing placement Short term or transitional housing facilities STRMU Supportive services TBRA	1,003,886	0	0	1,003,886	3,466,459	Expected amount available for remainder of ConPlan in year 1= allocation *5=year 1 projection (\$4,470,345) less yr. 2 allocation.)
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	427,463	0	0	427,463	1,464,234	Expected amount available remainder of ConPlan = yr. 1 projection (\$2,139,160) less Yr. 2 entitlement.

Table 4 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state, and local funds), including a description of how matching requirements will be satisfied.

The City of Oklahoma City prioritizes activities that leverage federal funds with other public and private resources to address housing needs in the community.

The City has a CHDO set-aside that includes the 15% CHDO statutory minimum of HOME funds to be granted or loaned to Community Housing Development Organizations (CHDO's) for new home construction and rehabilitation/sale activities. CHDOs are encouraged to utilize private bank financing along with CHDO HOME funds (some provided as grants and some as loans) in providing affordable housing. Proposals for new projects are generally accepted when the CHDO has completed all previously funded projects. The City facilitates the transfer at no cost, of Oklahoma County owned vacant lots to nonprofit organizations for the construction of affordable housing. The lots are provided to the City through an agreement with Oklahoma County. New home construction is typically funded with private financing and other resources.

The City also provides local funding (general funds) to agencies that provide services to the homeless. Match for ESG programs is required to be generated by the service providers who utilize these funds. Federal funds provided by HUD are utilized in several ways to leverage public and private resources. The City's down payment and closing cost assistance program supports the achievement of home ownership and has proved effective in creating significant interest among private lenders. During the 2019-2020 reporting period, the City leveraged its Down Payment Assistance program funds with private financial institution mortgage investments totaling \$2,958,405. Similar leverage can be anticipated annually in future program years.

The City may also assist developers by providing HOME funds to document community support for Low Income Housing Tax Credit (LIHTC) applications to the Oklahoma Housing Finance Agency (OHFA) when reasonable to do so. OHFA in past years has provided bonus points for applications that receive a minimum level of funding granted to the project from the local community. At present no bonus points are awarded for local support and funds have not been specifically allocated for this purpose in the First Action Plan Year. Developers may apply for funding in response to a Request for Proposals to develop affordable housing that is issued when sufficient HOME funds exist; such RFP was made available in the First Action Plan year and is expected to be reissued in FY 2021.

If appropriate, describe publicly owned land or property located within the jurisdiction that may be used to address the needs identified in the plan.

The Oklahoma City Urban Renewal Authority (OCURA) still owns much property in the northeast area of the city and seeks to develop many of their sites with mixed-income housing projects. Additionally, OCURA still owns numerous single infill lots and has an open solicitation for persons to develop housing; those lots are offered at a minimal sale price. Beyond this, OCURA will continue the redevelopment of closeout areas under the agreements with HUD through eligible program activities that include acquisition, disposition, relocation, clearance, brownfields remediation, and urban renewal completion. HUD designated Urban Renewal areas include Medical Center R-20, Central Business District 1A R-30, and John F. Kennedy R-35. In addition, OCURA will redevelop locally designated low and moderate income urban renewal areas that include Harrison Walnut, Cultural District and North

Downtown.

The City owns 105 residential lots on the north side of Northwest 10th Street between Ellison Avenue to the east, and Virginia Avenue to the west. The eastern thirty-six (36) lots between Blackwelder and Ellison Avenues were offered in a Request for Proposals (RFP) released on March 25, 2015 to solicit project proposals for residential, commercial and/or mixed-use development on these sites. The selected developer defaulted on the Development Agreement and the lots were returned to the City in May 2019. Negotiations are ongoing with local developers to identify a suitable project; some percentage of affordable housing is anticipated if housing is developed. The lots made available for a project may be expanded to include the additional sixty-nine (69) lots between Blackwelder and Virginia Avenue to the west. It is anticipated that redevelopment of these parcels will occur within the five (5) year Consolidated Plan period; however, a development timeline is presently unknown.

Discussion

The Required 25% HOME program match contributions in recent program years has been significantly reduced due to Presidential Disaster Declarations and HUD match reductions for severe fiscal distress. Although match reductions or waivers cannot be projected in future years, it should be noted that the City has accumulated a significant banked match credit which will ensure that adequate leverage is available for new activities. However, The City of Oklahoma City continues to prioritize funding of projects that leverage private capital and non-federal funding.

Annual Goals and Objectives

Goal Descriptions

AP-20 Annual Goals and Objectives

1	Goal Name	Affordable Housing Construction and Development
	Goal Description	FY 2020-21 CDBG funding in the amount of \$50,000 is allocated to assist in infrastructure costs for the development of six (6) affordable units in the Walnut St LLC project. HOME funds will support CHDO housing construction in the Walnut Street project and on Walnut Street. A Request for Proposals (RFP) will be issued for new affordable housing development proposals. Funding for the RFP includes \$1,876,000 carried forward from prior program years and \$605,700 in FY 2021-22 funding. It is estimated that the RFP will result in development of approximately 97 units in a future program year. \$800,000 in HOME funds is allocated to OCHA for development of an assisted living care center. Unallocated CHDO funds in the amount of \$1,518,164 will be carried forward for future development projects. \$167,077 previously allocated to CHDO developments for Jefferson Park, Paseo, and NHS has also been carried forward. New FY 2021-22 CHDO setaside funds total \$349,436.25.
2	Goal Name	Affordable Housing Retention and Rehabilitation
	Goal Description	HOME funds in the amount of \$737,128 will be allocated for whole house rehabilitations. This includes \$567,128 carried forward from the prior program year and a new FY 2021-22 allocation of \$170,000. CDBG funds support multiple housing retention programs. An allocation of \$1.3 million, inclusive of program delivery costs, will fund the City's Exterior Maintenance Program (approximately 58 units), \$50,000 is allocated to the OCHA inspection program of which \$48,937 is carried forward from the prior program year, \$235,000 is provided to OCHA for the rehabilitation of approximately ten (10) public housing units, and \$600,000 is allocated to the City's Emergency Repair Program to assist approximately 130 low to moderate income homeowners. This includes an estimated \$50,000 carried forward from the prior program year and a new FY 2021-22 allocation of \$550,000.
3	Goal Name	Homebuyer Financial Assistance
	Goal Description	HOME funds in the amount of \$625,000 are used to assist approximately thirty (30) low to moderate income homebuyers. Of this amount, an estimated \$335,072 will be carried forward from the prior program year. A new FY 2021-22 allocation will be provided in the amount of \$289,929.

4	Goal Name	Elimination of Slum and Blight
	Goal Description	CDBG funds in the amount of \$788,880 have been allocated to the Oklahoma City Urban Renewal Authority (OCURA) to assist with urban renewal completions, property maintenance and disposition. \$100,000 is made available for spot blight thru the City's Abandoned Housing Program to secure vacant and abandoned properties. Of this amount, an estimated \$50,593 is carried forward from the prior program year and \$49,407 in FY 2021-22 funding is provided. A new program to assist with title inspections for blighted properties which have been identified for demolition is funded in the amount of \$30,000.
5	Goal Name	General Public Services-CDBG
	Goal Description	CDBG funds are used to support multiple public service activities. A significant allocation of \$530,000 is provided to support public service activities in our SNI neighborhoods. This program provides several different services, including but not limited to neighborhood capacity building, youth services and after-school programs, hazardous tree removals and summer youth programs. CDBG funds in the amount of \$105,000 are allocated to COTPA to provide discounted bus and taxi fares, and courier services to special populations. \$60,000 is allocated to Community Health Services for services to the homeless. Unspent funding for legal assistance, currently estimated at \$50,000 in CDBG funds is to be carried forward from FY 2020 for legal services to assist in the prevention of foreclosures and evictions.
6	Goal Name	Public Facilities and Infrastructure
	Goal Description	CDBG funds are allocated for the support of various public facilities activities. A new FY 2021-22 allocation of \$478,535 will be used to construct a sewer extension in a low moderate income area to support housing development on Mirimar Blvd. A significant allocation of \$2.2 million has been made available to the SNI neighborhoods for neighborhood grant projects, tree plantings, park improvements, and new sidewalks in the Capitol Hill neighborhood. \$12,158 has been carried forward for the City Care project from prior program years. There remains an open solicitation for new public facilities proposals. \$1,378,738 has been carried forward from prior program years, and a new FY 2021-22 allocation in the amount of \$458,279 increased the funds available for this activity to \$1,837,017. Funding in the amount of \$23,500 and \$10,000 has been allocated for two public art installation projects. Estimated benefit will far exceed what is reported below; however, until proposals have been received and vetted, we are unable to project the number of beneficiaries.

7	Goal Name	Economic Development
	Goal Description	CDBG funds in the amount of \$350,000 have been carried forward from prior program years as a contingency for potential defaults on Section 108 loans which would otherwise need to be paid from entitlement. \$40,000 has been allocated to the Community Action Agency for small businesses services. A new OCURA Microenterprise Program was established in April 2020 with FY 2019 funds in the amount of \$400,000. These funds have been slow to spend and an estimated \$170,545 will be recaptured and made available to another project. A Section 108 loan application has been received from First National Center; however, negotiations on loan amount and job creation requirements are ongoing. A formal application to HUD is expected in the Second Action Plan year.
8	Goal Name	Support for the Homeless
	Goal Description	ESG and HOPWA funds are used to provide housing, services, and case management to prevent and respond to persons in a housing crisis.
9	Goal Name	Administration Planning and Fair Housing
	Goal Description	HOME and CDBG funding is allocated for the management and administration of federal programs. CDBG admin funds in the amount of \$50,000 are also used to support planning activities and \$93,000 is allocated in FY 2021-22 for Fair Housing investigations. \$865,556 in CDBG funds are allocated for administration (exclusive of Planning and Fair Housing allocations). The HOME program administrative allocation of \$1,189,232 includes \$948,275 carried forward from prior program years and \$240,958 in FY 2021-22 funding. All beneficiaries are reported under individual projects and activities, with the exception of persons assisted with Fair housing investigations (450 Households).

Table 5 – Goals Summary

Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.215(b)

For rental housing projects, at least 90% of benefitting families must have incomes that are no more than 60% of the HUD-assisted median family income for the area. In rental projects with five or more assisted units, at least 20% of the units must be occupied by families with incomes that do not exceed 50% of the HUD-adjusted median. For-sale housing must be sold to families with household incomes that are 80% or less of the HUD-adjusted median family income for the area.

Projects

AP-35 Projects – 91.220(d)

Introduction

The following initiatives and goals for the Second Action Year Plan are consistent with those established in the Five-Year Consolidated Plan with an effective date of July 1, 2020, and are compatible with the purposes set forth in **24 CFR Section 91.1**, that include:

- Affordable Housing Programs consisting of housing rehabilitation and new construction that focus on home ownership, programs to assist lower-income persons to attain home ownership; single and multi-family rental rehabilitation and new construction program activities.
- Economic Development activities targeting the low and moderate-income areas, and the Neighborhood Revitalization Strategy Area, including application(s) for Section 108 funds.
- Neighborhood improvement projects in low and moderate-income areas, Strong Neighborhoods Initiative areas, and the Neighborhood Revitalization Strategy Area.
- Assistance to the homeless and special needs populations through the Emergency Solutions Grant Program.
- Opportunities for Persons with HIV/AIDS and Continuum of Care Program.
- Public facilities and services to assist special needs populations and persons with disabilities, including, but not limited to the elderly, senior citizens, chronically homeless individuals and families, sight-impaired persons, persons in drug and alcohol recovery, and persons diagnosed with permanent physical and mental disabilities.

The goals and objectives in this Second Action Year Plan specifically relate to the goals outlined in the 2020-2024 Consolidated Plan and the Department of Housing and Urban Development goals that include:

1. The provision of decent housing
2. The provision of a suitable living environment, and
3. Expanding economic opportunities principally for persons of low and moderate income

The program activities are outlined below in Section AP-38 as they relate to availability/accessibility, affordability, and sustainability through the provision of decent housing, a suitable living environment and/or economic opportunity.

Oklahoma City's formula grant funding allocations, as recommended for adoption this Second Action Year Plan (fiscal year July 1, 2021 through June 30, 2022), follow the priorities established in the five-year Consolidated Plan that expires June 30, 2025. The Second Annual Action Year Plan strategy contains specific funding recommendations to implement the goals established in the five-year Plan to the greatest extent possible, depending on federal funding allocations awarded to The City of Oklahoma City.

The funding recommendations for the 2021-2022 Second Action Year Plan were considered by the Citizen’s Committee for Community Development on March 16, 2021 and received their favorable recommendation. Final Plan approval was granted by the City Council on April 27, 2021.

Projects

#	Project Name
1	Affordable Housing Development Program
2	Affordable Housing Rehab/Retention
3	Down Payment Assistance Program
4	Slum and Blight Remediation
5	SNI Public Services Activities
6	SNI Public Facilities Activities
7	Community Development-Public Service Activities
8	Community Development- Public Facilities Activities
9	Economic Development Programs
10	Homeless Programs
11	Program Administration and Planning

Table 6– Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs.

The FY 2021-22 Second Year Annual Action Plan continues to be impacted by urgent community needs related to the COVID-19 Pandemic. Substantial resources have been made available for general public services, legal assistance, non-profit support, and foreclosure/eviction prevention. Additional resources for slum and blight have been made available to assist with code enforcement requirements for demolition of abandoned properties due to changes in state law. Additional Administrative funds have been committed to Fair Housing Enforcement to respond to increased concerns arising from the pandemic. The City continues to prioritize affordable housing and homelessness prevention activities. A sewer extension to serve a low mod area which has a number of vacant lots has been supported as a public facilities activity. CHDO capacity remains a barrier to significant affordable housing production.

AP-38 Project Summary

Project Summary Information

1	Project Name	Affordable Housing Development Program
	Target Area	NEIGHBORHOOD STRATEGY AREA
	Goals Supported	Affordable Housing Construction and Development
	Needs Addressed	Creation and Retention of Affordable Housing
	Funding	CDBG: \$50,000 HOME: \$5,316,377
	Description	The Affordable Housing Development Program includes housing activities that result in the development and creation of new affordable housing units for low to moderate income families. Funded activities include \$50,000 in CDBG funds for the Walnut Street LLC project to support the development of six (6) affordable units, and \$2,481,700 in HOME funds allocated for an RFP under the Affordable Housing Development Program. The AHDP allocation includes \$1,876,000 carried forward from the prior program year available for the RFP, and a new allocation of \$605,700. An additional \$800,000 under the HOME ADHD is allocated to OCHA the Oklahoma City Housing Authority for the Creston Park Care Suites, an assisted living facility. The annual CHDO set-aside is \$349,436. Carry forward from CHDO in prior program years is as follows: \$1,751 for Jefferson Park-Walnut PD, \$11,539 for Paseo Walnut PD, \$21,545 for Paseo Hsg, \$131,242 for Jefferson Park, and unallocated CHDO funds totaling \$1,518,164. The total CHDO line item is \$2,034,677 (\$349,436 FY 21 setaside and \$1,685,241 carry-forward).
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	Six (6) low to moderate income families will achieve homeownership in the Walnut Street LLC project. An estimated seven (7) affordable housing units will be developed under the HOME program AHDP RFP in the program year. An estimated ninety (90) assisted living units will be completed in the OCHA Creston Park project.
Location Description	The Walnut Street LLC project is located at the intersection of NE 26th St and N. Walnut Ave. The Creston Park Facility will be located near NE 28 th St and MLK. Other locations remain TBD pending allocations.	

	Planned Activities	Assistance with infrastructure costs for the development of six (6) affordable housing units in the Walnut Street LLC project, development of an estimated seven (7) affordable units through an open RFP for the HOME program Affordable Housing Development Program, and production of ninety (90) assisted living units in the OCHA Creston Park Care Suites project.
2	Project Name	Affordable Housing Rehab/Retention
	Target Area	
	Goals Supported	Affordable Housing Retention and Rehabilitation
	Needs Addressed	Creation and Retention of Affordable Housing Prevention and Elimination of Homelessness
	Funding	CDBG: \$2,185,000 HOME: \$737,128
	Description	The Affordable Housing Rehab/Retention program assist current low to moderate income homeowners with whole-house rehabilitation, exterior maintenance, and emergency repairs. CDBG funds are also used to rehabilitate an estimated ten (10) public housing units. Funded activities include \$1.3 million for the OKC Housing Assistance Program (Exterior Maintenance) which includes an estimated \$350,000 carried forward from the prior program year and program delivery costs, \$50,000 in CDBG funds to support the OCHA Housing Inspection Program which includes \$48,936 carried forward from the prior program year, \$235,000 in new CDBG funds to OCHA for the rehabilitation of public housing units, and \$600,000 to Community Action Agency for the Emergency Home Repair Program, which includes an estimated \$50,000 carried forward from the prior program year. HOME funds in the amount of \$737,128 are allocated to the OKC Housing Assistance Program for whole-house rehabs. This includes an estimated \$567,128 carried forward from the prior program year and a new FY 2021-22 allocation of \$170,000.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	An estimated fifty-eight (58) homeowners will receive exterior maintenance repairs, seventeen (17) homeowners will receive whole-house rehabilitations, 130 homeowners will receive emergency repairs, and an estimated ten (10) public housing units will be rehabilitated.
Location Description	City wide, with emphasis in the NRSA.	

	Planned Activities	Exterior maintenance repairs, emergency home repairs, whole-house rehabilitation, and rehab of public housing units.
3	Project Name	Down Payment Assistance Program
	Target Area	NEIGHBORHOOD STRATEGY AREA
	Goals Supported	Homebuyer Financial Assistance
	Needs Addressed	Creation and Retention of Affordable Housing
	Funding	HOME: \$625,000
	Description	\$625,000 in HOME funds are allocated to provide financial assistance with down payments and closing costs up to \$15,000 for low to moderate income homebuyers, with a spending goal of 80% within the NRSA when practicable. If unable to timely meet this goal, DPA funding may be spent in non-targeted areas. Allocation includes an estimated \$335,072 carried forward from the prior program year and a new FY 2020-21 allocation of \$289,928. In response to public comment, the affordability period for the DPA program was extended to seven (7) years in FY 2020.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	An estimated thirty (30) homebuyers will be provided downpayment and closing cost assistance during the program year.
	Location Description	Funding is restricted to the Program boundaries with priority given to SNI and NRSA neighborhoods.
	Planned Activities	Down Payment and Closing Costs assistance to low and moderate-income homebuyers.
4	Project Name	Slum and Blight Remediation
	Target Area	City-wide
	Goals Supported	Elimination of Slum and Blight
	Needs Addressed	Slum and Blight Remediation
	Funding	CDBG: \$918,880

	Description	Slum and blight activities include Funding for Oklahoma City Urban Renewal Authority (OCURA) for eligible activities that meet the National Objectives of Urban Renewal Completion or Slum/Blight Area Benefit. Activities principally include but are not limited to real property acquisition, clearance, disposition for housing or economic development in close-out and locally defined Urban Renewal Project Areas and urban renewal completion activities in close-out areas. A total allocation of \$788,880 will be provided in 2021-22, which includes an estimated \$35,000 carried forward from the prior program year. Funds in the amount of \$307,990 have been recaptured and reallocated to a public sewer project (public facilities) to serve a low mod income area that is currently on septic systems and has vacant infill lots. CDBG funds in the amount of \$100,000 are allocated to the OKC Abandoned housing Program to secure vacant and abandoned homes to remove imminent threats to life, health, and safety. The abandoned housing allocation includes an estimated \$50,593 carried forward from the prior program year and a new FY 2021-22 allocation of \$49,407. A new Code Enforcement Title Inspection Program has been created to address new requirements for demolition under state law. This activity is provided a new CDBG allocation of \$30,000.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	The number of program beneficiaries is contingent on the type, size and scale of projects funded.
	Location Description	Urban Renewal Project Areas, spot blight locations
	Planned Activities	Securing vacant and abandoned properties, acquisition, disposition, clearance, relocation, and Urban Renewal completion activities.
5	Project Name	SNI Public Services Activities
	Target Area	Strong Neighborhoods Initiative
	Goals Supported	General Public Services-CDBG
	Needs Addressed	Public Services
	Funding	CDBG: \$530,000

	Description	CDBG funding in the amount of \$530,000 (which includes any funds carried forward from FY20) is provided to support eligible public service activities in designated SNI neighborhoods. These activities include but are not limited to an after-school STEAM program for students, summer programming for youth, neighborhood project grants, community outreach, and hazardous tree removals.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	An estimated 400 students will be served thru the STEAM program. Approximately fifty (50) hazardous trees will be removed, and twenty (20) households will benefit from neighborhood cleanups.
	Location Description	Designated Strong Neighborhoods Initiative neighborhoods (Metro Park, Capitol View, and Capitol Hill).
	Planned Activities	After school programming, summer youth programs, and hazardous tree removals.
6	Project Name	SNI Public Facilities Activities
	Target Area	Strong Neighborhoods Initiative
	Goals Supported	Public Facilities and Infrastructure
	Needs Addressed	Public Facilities and Infrastructure
	Funding	CDBG: \$2,356,897
	Description	CDBG funds in the amount of \$2,256,897 (which includes an estimated balance carried forward from FY20 of \$436,897) are allocated to support eligible public facilities activities in designated SNI neighborhoods, including but not limited to tree plantings, sidewalk installation, park improvements, a neighborhood plaza and neighborhood led project grants. FY 2021-22 proposed activities include the installation of 1,595 linear feet of sidewalks in the Capitol Hill neighborhood, neighborhood parks projects serving an estimated 2,500 households, fifty (50) tree plantings, and three (3) neighborhood led projects to benefit an estimated 3,200 households. In addition, a new line item for program delivery in the amount of \$100,000 has been allocated for the FY 2021-22 program year.
	Target Date	6/30/2022

	Estimate the number and type of families that will benefit from the proposed activities	Sidewalk installation and park improvements will provide community-wide area benefit. Fifty (50) households will benefit from tree plantings.
	Location Description	Activities are limited to designated Strong Neighborhoods Initiative neighborhoods (Metro Park, Capitol View, and Capitol Hill).
	Planned Activities	Sidewalk installation, park improvements, and tree planting
7	Project Name	Community Development-Public Service Activities
	Target Area	City-wide
	Goals Supported	General Public Services-CDBG
	Needs Addressed	Public Services Prevention and Elimination of Homelessness
	Funding	CDBG: \$240,218
	Description	CDBG funds are used to fund general public service activities in the community. An allocation of \$105,000 has been made to COTPA for the provision of discounted bus and taxi fares, and courier services for special populations. \$10,000 from the Graffiti Removal program has been recaptured and made available for reallocation. \$60,000 is allocated to Community Health Services Healing Hands program to provide healthcare services for the homeless. An allocation of \$25,219 to Court Appointed Special Advocates for Children (CASA) to provide advocacy services for youth in the foster care system has been carried forward from the prior program year. The funds remaining from the current program year, estimated at \$50,000 will be carried forward for a Legal Assistance Program to assist in the prevention of foreclosures and evictions.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	Approximately fifteen (15) foster youth will be provided with advocacy resources. An estimated 950 homeless persons will receive healthcare services. Approximately 100 persons will access legal services to prevent foreclosure or eviction. Approximately 52,150 discounted bus and taxi coupons will be provided to special populations. Additional beneficiaries will be realized from future TBD public service activities; however, cannot be projected at the present time.
	Location Description	City-Wide

	Planned Activities	Foster Care Advocacy, Health care services for the homeless, graffiti removal, transportation, and courier services to special populations, legal assistance, and other eligible public service activities.
8	Project Name	Community Development- Public Facilities Activities
	Target Area	NEIGHBORHOOD STRATEGY AREA
	Goals Supported	Public Facilities and Infrastructure
	Needs Addressed	Public Facilities and Infrastructure
	Funding	CDBG: \$2,361,210
	Description	CDBG funds are allocated for the support of eligible public facilities activities. There is presently an open solicitation for proposals under the Community Development Public Facilities Program . This line item has been funded at \$1,837,017. This includes \$1,378,738 carried forward from prior program years and a new allocation of \$458,279. Funds have been allocated in the amount of \$23,500 for a public art installation project at Wellness Center 3, and \$10,000 has been allocated for a public art installation project at Pitts Park. An estimated \$12,158 for the City Care project has been carried forward from prior program years. A new allocation in the amount of \$478,535 is made to OCURA for the Mirimar sewer extension which will service a low mod income area. The Mirimar funds were recaptured from slum and blight PI and an ED Microenterprise fund and reallocated to assist this project.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	Beneficiaries will be realized thru the open CDPF Program solicitation and future affordable housing development; however, beneficiaries cannot be projected at the present time. Public art projects will provide an area wide benefit.
	Location Description	The Mirimar project is located at NE 14 th and Mirimar Boulevard. Public Arts projects are located at 3820 N. Lincoln and 1920 N. Kate.
Planned Activities	Infrastructure development and other eligible public facilities activities.	
9	Project Name	Economic Development Programs
	Target Area	City-wide
	Goals Supported	Economic Development
	Needs Addressed	Economic Opportunity

	Funding	CDBG: \$950,000
	Description	CDBG funds are allocated to support economic development programs, including but not limited to small business services, microenterprise support, and a loan contingency for potential Section 108 loan default(s). \$350,000 is carried forward from FY 2020 as a default contingency for Section 108 loans to protect the CDBG investment. \$40,000 is allocated to Community Action Agency to fund a small business training program. \$560,000 has been carried forward for the Market on 23 rd St ReStore project. An estimated \$170,545 will be recaptured from the from FY 2020 from the OCURA Microenterprise Program for reallocation to the Mirimar sewer project (PF). A section 108 loan application has been received for the First National Center, but has not yet been fully underwritten. A formal application to HUD is anticipated during this program year. Loan amount and job creation requirements are still in negotiation at the time of this Plan submission.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 140 small businesses will receive training and technical assistance. An estimated twenty (20) microenterprise businesses will receive assistance. An estimated fifty (50) jobs will be filled during the program year if the Section 108 loan for FNB is successful.
	Location Description	City-Wide
	Planned Activities	Small business training, microenterprise assistance, and support to non-profits impacted by the COVID-19 pandemic.
10	Project Name	Homeless Programs
	Target Area	City-wide
	Goals Supported	Support for the Homeless
	Needs Addressed	Creation and Retention of Affordable Housing Prevention and Elimination of Homelessness
	Funding	HOPWA: \$1,003,886 ESG: \$427,463

	Description	ESG funds are used to provide services, emergency and transitional housing, and case management resources for the prevention of homelessness. HOPWA funding is allocated to support housing and case management for person with HIV AIDS. Funded activities include, but are not limited to rapid-rehousing, homelessness prevention, emergency shelter, permanent and transitional housing placement, outreach services, services to assist victims of domestic violence and homeless youth, Veterans services, and associated case management. The FY 2021-22 ESG allocation is \$427,463. The FY 2021-22 HOPWA allocation is \$1,003,886.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	An estimated 1,200 households will receive various services to respond to a housing crisis, 422 persons will receive STRMU/TBRA assistance, 117 persons will access emergency, 250 individuals will access homelessness prevention services, 1,180 persons will receive public services targeted to the homeless, and 35 persons with HIV AIDS will receive housing support and case management services thru HOPWA.
	Location Description	City-Wide
	Planned Activities	Rapid-rehousing, services to the homeless, TBRA, STRMU, emergency shelter, homelessness prevention activities, services to domestic violence victims and homeless youth, Veteran services, and case management.
11	Project Name	Program Administration and Planning
	Target Area	City-wide (Fair Housing)
	Goals Supported	Administration Planning and Fair Housing
	Needs Addressed	Administration and Fair Housing Activities
	Funding	CDBG: \$1,008,556 HOME: \$1,189,233
	Description	CDBG and HOME funding for Administrative support and program operations. CDBG Administration funds also support Fair Housing Activities. CDBG Administration funds include \$865,556 for program management and operations, \$50,000 for planning activities, and \$93,000 to Metro Alliance for Fair Housing Investigations. HOME administration funding includes an estimated \$948,275 carried forward from prior program years and a new FY 2021-22 allocation of \$240,958.
	Target Date	6/30/2022

	Estimate the number and type of families that will benefit from the proposed activities	An estimated 450 persons will be provided with investigative Fair Housing support thru the Metro Alliance with CDBG administration funding. Other associated beneficiary data for CDBG and HOME will be reported under individual project activities.
	Location Description	City-wide
	Planned Activities	CDBG and HOME program administration, CDBG planning support, and Fair Housing investigations.

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed.

The City of Oklahoma City is the largest city in the State of Oklahoma in both population and land area. Based on 2010-2018 ACS data, The City of Oklahoma City population is estimated at 637,284 persons contained within a land area of over 620 square miles. The community is racially diverse with a total minority population exceeding 32.5%. The minority population in Oklahoma City remains in transition. In the 2018 American Community Survey, persons of Hispanic or Latino origin represent 19.2% of the total population. African American persons, formerly the largest minority/ethnic population in Oklahoma City, now comprise 17.1% of the total population. Black and African American families are disproportionately located in the eastern and northeastern Census tracts. The Hispanic and Latino population continues to be concentrated in the southwest and western Census tracts of the city.

The City of Oklahoma City received a Neighborhood Revitalization Strategy Area (NRSA) designation from HUD that consists of all or part of 45 low-income census tracts covering an area of approximately 29.5 square miles. In addition, the NRSA contains approximately 20 square miles that lie within an area designated by HUD as an Enterprise Community. The NRSA is the area of the central city that contains the highest rates of poverty in the City and the largest number of substandard residences – many of which require some remediation for lead-based paint. The Census Tracts and Block Groups that comprise the NRSA include: 100400, 100500, 100700, 101000,101100, 101200, 0101300, 101400, 101500, 101600,101900, 102400, 102500, 102600, 102700, 102800, 102900, 103000, 103101, 103102, 103200, 103300, 103400, 103500, 103601, 103602, 103700, 103800, 103900, 104000, 104100, 104200, 104300, 104400, 104600, 104700, 104800, 104900, 105600, 105700, 105800, 1053002, 1053003, 1070012,1070013, 1070014, 1070021, 1070022, and 1073051.

The 2017 Tax Cuts and Jobs Act created new tax incentives for investments made in Opportunity Zones to spur economic development and job creation by encouraging long-term investment in low-income communities nationwide. Opportunity Zones are census tracts that were designated by state officials to be in most need of private investments; Oklahoma City has 8 designated zones within its boundaries. Consistent with HUD’s goals to enhance investment in these zones, the City will give special considerations to qualifying projects located within these areas.

Geographic Distribution

Target Area	Percentage of Funds
NEIGHBORHOOD STRATEGY AREA	80
Strong Neighborhoods Initiative	

Table 7 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

The City targets resources strategically to support neighborhood revitalization efforts in the areas with the greatest community need. The NRSA was chosen because it surrounds the center of the city and contains a large segment of the lower-income population who need residential assistance and remediation of lead-based paint. The NRSA received its designation in part based on documented need, its geographic location encompassing much of the central city, and its concentration of lower income residents. As a result, the City of Oklahoma City will continue to focus on the NRSA as the area where most federal funds will be expended to benefit the largest numbers of lower-income residents and areas of greatest need within the community.

The Strong Neighborhoods Initiative (SNI) is a concentrated effort by the City to identify and revitalize inner city neighborhoods with a goal of ending decline and restoring them to economically viable and sustainable communities. Rather than allocate funds sporadically throughout the City on a haphazard basis, we have chosen to focus resources strategically in a manner that will allow for noticeable improvement and positive impact over the long term. The designated SNI neighborhoods all are located within the NRSA boundaries and funding in these neighborhoods is included in the 80% targeting goal.

Discussion

The City of Oklahoma City strives to invest 80% of federal CDBG and HOME dollars within the NRSA, with an additional 20% allocated to projects and activities outside the NRSA boundaries. The SNI neighborhoods lie within the NRSA and are strategically targeted for reinvestment. Resources are allocated to SNI neighborhoods to the greatest extent possible. Two (2) SNI neighborhoods, Capitol View and Capitol Hill were selected during the FY 2017 Plan Year for participation in the SNI program. Startup and planning efforts for these neighborhoods led to minimal Investment in recent program years; however, expenditures are expected to exponentially increase as the planning stage transitions towards implementation. A third neighborhood, Metro Park, was selected to participate in the SNI program by the selection committee in March 2020, with Council approval received in April 2020.

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

The data in the following tables does not include any housing for the homeless; although it should be noted that ESG funds often support the addition of shelter beds. As these beds turn over frequently, it would be difficult to quantify the number of unduplicated individuals served. Non-homeless beneficiaries in the table below include DPA recipients (30) the production of new affordable units (111), whole house rehabs (17), emergency repairs (130), exterior maintenance (58) and the rehab of public housing units (10); and projected beneficiaries of CDBG legal assistance for foreclosure/ eviction prevention (100). The production of new units includes 6 units in the Walnut Street Development, 8 CHDO homes, 97 units under the HOME AHDP Solicitation (7 Progress OKC affordable homes/90 OCHA Creston Park assisted care units). In addition to the 90 OCHA assisted care units, there will also be 68 beds/double occupancy memory care units that have not been included in the table below. The households listed in the special needs section include HIV/AIDS persons receiving TBRA (352) and STRMU assistance (70) thru HOPWA, and twenty (20) units at the First Step men’s treatment center. The rental assistance activities include HOPWA TBRA (352)and STRMU assistance (70). Rehab of existing units includes 17 whole house rehabs, 130 emergency repairs, 58 exterior maintenance repairs, and rehab of 10 public housing units. Acquisition of existing units includes 30 DPA buyers.

One Year Goals for the Number of Households to be Supported with Housing Units	
Homeless	0
Non-Homeless	456
Special-Needs	442
Total	898

Table 8 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance (TBRA/STRMU)	422
The Production of New Units	111
Rehab of Existing Units	215
Acquisition of Existing Units	30
Other (First Step Men’s Treatment Ctr)	20
Legal Assistance (FC/eviction prevention)	100
Total	898

Table 9 - One Year Goals for Affordable Housing by Support Type

Discussion

The City established a short-term assistance program with FY 2019 CDBG-CV funds to assist cost burdened

homeowners with subsidies for mortgage or utility payments. Housing assistance is also being provided to the extremely low income households thru ESG funds.

The City's housing rehabilitation program can address substandard conditions with interest-free loans of up to \$42,500 for qualified low-moderate income homeowners. Terms of the interest-free loans are based on the household's ability to pay. The program has a minimum payment of \$100 a month. Within SNI neighborhoods, loans may be up to \$60,000, do not require monthly payments and are forgivable providing program requirements are met.

Senior citizens that are 62 years of age or older and have gross household income less than 60% of median at the time of application are eligible for a "Senior Loan" (a 5-year forgivable loan amortized at the rate of 1/60th a month). The borrower must continue to occupy the property for the term of the loan. If occupancy is terminated, whether voluntarily or involuntarily, any remaining balance generally must be repaid. An exception is made for seniors who are incapacitated, and the loan may be forgiven if the applicant passes away or is placed in an establishment that provides housing and general care for the aged or convalescent.

The cost of lead-based paint abatement within a housing rehab project is provided in the form of a grant with a \$15,000 limit, and is not added to the forgivable rehabilitation loan.

Programs to Assist Homeowners:

- CDBG Emergency Home Repair Program
- CDBG Exterior Maintenance
- HOME OKC Housing Assistance Program (Whole House Rehab)
- Lead-based paint abatement in conjunction with the three previous listed programs

Projected 2021-2022 Housing Accomplishments:

- Emergency home repairs
- Exterior Maintenance projects
- Whole house rehabilitation projects
- Down Payment Assistance Loans
- CHDO Homes Constructed
- Public Housing Unit Rehabs
- Persons Provided Rental and/or Utility Assistance
- Affordable Housing Development- Program projects underway *AP-60 Public Housing – 91.220(h)*

Introduction

As capital improvement funding from HUD continues to decrease, the Oklahoma City Housing Authority

(OCHA) continues to struggle with deferred maintenance issues. CDBG funds are provided in the Second Action Plan Year to support the rehabilitation of approximately ten (10) public housing units.

Actions planned during the next year to address the needs to public housing.

The City of Oklahoma City will continue to work with OCHA to increase the overall quality of public housing. Presently, the Housing Authority has sufficient resources to manage their properties; however, are unable to make all the needed upgrades and repairs. All housing is considered "average" by the Housing Authority. The City will provide CDBG funding for rehabilitation of approximately ten (10) public housing units.

Actions to encourage public housing residents to become more involved in management and participate in homeownership.

OCHA has set a goal of assisting ten (10) families annually in achieving homeownership through the Housing Authority Family Self-Sufficiency Program and the Housing Authority Homeownership Program. Tenants are encouraged to pursue opportunities for homeownership through these programs as funding allows.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance.

Not applicable. OCHA is designated as a standard performer.

Discussion

The Oklahoma City Housing Authority (OCHA) administers the City's public housing rental projects, and administers the Section 8 rental assistance voucher program. Section 8 is a Federal program that provides rental assistance to low and very-low income families to obtain decent, safe, and sanitary housing. The Section 8 subsidy requires that an eligible tenant pay (the higher of) 30% of adjusted income or 10% of gross income as their share of the rent. Section 8 funds compensate the landlord for the difference between the amount paid by the tenant and the fair market rent established for the rental unit by the Housing Authority and HUD.

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

It has been over ten (10) years since the Homeless Prevention and Rapid Rehousing (HPRP) program was created by the American Recovery and Reinvestment Act. This unprecedented funding offered an opportunity to change the way homeless services are delivered in Oklahoma City. This program featured a coordinated effort by homeless service providers to introduce person-centered planning, service coordination, financial assistance, and needs based supportive services. The City of Oklahoma City continues to build on the lessons learned from HPRP and features the same philosophy of preventing and solving homelessness with data driven evidence and system coordination.

The City and local service providers manage a community-wide effort to prevent and end homelessness within the Continuum of Care by building inter-agency partnerships. These partnerships work together to provide members of the community with the necessary tools to remain in their homes or to obtain appropriate affordable permanent housing. All community partners work to provide a streamlined process of screening, assessment, referral, service coordination, direct assistance and follow up to individuals and families in need of safe, affordable, and stable housing.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs.

To reach unsheltered homeless individuals and families, ESG funds are used for outreach activities to connect this population with emergency shelter, housing, and/or services. Assistance is sometimes extended to non-facility based medical/mental health care if recipients of that care are unable, or unwilling to access an appropriate health facility. The City also conducts an annual Point-in-Time (PIT) count each January to identify current trends and service needs in the community.

Activities supported in the Second Action Plan Year to address homelessness include the provision of HOPWA funding for Short Term Mortgage and Utility Assistance (STRMU) and Tenant Based Rental Assistance (TBRA). ESG funds continue to support housing and case management activities for families and veterans. ESG funding is also committed for rapid re-housing and prevention services for homeless households with children.

Addressing the emergency shelter and transitional housing needs of homeless persons.

In the Second Action Plan Year, ESG funds are used to provide essential services to homeless families and individuals in emergency shelters as well as to support shelter operation costs. Costs associated with renovation or rehabilitation of structures to be used as emergency shelters is also an eligible use of funds. The City remains focused on housing relocation and stabilization services such as financial assistance and case management, which tend to be more intensive and longer in duration. In some instances, direct

financial assistance may be available to assist in the payment of rental and security deposits. Persons transitioning from homelessness are matched with the appropriate supportive case management services to ensure long term sustainability of housing.

The rapid re-housing program includes financial assistance and case management for households who are homeless, have resolvable barriers to housing, and are likely to sustain housing after the subsidy ends. Case managers work to move program participants quickly from emergency shelters or other places not meant for human habitation into independent housing, where they receive case management and services designed to improve their housing stability. The provision of case management occurs (1) to ensure households have a source of income through employment and/or public benefits, and to identify service needs *before the move into permanent housing*; and (2) to work with households *after the move into permanent housing* to connect families with community based services to meet long term support/service needs and to help solve any remaining problems that threaten the clients' tenancy including difficulties sustaining housing or interacting with the landlord. Home-based case management will be a vital component of rapid re-housing.

Families and individuals may participate in the rapid re-housing program if they have barriers to stability that can be addressed in a community-based setting once they are housed. Those who cannot reasonably be expected to achieve stability within twelve (12) months are referred to other, more appropriate supportive housing programs (transitional, permanent supportive, or treatment). The case manager is responsible for providing the appropriate supportive services and follow-up care, including home-based visits, for all program participants.

The framework for case management in the rapid re-housing program consists of Permanent housing services. Permanent housing services are services that assist households in accessing housing within twenty-one (21) days of assessment and sustaining that housing for at least seven (7) months after exiting the program. This includes working with the client to identify affordable units, access housing subsidies, and negotiate leases. Clients may require assistance to overcome barriers, such as poor tenant history, credit history and discrimination based on ethnicity, gender, family make-up and income source. Case managers work with the Housing Resource Locator to locate appropriate housing.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again.

The City's Coordinated Entry System (CES) directs individuals and families experiencing homelessness to the appropriate services and financial assistance needed to achieve independent living. The CES allows the community to prioritize housing units for the most vulnerable while ensuring the housing is most

appropriate for the individual or family's needs. The CES prioritizes based on vulnerability and length of time homeless, with the goal of decreasing the amount of time individuals and families experience homelessness. This may include assistance in obtaining permanent housing, medical treatment, mental health treatment, counseling, supervision, and other government or private assistance available such as:

- Medicaid
- Supplemental Nutrition Assistance Program
- Women, Infants and Children (WIC)
- Federal-State Unemployment Insurance Program
- Social Security Disability Insurance
- Supplemental Security Income
- Child and Adult Care Food Program
- Veterans Services

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

ESG funding allocated in the Second Action Year Plan includes financial assistance and case management for households that are currently housed but are at imminent risk (within two weeks) of becoming homeless. These households need temporary rent or utility assistance to prevent them from becoming homeless, or financial assistance to move to another unit. In cases where households desire to remain in housing that is substandard, it is allowable for case managers to assist in locating and moving households to housing units that meet minimum housing quality standards. Households receiving prevention assistance may require supportive services and follow-up care. The case manager is responsible for providing the appropriate supportive services and follow-up care, as determined on a case by case basis. Most prevention assistance will last from one (1) to six (6) months. A standardized housing assessment and housing plan is completed for all program participants to ensure housing stability after ESG assistance terminates.

Prior to issuing financial assistance, case managers work with the Housing Resource Locator to conduct a habitability and lead-based paint inspection of the home. A visual assessment/inspection for potential lead-based paint hazards is conducted for all pre-1978 units in which a pregnant woman and/or child

under the age of six will be residing before financial assistance is provided. Visual assessments/inspections must be conducted regardless of whether the program participant is receiving assistance to remain in an existing unit or moving to a new unit. All case managers are required to become a HUD-certified Lead-Based Paint Visual Assessor by successfully completing the 20 minute online training course on conducting visual assessments on HUD's website (even though the Housing Resource Locator is responsible for visual assessments/inspections).

The assigned case manager contacts the landlord or utility company immediately to prevent or delay imminent eviction or utility cutoff. If the case manager/Housing Resource Locator deems the housing to be substandard, then the case manager works with the household and the Housing Resource Locator to locate and secure more appropriate housing. Program participants must demonstrate the ability to earn sufficient income to sustain their permanent housing at the conclusion of program services.

While clients are participating in the prevention program, they are contacted by their case manager monthly, or more frequently if determined necessary. Case managers also conduct monthly follow-ups for nine (9) months after assistance terminates to ensure housing stability.

Discussion

The City of Oklahoma City and local service providers use the Homeless Management Information System (HMIS) to track services provided to the homeless, and to prevent duplication of resources. Providers are required to record client demographic and program data in HORIZON, the community's Homeless Management Information System (HMIS). All ESG partner agencies must comply with HUD's most recent HMIS Data Standards and agree to maintain excellent data integrity by entering 100% of required data fields in real time (data entered at time of service). To assist in this requirement, agencies run and/or review appropriate reports weekly to ensure consistent compliance. Agencies are required to correct inaccurate or incomplete data within three (3) days and utilize Service Point's network support and technical assistance as needed to ensure proper software usage and data integrity.

Though many outreach and shelter activities are supported by ESG and Continuum of Care funding, most funded activities prioritize housing. Services provided may include financial assistance to pay for housing, services designed to retain housing, and services to help locate appropriate and affordable housing. Since not all participants have the same level of need, not all persons receive identical levels of assistance. Activities funded for the homeless are not intended to provide long-term support for program participants, nor can funded programs address all financial and supportive services needs of beneficiaries that may be required to ensure future housing stability. Rather, the assistance provided is focused on stabilization of current housing, linking program participants to community resources and mainstream benefits, and helping them develop a plan for future housing stability.

AP-70 HOPWA Goals– 91.220 (I)(3)

One year goals for the number of households to be provided housing through the use of HOPWA for:	
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	70
Tenant-based rental assistance	352
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	27
Total	449

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

AP-75 RESPONSES ARE ATTACHED IN **APPENDIX 9** DUE TO CHARACTER SPACE LIMITATIONS IN THESE FIELDS.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment.

AP-75 RESPONSES ARE ATTACHED IN **APPENDIX 9** DUE TO CHARACTER SPACE LIMITATIONS IN THESE FIELDS.

Discussion:

AP-75 RESPONSES ARE ATTACHED IN **APPENDIX 9** DUE TO CHARACTER SPACE LIMITATIONS IN THESE FIELDS.

AP-85 Other Actions – 91.220(k)

Introduction:

The local and federal resources are insufficient to address all needs, even those of high priority. In some instances, there are perceptions in the community that affordable housing will decrease area property values as well as facilitate other societal problems (NIMBY issues). There has been a lack of interest from service providers in developing permanent supportive housing for the chronically homeless (lack of developers). Private builders are not interested in and/or cannot produce positive cashflow from affordable units without deep financial subsidy. The City of Oklahoma City continues to encourage and prioritize mixed-income developments in which market rate units may assist in offsetting lower rents on affordable units.

Actions planned to address obstacles to meeting underserved needs.

Fair Housing Activities are funded with CDBG under General Program Administration. The services are provided by Metropolitan Fair Housing Council which investigates and reports on fair housing for the City to ensure equitable access and opportunity for all persons. A slight increase in funding for these activities has been included in this Second Action Plan Year.

Grant funds covered under the Consolidated Plan Second Action Year Plan and other available grant or loan funds are utilized to provide services and activities that benefit various segments of lower-income populations in a responsible and comprehensive manner. Funds are allocated to activities that are not duplicative or competitive. Funds are allocated to activities that are designed to serve all segments of the population, with prioritization in areas of low-income concentration. As additional funding becomes available, solicitations for proposals may be made, or The City may receive and consider unsolicited proposals to fund eligible projects.

The primary weakness in the delivery system is lack of funds to address the identified needs within the community. The City continues to address underserved needs by prioritizing the programs believed to provide the most benefit to the greatest number of lower-income residents in concentrated low-income neighborhoods.

Actions planned to foster and maintain affordable housing.

In general, the objectives contained in the Consolidated Plan primarily focus on stimulating neighborhood revitalization by encouraging the development and rehabilitation of affordable owner housing and by activities that stimulate affordable home ownership. The Consolidated Plan rental housing objectives call for the creation of affordable rental housing to address special needs populations and for targeting affordable rental housing for the elderly and families by size and income range.

Funds for activities that support new housing construction under the HOME program are provided to the

Community Housing Development Organization Program (CHDO), and to the Strong Neighborhoods Initiative (SNI) Program. The City provides funding to certified CHDOs based on requests from CHDOs in good standing. The successful CHDOs are awarded HOME CHDO set-aside funds to construct, and in some cases, rehabilitate existing housing units in targeted neighborhoods. SNI funding for new housing construction is typically limited to non-profit organizations and is awarded on a competitive basis.

Occasionally, if unanticipated funding becomes available, The City may release Requests for Proposals for eligible affordable housing project proposals.

Housing rehabilitation activities are provided through The Oklahoma City Housing Assistance Program citywide with strong emphasis on the NRSA, and with specific attention to projects within the Strong Neighborhoods Initiative areas. Funding is allocated to conduct owner occupied whole house rehabilitation activities for eligible lower income households.

A priority for use of HOME and CDBG funding allocated for housing is to reverse patterns of gentrification by incentivizing mixed income housing in and near the economic growth areas of the urban core including, but not limited to the Downtown District, Bricktown, Scissortail Park, Midtown, Plaza District, Film Row and Art District areas of the City. A second priority for use of both HOME and CDBG funding for housing is to reverse patterns of low income concentration in certain economically challenged areas of the NRSA by incentivizing mixed income housing through the aggregation of assisted housing activities.

Single Unit Rehabilitation is addressed by CDBG funding through eligible program activities that include the Oklahoma City Housing Assistance Program's Housing Exterior Maintenance, CAA's Emergency Home Repair program, the CDBG Affordable Housing Assistance Program, and on a targeted basis projects within the Strong Neighborhoods Initiative areas. These programs require income qualification and environmental review compliance.

Public Housing Modernization is provided through the Oklahoma City Housing Authority (OCHA). OCHA is allocated CDBG funds to make needed repairs to approximately ten (10) public housing units annually.

Actions planned to reduce lead-based paint hazards.

The Oklahoma City Council has adopted a Lead-Based Paint Policy that provides for compliance with the requirements of 24 CFR Part 35 regarding assessment and treatment of lead-based paint hazards.

The City funds various activities that fall into the category of residential rehabilitation. Whenever residential rehabilitation activities funded by the City are conducted, the regulations prescribed for lead-based paint, contained at 24 CFR Part 35 will be the guide for achieving compliance. Under the regulations, the City is required to adopt proper approaches to Lead Hazard evaluation, to provide regulatory notifications to the homeowner, to perform Lead Hazard evaluation, and to follow safe work practices and clearance requirements. For activities involving rehabilitation under Subpart J, Tenant Based Rental Assistance under Subpart M, if any, and Subpart K for Homebuyers and special needs properties,

options for remediation of lead based paint and potential funding sources for cleanup will be discussed with the applicant based upon the amount of funds available for each activity.

The City is directly involved in alerting and educating households participating in our programs about lead hazards, and indirectly through each of its sub recipients, Community Housing Development Organizations (CHDOs), and other for-profit and nonprofit organizations providing housing. All applicants seeking housing assistance from the City receive a pamphlet informing them of the dangers of lead hazards. If assistance is granted, the applicant signs for this information at loan closing.

The City's housing rehabilitation inspectors are licensed and certified as lead-based paint risk assessors, inspectors, and abatement supervisors. The services of the licensed staff are used by most of the City's housing providers receiving Federal funds from the City and other non-profit housing providers contract for the services.

All rehabilitation staff members are certified as Lead Inspectors/Risk Assessors for target housing and child-occupied facilities. There are also housing rehabilitation staff certified as Abatement Supervisors. Emergency home repair is conducted for the City by a sub-grantee capable of independently performing lead-based paint responsibilities. Additionally, sub-grantees, non-profit borrowers, CHDOs, and other funding recipients carry out lead-based paint responsibilities directly or through the City's Housing Rehabilitation Program Staff.

Actions planned to reduce the number of poverty-level families.

The City does not have a formal antipoverty strategy but has implemented numerous local and federal job creation and education programs to reduce poverty. In 1993, the citizens of Oklahoma City passed the first of four Metropolitan Area Projects (MAPS) initiatives to support the construction of public facilities, infrastructure, recreational facilities, and with the most recent initiative social service support for the homeless and domestic violence victims. The total public investment from MAPS funding to date is well over \$2 billion. Projects and services supported with the MAPS initiatives improves the quality of life city-wide and serves as a catalyst to substantial private development. This in itself promotes economic development and provides opportunities for all that may not have otherwise been available. The City also uses bond funds and Tax Increment Funding (TIF) in support of economic development projects that will create jobs or increase property taxes.

Voters approved a new MAPS4 sales tax in December, 2019, 75% of which will provide financial support for social service needs. \$10 million from this revenue source is dedicated to affordable housing and permanent supportive housing and will help leverage another \$400,000 for the same purpose. Additionally, funding from MAPS4 will assist projects addressing domestic violence, mental health, and people exiting incarceration in order to reduce the number of people entering poverty and homelessness.

The City will continue to provide prevention , rehousing, case management and other assistance to families and people living with HIV/AIDS as well as other eligible individuals. However, they are only a

fraction of the population paying more than a third of their income for housing.

In addition to the locally funded economic development and job creation efforts to combat poverty, the City utilizes federal resources to stimulate job growth. The Community Action Agency manages a small business development loan fund capitalized by a \$4 million Section 108 Loan Guarantee. The loan fund was established to make loans to small businesses in the Neighborhood Revitalization Strategy Area.

Actions planned to develop institutional structure.

The City has formed partnerships with the Chamber of Commerce and area banks to participate in and deliver economic development services and funding. The Community Action Agency (CAA) is funded to conduct an economic development activity with CDBG funds and the Section 108 Loan Guarantee Program. The City's ongoing economic development programs and Section 108 Loan Guarantees generally include the participation of local lenders. Partnering banks include Bank of Oklahoma, Bank of America, BankOne, First State Bank, Legacy Bank, Arvest, Bank 7 and InterBank (among others) to assist with the Murrah District Revitalization Program, CAA small business loan program, Current Section 108 Loans, Section 108 Small Business Fund, and Housing assistance to lower-income persons and special populations. Funding is provided through multiple community partners including OCHA, CAA, NHS, City Care, designated CHDOs, Oklahoma State Department of Commerce, and CEC.

Assisted social service agencies that assist the homeless with shelter, outreach and housing assistance through ESG, HOPWA and City of Oklahoma City general funds are the Homeless Alliance, Heartline 211, Catholic Charities of Oklahoma City, Upward Transitions, the YWCA, Healing Hands Health Care, Legal Aid, Sunbeam Family Services, Sisu Youth, Mental Health Association of Oklahoma, Neighborhood Services Organization, The Center for Employment Opportunities, the Oklahoma City Urban League, Positive Tomorrows, OKC Metro Alliance and Pivot. These organizations also help plan and execute the annual Point In Time count with other government agencies.

The City receives assistance in conducting the annual Point-in-Time count from the Homeless Alliance, City Rescue Mission, City Care, Oklahoma City Veterans Administration, Medical Center, The Salvation Army, OKC Metro Alliance, Red Rock Behavioral Health Services, Upward Transitions, Catholic Charities-Sanctuary Women's Development Center, Oklahoma Department of Mental Health and Substance Abuse Services, Sunbeam Family Services, Oklahoma Department of Corrections, Be the Change, Neighborhood Services Organization, NorthCare, Community Health Centers, OKC Metro Transit, Hope House OKC, Jesus House, Heartline 211, Mental Health Association—Oklahoma, Hope Community Services, Grace Rescue Mission, United States Department of Housing and Urban Development-Oklahoma Field Office, YWCA of Oklahoma City, Oklahoma City Police Department Homeless Outreach Unit, Oklahoma City Planning Department Division of Community Development, Oklahoma City Public Schools, Oklahoma Department

of Human Services, and Urban League of Greater Oklahoma City.

Actions planned to enhance coordination between public and private housing and social service agencies.

The Plan objectives that relate to the homeless and persons with special needs, including persons with HIV/AIDS, focus on program activities designed to provide housing assistance and social services to the underserved populations.

The Oklahoma City Continuum of Care has an excellent working relationship with the Oklahoma City Housing Authority and the Oklahoma Housing Finance agency. Both organizations partner with service providers to provide vouchers and public housing for homeless clients of the highest need. Since 2012, the Oklahoma City Housing Authority has dedicated seven (7) vouchers a month for homeless clients served through the Coordinated Entry System of the CoC and have provided additional public housing units for even more.

Discussion:

In addition to the above initiatives, direct home ownership assistance is provided with HOME funds to down payment and closing cost assistance provider(s). Funding to the down payment assistance (DPA) provider(s) is targeted at 80% (when practicable), and 20% between the NRSA and the remainder of the DPA lending area. The Targeted area generally encompasses the NRSA, CHDO Construction developments and other non-profit affordable housing developers and any low/mod areas specifically targeted for home ownership funding. The General DPA portion of the program includes low and moderate-income areas contained within designated program boundaries. Targeted areas have been identified both within and outside the general boundaries. Eligible areas may be found in the map attached in Appendix 5.

HOME down payment assistance provides a loan up to \$14,999 based on need that is forgivable at the rate of 1/84th per month over a seven-year affordability period to eligible homebuyers. When HOME funds are utilized for DPA, the maximum sales price of the home cannot exceed 95% of the area median sales price of homes in the jurisdiction. The limits are published annually by HUD and enforced in the City's DPA Program.

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

Introduction:

Program Income (PI)-The City does not anticipate additional CDBG PI prior to June 30, 2020. \$1,593,518 was received during the First Action Plan year and is available for reallocation. No program income is projected or anticipated in the First Action Plan Year (2020-21). \$589,634 in 2020 recaptured CDBG funds are available for allocation. CDBG funds capitalized a \$750,000 revolving loan fund (RLF) in 2015 which was later discontinued. One loan closed under this program. Annual RLF program income from this single RLF transaction is projected at \$5,362.32 in the Second Action Plan Year. Oklahoma City has not invested CDBG funds in float funded activities. PI that is received will be allocated as follows: 1) PI generated from Section 108 Loan investment and repayment accounts will be used to pay Section 108 principal and interest; 2) PI that is generated by the Oklahoma City Urban Renewal Authority (OCURA) activities during the current program year may be reallocated back to OCURA for use in other CDBG eligible activities subject to review and approval by The City and compliance with all contractual requirements for use of these funds; 3) CDBG funding rebates provided by material suppliers from Oklahoma City Housing Assistance Program activities will be allocated to the Oklahoma City Housing Assistance Program to assist with funding additional Housing Exterior Maintenance projects; 4) 20% of all CDBG PI may be used to create additional administrative capacity, and CDBG PI may be used to fund administrative expenses during the program year in which it is realized; and 5) In accordance with CPD Notice 97-9, III.J., HOME PI deposited to the PJ's letter of credit that creates additional administrative capacity may be used by the PJ for administrative expenses incurred during the Action Year, and any excess administrative capacity will be carried forward to subsequent years.

Community Development Block Grant Program (CDBG) Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out. **NOTE: Due to character limits in the HUD software system, additional information regarding the CDBG items below are continued under the HOME Investment Partnerships section immediately following.**

- | | |
|--|---|
| 1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed | 0 |
| 2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan. | 0 |
| 3. The amount of surplus funds from urban renewal settlements | 0 |

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4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	0

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income.	

Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. 70.00%

**HOME Investment Partnership Program (HOME)
Reference 24 CFR 91.220(l)(2)**

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

Continued from Introduction Above....Preceding Program Year Income-Oklahoma City forecasts PI and allocates the forecasted PI in Annual Action Year Plans. All PI is reported in IDIS and in financial reporting to HUD. **Section 108 Proceeds**-Proceeds from Section 108 Loan Guarantees have been utilized to stimulate economic development and create low and moderate-income jobs. All Section 108 Loan Guarantees have been fully disbursed. The Small Business Assistance Section 108 Loan was established to provide access to capital for small businesses located in the NRSA. Proceeds from loan payments and interest earned on all loan repayment accounts associated with the Section 108 Loan Guarantees are used to make debt service payments. In April, 2019, a Substantial Amendment to the Fourth Action Year Plan was approved authorizing a new Section 108 Loan Program to facilitate payment of certain pre-award soft costs for a Section 108 loan to First National Center, subject to HUD approval of final loan documents. No disbursements were made during the 2018-19 or the 2019-2020 program years. A formal application to HUD for project financing is expected to be made in this Second Action Plan Year. **Surplus Funds**- The City of Oklahoma City received a return of \$1,593,519 in 2019-20 CDBG PI prior to the beginning of the Second Action Year Plan that had not yet been allocated. No significant CDBG PI is anticipated in the Second Action Plan Year. A total of \$589,634 in FY 2020-21 recaptured funding is available for allocation. \$46,446 in recaptured HOME funds and \$80,000 in HOME PI (estimated) for FY 2021-22 are available for allocation. **Grant Funds Returned**- No CDBG funds have been returned to the letter of credit. **Income from Float Funded Programs**- Oklahoma City will not utilize float funding for program activities and therefore no income will come

from float funded activities. **Urgent Needs**-No urgent need activities are included in the Second Action Year Plan, but it is anticipated that some of the unexpended CDBG-CV grant allocation will be used for urgent needs-not to exceed 30% of the total allocation for programs funded with CDBG-CV. **Allocation of CHDO Loan and Grant activities**- CHDO funds may be reallocated and used as either loans or grants for projects. Funds dedicated to CHDO lending may be reallocated to the CHDO set-aside activities to ensure timely allocation and efficient use of these resources in the production of affordable units.

NOTE: In reference to item no. 2 above under the "Other CDBG Requirements" section, the City of Oklahoma City began its 3-year ratio in the Third Action Plan Year of the last Consolidated Plan. The three-year period in the Second Action Plan Year will cover the years 2019-20; 2020-21; and 2021-22 (70% of a 3- year average beginning in FY 2019-20 as year one). This Second Year Action Plan is year one (1) of the 3- year ratio average.

2. A description of the guidelines that will be used for resale or recapture of **HOME** funds when used for homebuyer activities as required in 92.254, is as follows:

The Homebuyer Down Payment Assistance Program (DPA) funded by Oklahoma City will utilize the Recapture Method provided in the HOME Regulations at 24 CFR 92.254(a)(5)(ii). Recapture provisions ensure that the participating jurisdiction recoups all or a portion of the HOME assistance given to a homebuyer if the housing does not continue to be the principal residence of the family for the duration of the period of affordability. If the house is to be sold, the amount subject to recapture will be prorated and dependent on the amount of time the homeowner resided in the home. The City has determined a 7-year affordability period for its DPA program, and DPA is made in the form of a forgivable loan. The affordability period will be documented and secured by a Homebuyer Agreement Deferred Note & Mortgage in favor of The City to be released at the end of the affordability period. If the home is vacated by the owner(s), whether voluntarily or involuntarily, prior to the end of the affordability period without a bona fide sale, all direct assistance provided is due and payable immediately.

The affordability period for any other HOME assistance to the homeowner will be based upon the total funds subject to recapture as described in 24 CFR 92.54(a)(5)(ii)(A)(5).

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds- See 24 CFR 92.254(a)(4) :

The City will recoup all or a portion of the HOME assistance provided to the homebuyers if the homeowner no longer resides in the house as his or her principal residence. If the house is to be sold, the amount recouped will be prorated and also be subject to the availability of net proceeds. Net proceeds are here defined as the sales price minus superior loan repayment (other than for loans made with HOME funds) and minus any closing costs. The direct subsidy provided to the homebuyer, i.e., down payment and closing cost assistance and/or the difference between the fair market value

of the property and a reduced sales price attributable to HOME funds, will be recouped on a pro-rata basis per the HOME regulations at 92.254(a)(5)(ii)(A)(2). The pro-rata value will be calculated based on a monthly occupancy period (i.e., for DPA, prorated at 1/84th per month of occupancy). If the combined DPA and reduction in fair market value is equal to or greater than \$15,000.00 but less than \$40,000.00 then the affordability period will be 10 years or 120 months.

Specifically, when determining the amount to be recaptured, the City will divide the number of months the homeowner has occupied the property by the total months in the affordability period. This number is then multiplied by the total dollar amount of HOME direct assistance originally provided to the homebuyer; the resulting value reflects the dollar amount of assistance that is forgiven. The balance of the funds the homeowner received must be returned to the City- unless there are insufficient net proceeds as defined above. No repayment is required that exceeds the amount of net proceeds.

EXAMPLE:

A homebuyer receives \$10,000 in down payment and closing costs assistance from HOME funds. The affordability period is seven (7) years or 84 months and is secured by a lien filed on the property. If the homebuyer wishes to sell the home after living there for 3 years, 3 months (i.e., 39 months), the homeowner forgiveness is 39 mo./84 mo. X \$10,000 = \$4,643. The remaining assistance, \$10,000 - \$4,643 = \$5,357, will need to be returned to The City. If the net proceeds in the sale are less than \$5,357, all the net proceeds must be returned to the City.

Additionally, those participating in the DPA program are subject to refinancing restrictions. Requests to subordinate the City's note may be approved only if the refinancing clearly shows significant benefits such as a lower interest rate, shorter term, and provided there is no cash to borrower from equity, and The City remains in the same or better position on the mortgage. The new mortgage shall not have a loan-to-value ratio (LTV) greater than 95% and refinance fees must be reasonable and customary for the OKC market.

All clients receiving DPA must secure a 1st mortgage with a "fixed" interest rate (No Adjustable Rate Mortgages, Interest Only Payment Mortgages, Negative Amortizing Mortgages, Prepayment Penalties, Mandatory Arbitration, or 1st Mortgages with a term greater than 30 years are allowed) and property taxes and hazard insurance must be escrowed (no non-escrowed loans). Transfer of ownership shall be by fee simple title only. All closings must be held locally with the cost to abstract title a seller's expense.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

The City of Oklahoma City does not anticipate using HOME funds during the Second Action Plan year

to refinance existing debt secured by multifamily housing.

**Emergency Solutions Grant (ESG)
Reference 91.220(l)(4)**

1. Include written standards for providing ESG assistance (may include as attachment)

One-time prevention assistance for rent/utilities and coordinated case management services for families and individuals may be provided to qualified individuals and families using ESG funds. Legal assistance during eviction court proceedings is offered to assist and advocate for people who would face eviction from their home without this assistance.

Services planned: Expansion of rapid re-housing and prevention assistance depending on availability of funds.

How persons access/receive assistance: 211 provides information and referral to social service and housing providers. Networking and education for providers is conducted through the monthly meeting of the Coalition To End Poverty. The purpose is to ensure that providers are knowledgeable about services in the community, which ensures that people needing services find “no wrong door” to accessing services.

A complete copy of the current ESG policies and procedures manual is attached (Appendix 8).

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

The City works with numerous nonprofit social service providers through the Continuum of Care (CoC) program. The CoC Board coordinates the City's homeless assistance programs and the development of the City's annual CoC grant application. The City conducts an annual Point-in-Time (PIT) count of the homeless in January of each year. Data gathered through this effort, coupled with consultation with local homeless service providers was used to develop the City's last homeless strategy (a new strategy is under development). While not the definitive measure to count the homeless population; the PIT snapshot count provides information about current trends and helps to identify gaps in services and housing. Oklahoma City has focused on housing for individuals who are chronically homeless through funding from the HUD CoC competitive grant and a commitment of matching HOME funding. The City, through community partnerships has worked to create permanent supportive housing beds in its effort to eliminate homelessness.

In 2016 the Oklahoma City Continuum of Care (CoC) established a Coordinated Entry and Assessment System which meets HUD requirements and has been approved by our local field office. Oklahoma City's Coordinated Entry and Assessment System covers the entire geographic area of Oklahoma City

and offers a no-wrong door approach to connecting homeless individuals and families to community resources that will most adequately address their situations. Homeless individuals and families complete a standard assessment survey, VI-SPDAT. Participating programs accept referrals from the system and all individuals and households are prioritized for housing and services based on vulnerability.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

ESG funds are awarded through an Open Solicitation process. The Continuum of Care Board makes recommendations for funding. These recommendations are then presented to the City Council for final approval. All ESG funds will be allocated through this process to organizations providing housing assistance and services to the homeless.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

The City of Oklahoma City expects to meet the homeless participation requirements in 24 CFR 576.405, therefore; no outreach plan is needed.

5. Describe performance standards for evaluating ESG.

Eligible Applicants: Applicants must be private nonprofit (registered 501c3) organizations and must demonstrate sufficient capacity.

Eligible Activities: Applications can include one or more of the eligible ESG activities: Street outreach, emergency shelter, Homeless prevention TBRA and Housing Relocation and Stabilization Services, Rapid re-housing, and/or centralized intake.

The City of Oklahoma City offers a “no wrong door” approach, with providers being well linked to available resources through networking connections gained via the Coalition To End Poverty. In addition, 211 is the information and referral agency which provides information about eligibility and referrals to service agencies. 211 conducts public awareness campaigns throughout the year with public service advertisements located on television, radio, on city buses and signage. People are linked to public benefits through provider agencies. All agencies have been trained to assist people in applying for benefits through Social Security and Medicaid. Training was conducted through the SSI/SSDI Outreach, Access, and Recovery grant (SOAR). In 2013, the City of Oklahoma City joined the 100,000 Homes Campaign in an effort to move the medically frail and chronically homeless individuals into permanent housing using a housing first approach. As part of this process, members of the chronically homeless population are administered a vulnerability index survey to determine their health issues. Those determined to be the most medically frail are prioritized for immediate

placement into housing. A case management committee comprised of various service providers assigns a case manager as needed. The case manager and service provider are selected and assigned based upon the needs of the individual being housed. Since joining the 100,000 Homes Campaign at the beginning of 2013, local non-profit service providers have collaborated to house over 1000 chronically homeless individuals, exceeding their goal by more than 40%.

ESG Policies and Procedures are attached (Appendix 8) in the unique appendices.

HOPWA sponsors are selected using the following process:

The Housing and Community Development Division of the City's Planning Department publicly posted notification of the availability of FY 2020-21 HOPWA funds. This information was communicated through an email notification to the Coalition to End Poverty (CEP) list serve. The recipients consist of private and public entities not previously selected, including grassroots organizations. This information is also posted on the CEP's public website and announced at associated CEP and committee meetings. Applications from interested parties are received and reviewed by City of Oklahoma City staff. This review ensures project proposals meet minimum threshold requirements, including consistency with the Five Year Consolidated Plan and the Annual Action Plan. All applications that meet minimum threshold requirements are presented to the Continuum of Care (CoC) Board where each is scored and ranked.

APPENDIX 1

CONSOLIDATED PLAN TIMELINE

Public Participation Timeline

2020

- November 16 Confirmed and reserved facilities for public meetings in December. Will arrange for bi-lingual staff representation if possible.
- December 3 **Submitted** notice of Public Hearing/Planning input sessions ad to OPUBCO and/or minority and Hispanic news publications
- December 6 **Published** notice of Public Hearing/Planning input session to be held virtually via Zoom conferencing for the following dates and times:
- Zoom Meeting 1- Meeting to be held on January 12, 2021 at 6:00 p.m. Contact Mark Mishoe, Administrative Coordinator to register for access.
- Zoom Meeting 2- Meeting to be held on January 14, 2021 at 3:00 p.m. Contact Mark Mishoe, Administrative Coordinator to register for access.

2021

- January 12 Conducted Zoom Meeting number 1 via Zoom Conferencing at 6:00 P.M.
- January 14 Conducted Zoom Meeting number 2 via Zoom Conferencing at 3:00 P.M.
- February- All Month
- Staff to finalize strategic goals and first year funding recommendations. Draft Plan to be completed. Schedule and meet with consultants, governmental organizations, housing agencies, advocates and service providers to discuss barriers, gaps and priority needs.
- February 25 Final allocations announced by HUD
- March 9 Staff reports due for CCCD meeting
- March 16 Citizens Committee for Community Development meeting- Presentation to discuss and approve funding priorities for the 2021-22 Second Action Plan Year; and to address any last minute concerns or recommendations prior to City Council.
- March 24 Combined Notice to be **submitted** to OPUBCO and/or minority and Hispanic news sources for publication (*Combined Notice for 30-day comment period and Notice of Public Hearing at Council on April 27th*)

- March 26 **Publication** of Combined Notice of 30-day comment period and Notice of Public Hearing.
- March 29 Written and electronic public comments are due to Staff by 5:00 p.m. for inclusion in Council presentation. Additional feedback may be provided on or before the April 27th public hearing for inclusion in the final HUD document.
- March 30 Begin routing process for documents in Prime.Gov. Director Deadline is 4/6 for 4/27 Council Meeting *(must include a near final draft of the plan that will be submitted to HUD)*.
- April 20 Clerk's office deadline for April 27th City Council meeting.
- April 27 City Council public hearing and action on the Second Action Plan Year
- May 15 Submit 2021-22 Second Annual Action Year Plan to HUD *(Generally Due to HUD 60 days following HUD funding allocation announcement. May 15th is the statutory due date unless otherwise extended)*.

APPENDIX 2

CITIZEN PARTICIPATION COMMENTS

2021-22 SECOND ANNUAL ACTION YEAR PLAN

Public Meeting #1(6:00 p.m. January 12, 2021)

Zoom Teleconference

Attendees: **12** (4 community members, 8 City of Oklahoma City staff members)

Question: What are the eligible lending areas for downpayment assistance?

Staff Response: Staff presented a current map of the DPA lending areas, and highlighted both the eligible areas and the higher priority target areas. The Neighborhood Strategy Revitalization area (NRSA) continues to be a focus area.

Comment: The City should publicize its accomplishments in the prevention of homelessness.

Staff Response: We strive to inform City Council and the public of our progress, as well as illuminating unmet needs and programmatic barriers. Although we've seen improvement in some areas, risk remains and many individuals and families remain vulnerable, especially in the midst of the pandemic. An increase in homeless youth is becoming a larger problem as well.

Comment: A City staff representative from COTPA noted that there is angst in the community about the inability to make the necessary transit connections for personal needs. Ridership has greatly declined due to limited capacity precipitated by the pandemic restrictions. There has been a 20% reduction in social services fares and several meal centers have closed.

Staff Response: In general, staff is very aware of the challenges that transportation can provide for our low to moderate income residents, particularly those without vehicles and the pandemic makes that situation worse. Improving transit options is an on-going and will be a long-term effort in the City. Our City programs have supported COTPA with public service dollars under our CDBG program for many years. The Share-A-Fare program provides free and discounted taxi fares to special populations, and transportation to meal providers and dental services.

Question: it appears a lot of the programs have trouble expending funds. What can be done to get people to access programs for public services, home repair and maintenance?

Staff Response: There are a variety of reasons that funding can be challenging to spend. Our housing rehabilitation programs, for instance, are targeted to owner-occupied homes. One of the problems we see in our targeted SNI neighborhoods is the inability to demonstrate legal residency as is required. Many of those in need do not have Social Security Numbers, or in some cases even a green card. There are also issues with those residing in a home, not having the title to their house in their name. At one time, CDBG funds were allocated for legal

services to help resolve issues such as clouded and contested titles which are required for contract work under our rehab programs, but that service was underutilized. We try to get the word out in meetings and workshops, use social media- particularly in the SNI programs, and periodically provide mailing inserts with utility bills to alert the public of programs we have available. For the larger program projects such as public facilities or housing programs, there are frequently organizational capacity challenges in meeting the many compliance conditions that can be associated with this funding.

Follow-up Question: How can the public get involved to help? Can we assist in disbursing program information and materials to people and organizations that may not be aware of these opportunities?

Staff Response: The City is open to partnerships and encourages information disbursement. We have participated in outreach events with other partner agencies in the past. However, a major barrier we see to funding projects is the long-term compliance obligations. Our Federal funds come with significant administrative responsibilities, and many developers and organizations do not have adequate experience and organizational capacity to comply with federal requirements. To name a few, there are additional reporting, required procurement steps, and recordkeeping- such as income documentation for those receiving services funded by programs, that are typically above and beyond what organizations normally do. If capital improvements are funded, Davis-Bacon wage rates and Section 3 employment requirements apply. These can be challenging to those not used to receiving this type of funds.

2021-22 SECOND ANNUAL ACTION YEAR PLAN

Public Meeting #2 (3:00 p.m. January 14, 2021)
Zoom Teleconference

Attendees: **25** (16 community members, 9 City of Oklahoma City staff members)

Question: Will the presentation slides be made available following the meeting?

Staff Response: Staff responded that all presentation slides will be made available on our website, and can be emailed if requested.

Question: How can new units be created to address the workforce housing shortage, and how can non-profit housing providers assist?

Staff Response: There is currently an open solicitation for affordable housing development proposals under our HOME program. Federal grants and loans require long term compliance, so experience and administrative capacity are important. All funded projects are subject to long-term annual monitoring and reporting requirements. For housing projects, long term affordability to persons documented to be low and moderate income is required, which for rental projects, places a responsibility on the project owner to properly evaluate and maintain financial records on their residents. The largest need from our non-profit partners is financial subsidy to make the projects cashflow. It generally requires multiple funding sources to make a project feasible because of the reduced rents and higher maintenance costs. The City needs more people and new partners to identify and meet the housing needs of low to moderate income families in our community. Additional funds for affordable housing were made available under the City's General Obligation Limited Tax (GOLT) bond program which helped to support some development.

Question: Is labor the largest part of the project cost for an affordable housing development?

Staff Response: Materials are the largest part of the cost.

Comment: The loss of volunteer pools is problematic. There is also the liability of workers and the lack of LBP training needed to meet HUD requirements on federally funded programs.

Comment: The program rules are not clear. Developers believe that they qualify for these funding sources, but learn that they don't. The various programs do not have the same standards, or even the same income thresholds.

Staff Response: There is an exceptional amount of detail that is difficult for staff to relay. Every project is different, and each will trigger different requirements under the federal regulations based upon things like number of units, targeted populations, and property type. Additionally, most projects have a variety of funding mechanisms contributing, such as tax credits, HOME funds, CDBG funds, HUD loans, voucher programs- all of which have different regulatory requirements and generally different income definitions and restrictions. The complexities of funding affordable housing is a big part of the challenge.

Follow-up Comment: It would be helpful if staff could prepare a manual for potential developers.

Question: Can City-owned lots be donated to LMI projects?

Staff Response: Generally, the City does not hold many vacant lots or properties for which there are not municipal plans. Unfortunately, when we do State law prevents us from conveying property for less than market value. As an exception, the City has been looking for an affordable housing project to develop a large tract of lots on the north side of NW 10th Street between Ellison and Virginia avenues for several years. Due to topographical challenges and difficulties in accessing City utilities, the appraiser was able to discount the value to compensate for the external obsolescence. At times we may be able to get a property from the County that is vacant; generally, these are single family lots that are quickly conveyed to a non-profit organization for affordable housing development.

Comment: Landlords are serving people in poverty with substandard conditions. There are ways to improve the affordable housing stock without taxpayer support; for example, requiring annual property inspections.

Staff Response: Unfortunately, licensing and permitting requirements and restrictions on landlord are likely to face significant opposition as Oklahoma is a very strong property rights state. For problems with maintenance and property upkeep, persons are encouraged to contact the Action Center. The center may be reached by phone, email, or through completion of an online request form on the City's website. They are very efficient in resolving code enforcement issues quickly and effectively. In recent years, there has been greater emphasis placed on Tenants rights and protections against unscrupulous property owners, and many states have enacted legislation on this topic. For specific landlord/tenant disputes, legal assistance may be available from some of our local non-profit organizations such as Legal Aid. The City also provides CDBG funds to Metro Fair Housing to assist with Fair Housing claims.

2021-22 SECOND ANNUAL ACTION YEAR PLAN

Public Meeting #3 (4:00 p.m. March 16, 2021)
Citizens Committee for Community Development
Zoom Teleconference

Attendees: **23** (10 Committee members, 5 community members, 8 City of Oklahoma City staff members)

Question: Has there been any community input on the Oklahoma City' Housing Authority's Creston Park project proposed to be funded with HOME?

OCHA Presenter Response: Yes. This project is part of a 30 acre master plan development. There have been meetings with both public housing residents and surrounding homeowners. There has also been a rezoning of the property that required an extensive public participation process.

Follow-up Question: What was the public response?

Presenter Response: Nothing but positive feedback.

Question: Will the Housing Authority manage and operate the property?

Presenter Response: It will be managed by an Arkansas property management company that specializes in managed care and assisted living facilities.

Follow-up Question: Will the facility employees belong to the property management company, or to OCHA? What is the property manager's track record?

Presenter Response: The property manager will provide the employees. They are the largest operator in Arkansas and manage facilities in Arkansas, Oklahoma, and Texas.

Question: What is the total Project Cost?

Presenter Response: \$27 million.

Question: This is a project for seniors. What is the age range? How is that defined?

Presenter Response: The federal guidelines identify seniors as persons age 65 or older. It is expected the majority of this project's residents may be in their eighties.

Question: Can you be more specific about the location?

Presenter Response: This project will be constructed on previously undeveloped land. The general area is on Martin Luther King Boulevard between NE 26th Street and NE 29th Street on 15 acres of land OCHA purchased from the State. Access to the property will be from NE 28th Street.

Question: Do we have sufficient funds available? Are there other projects on the radar that may need assistance also?

Staff Response: We do not have competing projects in the que at this time. We do have an open HOME solicitation for affordable housing development. There are presently three applications under review totaling \$1.3 million. It's not yet known if any or all of these will be funded. Even if these are awarded, there are additional funds sufficient to support this project and there is a need to spend funds timely to meet federal expenditure guidelines. The solicitation will remain open until June 30th or until funds have been expended. The solicitation may be reopened next Fiscal Year if there is a need.

Question: Is the CDBG solicitation for public facilities projects open for new applications, or is this line item targeted to specific projects?

Staff Response: There is a CDBG solicitation online that remains open until June 30th or until available funds are committed. Projects will be recommended for funding on a first come, first served basis as long as the proposal is eligible, and funds remain available.

Question: Why is the unspent CHDO balance of \$1.5 million so large?

Staff Response: It is larger than we would prefer, but there are new projects pending that have not yet come to fruition. Construction activities were somewhat slowed by COVID and winter storms. There is a 22 unit development on Walnut Street that is just starting infrastructure construction and is a joint venture between two CHDOs. In addition, JPNA is increasing a project from two to four units which will utilize another \$250,000. NHS has a \$460,000 project with a funding agreement anticipated to go to City Council in April. Positively Paseo is planning an additional house construction in Capitol Hill. So even though the carry forward is large, we anticipate this line item will spend down significantly over the next year.

Question: The Down Payment Assistance Carry Forward is disconcerting. The market is hot right now. What is being done to get the word out that these funds are available?

Staff Response: The majority of the DPA funds are expended in the spring and early summer as people begin looking for homes, so a large carry forward is not unusual. Sometimes, homes in the eligible lending area cannot meet HQS inspection requirements and sellers are unwilling to make additional repairs to close the transaction. There is also a growing concern about low income buyers being priced out of certain neighborhoods as market values increase disproportionately with median incomes. We do

at times provide inserts with utility bills alerting customers that assistance is available for qualified buyers, as well as speak in various forums, and provide information on social media- particularly through the Strong Neighborhoods Initiative website.

Follow-up Question: How can the (CCCD) Committee help in getting information about the program to realtors and organizations that may be able to identify potential homebuyers?

Staff Response: Staff would be agreeable to meeting with any businesses or organizations that may have an interest in promoting our programs and is open to other suggestions concerning getting the word out.

Question: Can social media platforms be used to promote the programs? Could you contract with a marketing consultant about preparing a social media package?

Staff Response: Advertising costs would typically be funded from our administration line item which is capped and primarily needed for staff salaries to administer the programs. There are also restrictions in the regulations about marketing, but we can examine those provisions and review the possibility for funds to be used in promoting programs.

Comment: The Oklahoma City Public Schools Foundation is currently soliciting information about programs like DPA that can be shared with their staff. Teacher salaries are very low, and most should be eligible.

Staff Response: Staff would be happy to share this information with them or any other agency if we have contact information.

APPENDIX 3
GLOSSARY OF TERMS

Glossary

ACOG	Association of Central Oklahoma Governments
ACS	American Community Survey
ADA	Americans with Disabilities Act
AHDP	Affordable Housing Development Program
AI	Analysis of Impediments
AMI	Area Median Income
AMP	Asset Management Projects
CAA	Community Action Agency
CAPER	Consolidated Annual Performance and Evaluation Report
CCCD	Citizen's Committee for Community Development
CDBG	Community Development Block Grant
CDBG-R	Community Development Block Grant Recovery Program
CDRP-B	Commercial District Revitalization Program-Business
CEC	Community Enhancement Corporation
CHAS	Comprehensive Housing Affordability Strategy
CHDO	Community Housing Development Organization
CITY	City of Oklahoma City
CoC	Continuum of Care
COTPA	Central Oklahoma Transportation and Parking Authority
CUDI	Central Urban Development, Inc.
DHS	Department of Human Services
CPMP	Consolidated Plan Management Process
DEQ	Department of Environmental Quality
DOT	Department of Transportation
DPA	Down Payment Assistance
ED	Economic Development
EMSA	Emergency Medical Services Authority
EPA	Environmental Protection Agency
ESG	Emergency Solutions Grant
ESMA	Eligible Statistical Metropolitan Area
FSS	Family Self-Sufficiency
GE	General Electric company
HAMFI	Household Area Median Family income
HCDA	Housing and Community Development Act
HIV/AIDS	Human Immunodeficiency Virus infection/Acquired Immune Deficiency Syndrome
HMIS	Homeless Management Information System
HOME	Home Investment Partnerships Program
HOPWA	Housing Opportunities for Persons With Aids

HPRP	Homelessness Prevention Rapid Rehousing Program
HTF	Housing Trust Fund
HUD	Housing and Urban Development
LIHTC	Low Income Housing Tax Credits
LMI	Low to Moderate Income
MSA	Metropolitan Statistical Area
MAPS	Metropolitan Area Projects
NHS	Neighborhood Housing Services
NIMBY	Not In My Backyard
NRSA	Neighborhood Revitalization Strategy Area
NSO	Neighborhood Services Organization
NSP	Neighborhood Stabilization Program
OCHA	Oklahoma City Housing Authority
OCURA	Oklahoma City Urban Renewal Authority
ODOC	Oklahoma Department of Commerce
OG&E	Oklahoma Gas and Electric company
OHFA	Oklahoma Housing Finance Agency
OSDH	Oklahoma State Department of Health
PHA	Public Housing Authority
PIC	PIH Information Center
PIH	Public and Indian Housing
PIT	Point In Time
RFP	Request for Proposals
SNI	Strong Neighborhoods Initiative
SOAR	SSI/SSDI Outreach, Access & Recovery
SPDAT	Service Prioritization Decision Assistance Tool
SRO	Single Room Occupancy
SSI/SSDI	Supplemental Security Income/Social Security Disability Income
SSVF	Supportive Housing for Veteran's Families
STRMU	Short Term Rent Mortgage and Utility assistance
TA	Technical Assistance
TBRA	Tenant Based Rental Assistance
TIF	Tax Increment Financing
VA	Veteran's Administration
VASH	Veterans Affairs Supportive Housing
WIC	Women, Infants and Children
YWCA	Young Women's Christian Association

APPENDIX 4

ANNUAL OBJECTIVES TABLE

ANNUAL ACTION PLAN OBJECTIVES

SECOND ACTION YEAR PLAN FY 2021-2022

AFFORDABLE HOUSING CONSTRUCTION AND DEVELOPMENT

Objective:

<i>Affordable Housing Development Program</i>	
HOME-Affordable Housing Development Program (AHDP)	97 units
HOME-CHDO Homes	8 units
CDBG-Walnut Street LLC Project	6 units

RETENTION AND REHABILITATION OF AFFORDABLE HOUSING

<i>Affordable Housing Rehab and Retention</i>	
CDBG-Housing Exterior Maintenance Program (HEMP)	58 HH
CDBG-Emergency Repair	130 HH
CDBG- OCHA Inspection Program	200 units
CDBG- OCHA Public Housing Modernizations	10 units
HOME- Housing Assistance Program (HAP) Whole House Rehabs	17 HH
HOPWA-Tenant Based Rental Assistance (TBRA)	352 HH
HOPWA- Short Term Mortgage, Rent, and Utility Assistance Payments (STRMU)	70 HH

HOMEBUYER FINANCIAL ASSISTANCE

<i>Homebuyer Financial Assistance</i>	
HOME-Homebuyer Down Payment Assistance Program (DPA)	30 HH

ELIMINATION OF SLUM AND BLIGHT

<i>Slum and Blight Remediation Programs</i>	
CDBG-OCURA Urban Renewal Completions	2 units
CDBG-Abandoned Housing Program-Code Enforcement	650 units

PUBLIC SERVICES

<i>Public Service Activities</i>	
CDBG-Youth Services-STEAM (SNI)	400 LMC
CDBG-Hazardous Tree Removal (SNI)	50 HH
CDBG- Neighborhood Cleanup Projects (SNI)	20 HH
CDBG- Transportation Services-COTPA (CD)	52,150 LMC
CDBG-Community Health Services (CD)	950
CDBG- Legal Assistance to prevent foreclosures and evictions (CD)	100 HH

PUBLIC FACILITIES

<i>Public Facilities and Infrastructure</i>	
CDBG-Capitol Hill Sidewalks (SNI)	1,595 LF
CDBG-SNI Parks Project (SNI)	2,514 HH
CDBG-SNI Tree Plantings (SNI)	50 HH
CDBG-Neighborhood-led projects (SNI)	3,214 HH

ECONOMIC DEVELOPMENT AND OPPORTUNITY

<i>Economic Development Programs</i>	
CDBG-CAA Small Business Training Assistance Program	140 businesses
CDBG-OCURA Microenterprise Program	20 businesses
First National Center Section 108 Loan	50 LM Jobs

HOMELESSNESS PREVENTION

<i>Homeless Programs and Services</i>	
HOPWA- Assistance to Persons with HIV/AIDS (TBRA/STRMU reported in hsg. above)	422 LMC
ESG-Homelessness Prevention	250 LMC
ESG-Shelter (individuals served)	1,200 LMC
ESG-Shelter (beds provided)	117
ESG-Public Services to the homeless	1180 LMC
HOPWA-Supportive services and Case Management	35 LMC

ADMINISTRATION, PLANNING AND FAIR HOUSING

<i>Program Administration and Planning</i>	
CDBG- Fair Housing Compliant Investigation	450 HH

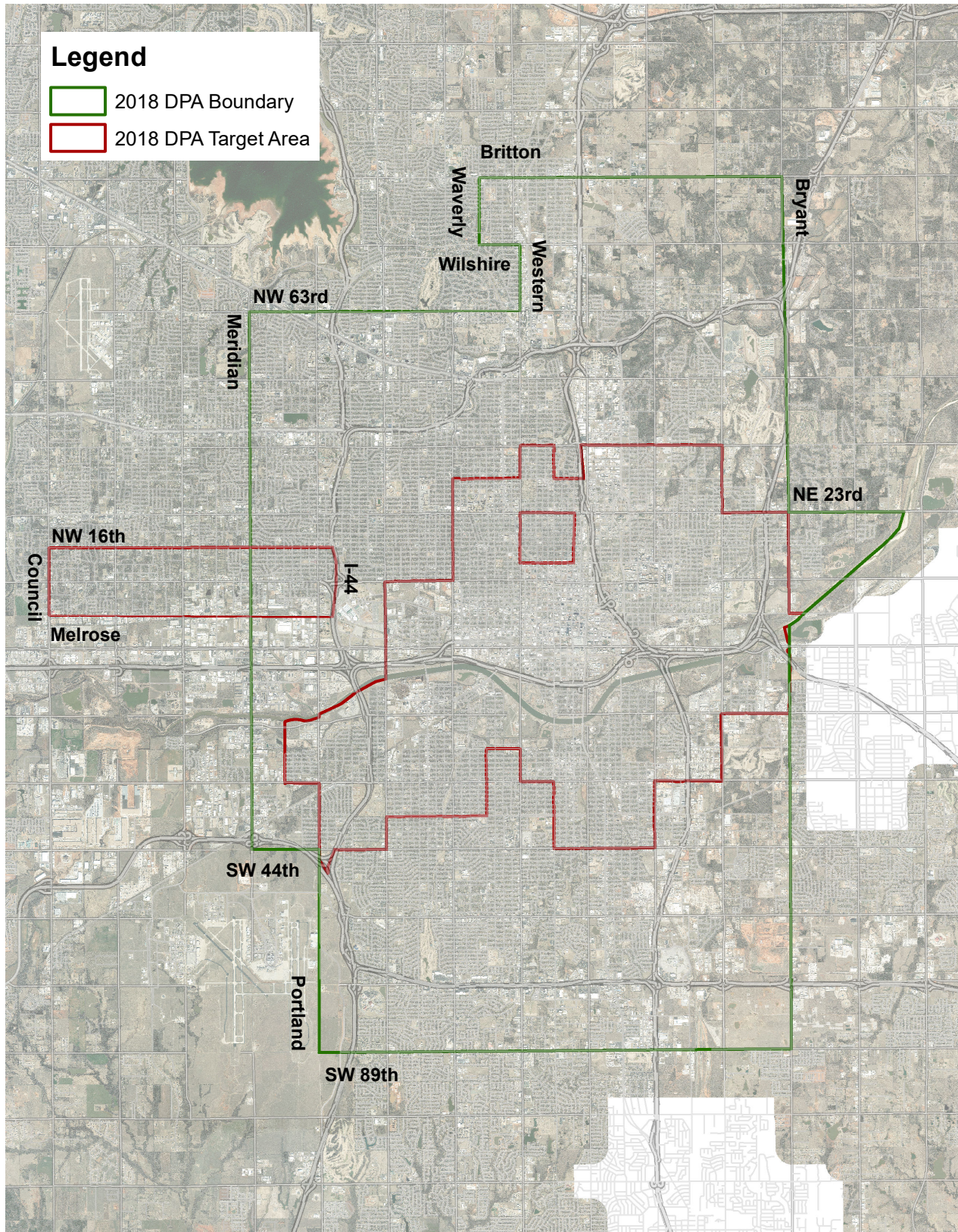
APPENDIX 5

DOWNPAYMENT ASSISTANCE PROGRAM

BOUNDARIES

OKLAHOMA CITY DOWN PAYMENT ASSISTANCE PROGRAM GUIDELINES

EXHIBIT 1



APPENDIX 6

SF-424 AND CERTIFICATIONS (COPIES)

Application for Federal Assistance SF-424

* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): _____ * Other (Specify): _____
---	---	--

* 3. Date Received: _____	4. Applicant Identifier: _____
-------------------------------------	--

5a. Federal Entity Identifier: _____	5b. Federal Award Identifier: _____
--	---

State Use Only:

6. Date Received by State: _____	7. State Application Identifier: _____
---	---

8. APPLICANT INFORMATION:

* a. Legal Name: The City of Oklahoma City		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 736005359	* c. Organizational DUNS: 0141047770000	

d. Address:

* Street1:	420 W. Main Street
Street2:	Suite 920
* City:	Oklahoma City
County/Parish:	Oklahoma
* State:	OK: Oklahoma
Province:	
* Country:	USA: UNITED STATES
* Zip / Postal Code:	73102-4437

e. Organizational Unit:

Department Name: Planning Department	Division Name: Community Development Division
--	---

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Mr.	* First Name: Chris
Middle Name:	
* Last Name: Varga	
Suffix:	

Title: Community Development Manager

Organizational Affiliation: The City of Oklahoma City

* Telephone Number: (405) 297-1639	Fax Number: (405) 297-3796
---	-----------------------------------

* Email: christopher.varga@okc.gov

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.218

CFDA Title:

PY21 Oklahoma City Community Development Block Grant

*** 12. Funding Opportunity Number:**

* Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

Community Development Block Grant (CDBG) program activities

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="5,042,780.00"/>
* b. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value=""/>
* f. Program Income	<input type="text" value=""/>
* g. TOTAL	<input type="text" value="5,042,780.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

- Yes
- No

If "Yes", provide explanation and attach

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative:

* Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

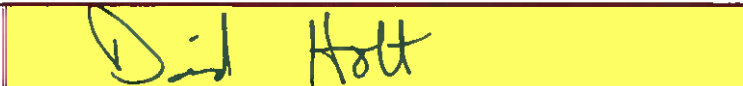
PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE Mayor
APPLICANT ORGANIZATION The City of Oklahoma City	DATE SUBMITTED April 27, 2021

Application for Federal Assistance SF-424

*** 1. Type of Submission:**

- Preapplication
 Application
 Changed/Corrected Application

*** 2. Type of Application:**

- New
 Continuation
 Revision

*** If Revision, select appropriate letter(s):**

*** Other (Specify):**

*** 3. Date Received:**

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

*** a. Legal Name:**

The City of Oklahoma City

*** b. Employer/Taxpayer Identification Number (EIN/TIN):**

736005359

*** c. Organizational DUNS:**

0141047770000

d. Address:

*** Street1:**

420 W. Main Street

Street2:

Suite 920

*** City:**

Oklahoma City

County/Parish:

Oklahoma

*** State:**

OK: Oklahoma

Province:

*** Country:**

USA: UNITED STATES

*** Zip / Postal Code:**

73102-4437

e. Organizational Unit:

Department Name:

Planning Department

Division Name:

Community Development Division

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Mr.

*** First Name:**

Chris

Middle Name:

*** Last Name:**

Varga

Suffix:

Title:

Community Development Manager

Organizational Affiliation:

The City of Oklahoma City

*** Telephone Number:**

(405) 297-1639

Fax Number:

(405) 297-3796

*** Email:**

christopher.varga@okc.gov

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.239

CFDA Title:

PY21 Oklahoma City HOME Investment Partnerships Grant (HOME)

*** 12. Funding Opportunity Number:**

* Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

HOME Investment Partnerships program (HOME)activities

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="2,329,575.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="2,329,575.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

- Yes
- No

If "Yes", provide explanation and attach

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: 

* Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

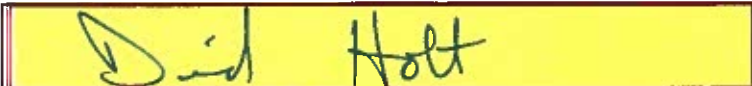
PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

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1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
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11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE Mayor
APPLICANT ORGANIZATION The City of Oklahoma City	DATE SUBMITTED April 27, 2021

Application for Federal Assistance SF-424

* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): _____ * Other (Specify): _____
---	---	--

* 3. Date Received: _____	4. Applicant Identifier: _____
-------------------------------------	--

5a. Federal Entity Identifier: _____	5b. Federal Award Identifier: _____
--	---

State Use Only:

6. Date Received by State: _____	7. State Application Identifier: _____
---	---

B. APPLICANT INFORMATION:

* a. Legal Name: The City of Oklahoma City		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 736005359	* c. Organizational DUNS: 0141047770000	

d. Address:

* Street1:	420 W. Main Street
Street2:	Suite 920
* City:	Oklahoma City
County/Parish:	Oklahoma
* State:	OK: Oklahoma
Province:	
* Country:	USA: UNITED STATES
* Zip / Postal Code:	73102-4437

e. Organizational Unit:

Department Name: Planning Department	Division Name: Community Development Division
--	---

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Mr.	* First Name: Chris
Middle Name:	
* Last Name: Varga	
Suffix:	
Title: Community Development Manager	
Organizational Affiliation: The City of Oklahoma City	
* Telephone Number: (405) 297-1639	Fax Number: (405) 297-3796
* Email: christopher.varga@okc.gov	

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.231

CFDA Title:

PY21 Oklahoma City Emergency Solutions Grant

*** 12. Funding Opportunity Number:**

* Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

Emergency Solutions Grant (ESG) activities

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="427,463.00"/>
* b. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value=""/>
* f. Program Income	<input type="text" value=""/>
* g. TOTAL	<input type="text" value="427,463.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

- Yes
- No

If "Yes", provide explanation and attach

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative:

* Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.



PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION	DATE SUBMITTED
The City of Oklahoma City	

Application for Federal Assistance SF-424

* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): _____ * Other (Specify): _____
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* 3. Date Received: _____	4. Applicant Identifier: _____
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5a. Federal Entity Identifier: _____	5b. Federal Award Identifier: _____
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State Use Only:

6. Date Received by State: _____	7. State Application Identifier: _____
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8. APPLICANT INFORMATION:

* a. Legal Name: The City of Oklahoma City		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 736005359	* c. Organizational DUNS: 0141047770000	

d. Address:

* Street1:	420 W. Main Street
Street2:	Suite 920
* City:	Oklahoma City
County/Parish:	Oklahoma
* State:	OK: Oklahoma
Province:	
* Country:	USA: UNITED STATES
* Zip / Postal Code:	73102-4437

e. Organizational Unit:

Department Name: Planning Department	Division Name: Community Development Division
--	---

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Mr.	* First Name: Chris
Middle Name:	
* Last Name: Varga	
Suffix:	
Title: Community Development Manager	
Organizational Affiliation: The City of Oklahoma City	
* Telephone Number: (405) 297-1639	Fax Number: (405) 297-3796
* Email: christopher.varga@okc.gov	

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*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.241

CFDA Title:

PY21 Oklahoma City Housing Opportunities for Persons with AIDS Grant (HOPWA)

*** 12. Funding Opportunity Number:**

* Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

Housing Opportunities for Persons With AIDS (HOPWA) program activities

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant:

* b. Program/Project:

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="1,003,886.00"/>
* b. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value=""/>
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- Yes
- No

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** I AGREE

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Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative:

* Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

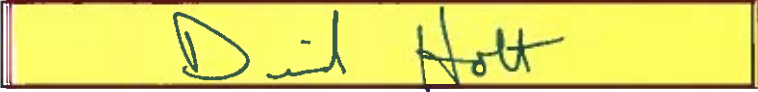
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As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
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18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE Mayor
APPLICANT ORGANIZATION The City of Oklahoma City	DATE SUBMITTED April 27, 2021

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.



Signature of Authorized Official



Date

Mayor

Title

Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2021, 2022, and 2023 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

Compliance with Laws -- It will comply with applicable laws.

Dan Holt
Signature of Authorized Official

4/27/21
Date

Mayor
Title

OPTIONAL Community Development Block Grant Certification

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

David Holt
Signature of Authorized Official

4/27/2021
Date

Mayor
Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

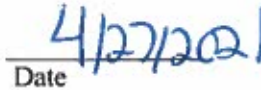
Tenant Based Rental Assistance -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy layering -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;



Signature of Authorized Official



Date

Mayor

Title

Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program recipient certifies that:

Major rehabilitation/conversion/renovation – If an emergency shelter’s rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.

Matching Funds – The recipient will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

Discharge Policy – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

David Holt
Signature of Authorized Official

9/27/2021
Date

Mayor
Title

Housing Opportunities for Persons With AIDS Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For a period of not less than 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

David Holt
Signature of Authorized Official

4/27/2021
Date

Mayor
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

APPENDIX 7
CITY COUNCIL RESOLUTION



MEMORANDUM

Council Agenda
Item No. IX. V.1&2
4/27/2021

The City of OKLAHOMA CITY

TO: Mayor and City Council

FROM: Craig Freeman, City Manager

1. Public hearing regarding the Fiscal Year 2021-22 Second Action Year Plan.
2. Resolution approving the Fiscal Year 2021-22 Second Action Year Plan, and approving new formula grant funding allocations for the Community Development Block Grant \$5,042,780; HOME Investment Partnerships Program \$2,329,575; Emergency Solutions Grant Program \$427,463; and the Housing Opportunities For Persons With AIDS \$1,003,886; and providing for allocation of previously unallocated FY 2019-20 Community Development Block Grant Program Income in the amount of \$1,517,518.73 and FY 2020-21 unallocated Program Income in the amount of \$76,000; and estimated Community Development Block Grant program funds that will be recaptured from prior year of approximately \$589,634.25; and providing for allocation of HOME Investment Partnerships Program FY 2020-21 recaptured program funds in the amount of \$46,445.99, and estimated FY 2021-22 Program Income of \$80,000; approving application documents and certifications, U.S. Department of Housing and Urban Development (HUD) grant agreements and operating agreements with sub-grantees included in Attachment "A" of the Resolution, including the Community Action Agency programs (including the 16th Amendment to the Section 108 loan fund), Community Health Services, Inc., Oklahoma City Urban Renewal Authority, Oklahoma City Housing Authority (including an MOU for the public housing modernization program), Metropolitan Fair Housing Council, Central Oklahoma Transportation and Parking Authority, and the Oklahoma City Housing Services Redevelopment Corporation and Jefferson Park Neighbors Association operating under a Joint Venture Agreement; providing interest earnings on unexpended Section 108 loan accounts shall be used for debt service on Section 108 loans; and authorizing execution of necessary documents.

Purpose:

The first action for this Council item, the public hearing, affords citizens an opportunity to comment on the Plan before the Council takes action. The Resolution approving the FY 2021-22 Second Action Year Plan provides for the allocation of grant funds to meet the priorities of the Consolidated Plan for FY 2020-24, and serves as the application for the four (4) formula grant programs administered by the U.S. Department of Housing and Urban Development (HUD).

Background:

The Consolidated Plan is a document required by the U.S. Department of Housing and Urban Development (HUD) as a pre-requisite for receiving federal formula grant funds. The Consolidated Plan provides a framework for establishing objectives and assigning priorities for addressing

housing and community development needs. The Plan is implemented in five (5) annual Action Year Plans that provide for the allocation of grant funds awarded by HUD for eligible activities during a program year. The Action Year budget follows the assessment of housing needs, non-homeless special needs, and non-housing community development needs identified in the Five-Year Consolidated Plan; and allocates resources to priorities based on the availability of funds.

Development of the proposed FY 2021-22 Second Action Year Plan was initiated by the Planning Department by convening two (2) public meetings in January 2021 to solicit citizen input on housing and community development needs. The Citizen's Committee for Community Development ("Citizens Committee") convened an additional public meeting on March 16, 2021, at which time Community Development Staff discussed the strategies and objectives, programs, and funding levels for the Second Action Year Plan. All public meetings were held virtually via Zoom Teleconferencing due to pandemic-related restrictions on in-person public meetings. The Citizen's Committee accepted the funding recommendations and strategic priorities and endorsed the item for presentation to City Council for final approval.

To comply with programmatic public participation requirements, a 30-day comment period was initiated by a publication in The Oklahoman on March 26, 2021. Once adopted by City Council, the final plan must be submitted to the HUD Regional Office on or before May 15, 2021, unless otherwise extended by HUD. Recommended funding allocations for the CDBG and HOME programs appear in Attachment "A" of the Resolution. ESG and HOPWA funds will be allocated through the Continuum of Care Committee based on a Request for Proposal (RFP) process; the Committee's funding recommendations will be forwarded to City Council for final approval.

In addition to approving the Plan and funding allocations, the Resolution authorizes the Mayor to sign the HUD Release of Funds (ROF) forms on an ongoing basis throughout the year. A ROF must be completed prior to any expenditure of funds for a HUD-assisted project but is not a City commitment or guarantee for use of HUD funding. Rather, submission is required as part of an administrative process (defined in 24 CFR Part 58) to determine environmental impact of a potential HUD-assisted project. The ROF process should be completed prior to any Council action to allocate or contract for the use of HUD funds. The Resolution provides authorization for Housing and Community Development staff assigned environmental review responsibilities to sign applicable determinations and statutory checklists for exempt and categorically excluded activities under 24 CFR part 58, which do not require a Release of Funds. Additionally, the City is required by regulation to complete the environmental review process and ROF documents for the Oklahoma City Housing Authority (OCHA) whenever they use federal dollars whether passed through the City to OCHA as a subrecipient; or whether those funds go directly from federal agencies to OCHA. This Resolution authorizes the Mayor's signature on those items as well.

Attachment A to the Resolution summarizes recommended allocations for programs and projects for the Second Action Year. Funds identified as "to be carried forward" have been projected based on the current project spending rates and available information; these numbers will fluctuate as spending continues through June 30. For these projects, the final carry forward balance may cause a need to adjust new allocated dollars accordingly to provide up to the full project funding for the upcoming year. (As an example, the Community Action Agency Emergency Home Repair program has a recommendation of \$550K with expectation of an additional \$50,000 to be carried

forward from the current year. The intention is to fund the program for a total of \$600K for the upcoming program year. If there is only \$25K left to carry forward, the allocation would be \$575K. Alternatively, if \$75K is left unspent, only \$525K of new funds would be needed.)

The allocation of formula grant funds to program activities will be paid through the individual grants for which an application is authorized in the Resolution. The funds will be set up in the new fiscal year categories when the grant agreements are approved and returned to The City by HUD. Subsequent adjustments necessitated by changes in projected carry-forward and recaptured balances and/or changes to the announced formula grant funding by HUD will be made to the uncommitted public facilities line item for Community Development Block Grant activities, and to the uncommitted funds in the affordable housing development line item for the HOME Investment Partnerships Program.

Source of Funds:

GRANTS MANAGEMENT– DEPT OF HSG & URB DEVEL-RSTR-CDBG ENTITLEMENT – B-21-MC-40-0003 (019-6140-2408000-G80378)

GRANTS MANAGEMENT– DEPT OF HSG & URB DEVEL-RSTR-HOME – M-21-MC-40-0203 (019-6140-2408030-G80379)

GRANTS MANAGEMENT– DEPT OF HSG & URB DEVEL-RSTR-EMERGENCY SHELTER – E-21-MC-40-0003 (019-6140-2408010-G80380)

GRANTS MANAGEMENT– DEPT OF HSG & URB DEVEL-RSTR-HOPWA – OK-H-21-F0001 (019-6140-2408035-G80381)

Review:

Planning Department

Recommendation: Resolution be adopted.

RESOLUTION

RESOLUTION APPROVING THE FISCAL YEAR 2021-22 SECOND ACTION YEAR PLAN, AND APPROVING NEW FORMULA GRANT FUNDING ALLOCATIONS FOR THE COMMUNITY DEVELOPMENT BLOCK GRANT \$5,042,780; HOME INVESTMENT PARTNERSHIPS PROGRAM \$2,329,575; EMERGENCY SOLUTIONS GRANT PROGRAM \$427,463; AND THE HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS \$1,003,886; AND PROVIDING FOR ALLOCATION OF PREVIOUSLY UNALLOCATED FY 2019-20 COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM INCOME IN THE AMOUNT OF \$1,517,518.73 AND FY 2020-21 UNALLOCATED PROGRAM INCOME IN THE AMOUNT OF \$76,000; AND ESTIMATED COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM FUNDS THAT WILL BE RECAPTURED FROM PRIOR YEAR OF APPROXIMATELY \$589,634.25, HOME INVESTMENT PARTNERSHIPS PROGRAM FY 2020-21 RECAPTURED PROGRAM FUNDS IN THE AMOUNT OF \$46,445.99, AND ESTIMATED FY 2021-22 PROGRAM INCOME OF \$80,000; APPROVING APPLICATION DOCUMENTS AND CERTIFICATIONS, U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT (HUD) GRANT AGREEMENTS AND OPERATING AGREEMENTS WITH SUB-GRANTEES, INCLUDED IN ATTACHMENT "A", OF THE RESOLUTION, INCLUDING THE COMMUNITY ACTION AGENCY PROGRAMS (INCLUDING THE 16TH AMENDMENT TO THE SECTION 108 LOAN FUND), COMMUNITY HEALTH SERVICES, INC., OKLAHOMA CITY URBAN RENEWAL AUTHORITY, OKLAHOMA CITY HOUSING AUTHORITY (INCLUDING AN MOU FOR THE PUBLIC HOUSING MODERNIZATION PROGRAM), METROPOLITAN FAIR HOUSING COUNCIL, CENTRAL OKLAHOMA TRANSPORTATION AND PARKING AUTHORITY, AND THE OKLAHOMA CITY HOUSING SERVICES REDEVELOPMENT CORPORATION AND JEFFERSON PARK NEIGHBORS ASSOCIATION OPERATING UNDER A JOINT VENTURE AGREEMENT; PROVIDING INTEREST EARNINGS ON UNEXPENDED SECTION 108 LOAN ACCOUNTS SHALL BE USED FOR DEBT SERVICE ON SECTION 108 LOANS; AND AUTHORIZING EXECUTION OF NECESSARY DOCUMENTS.

WHEREAS, The City of Oklahoma City (City) has received allocations of Community Development Block Grant (CDBG) funds since 1975, Home Investment Partnerships Program (HOME) funds since 1992, and Emergency Solutions Grant (ESG) funds since 1987 to primarily benefit persons of low income; and

WHEREAS, the U.S. Department of Housing and Urban Development (HUD) has been providing a direct allocation of Housing Opportunities for Persons with AIDS (HOPWA) grant funds to the City since FY 2000-01; and

WHEREAS, it is the policy of The City of Oklahoma City to commit the use of these funds in the most efficient way possible to meet the needs of its lower-income populations including the use of subgrants to partner agencies; and

WHEREAS, the City desires to execute operating agreements and memorandums of understanding to implement the direct funding allocations to sub-grantees named in Attachment “A”; and

WHEREAS, the City is required to perform environmental review per 24 CFR Part 58 on all federally funded projects, and is also required to do so on behalf of the Oklahoma City Housing Authority (OCHA) for use of federal funds whether those are received directly by OCHA or passed through the City as a subrecipient; and

WHEREAS, federal regulations set forth requirements governing the expenditure of funds, set certain ratios and set-asides of funds to ensure direct benefit to persons of lower-income, and allow certain flexibility in those requirements for the area designated by HUD as the Neighborhood Revitalization Strategy Area (NRSA); and

WHEREAS, FY 2021-22 will be the first year of a three-year averaging period to achieve the requirement to spend 70% of CDBG funds to benefit low/moderate income persons in the NRSA area; and

WHEREAS, the final FY 2021-22 formula grant allocations from HUD, have been announced and required federal public participation requirements have been adhered to;

NOW, THEREFORE, BE IT RESOLVED BY the Mayor and Council of The City of Oklahoma City:

1. The proposed Fiscal Year 2021-22 Second Action Year Plan is adopted for the period July 1, 2021 through June 30, 2022.
2. The allocations of funds for the CDBG, HOME, ESG, and HOPWA programs, program income, and recaptured funds from prior years attached hereto and reflected in the FY 2021-22 Second Action Year Plan are approved.
3. Subsequent adjustments to the CDBG funds due to changes in projected balances recaptured or carried forward, and/or changes to formula grant funds announced by HUD will be made to the uncommitted public facilities line item for funds available for solicitation.
4. Subsequent adjustments to the HOME program funds due to changes in projected balances recaptured or carried forward, and/or changes to formula grant funds announced by HUD will be made to the uncommitted affordable housing development line item.
5. The Mayor is authorized to execute the application documents, to make the required certifications, and to execute the HUD grant agreements.
6. The Mayor is authorized to execute operating agreements with sub-grantees to whom funds have been allocated in Attachment “A” that include the Community Action Agency programs (including the 16th Amendment to the Section 108 loan fund), Community Health Services, Inc., Oklahoma City Urban Renewal Authority, Oklahoma City Housing Authority (including an MOU for the public housing modernization program), Metropolitan Fair Housing Council and Central Oklahoma Transportation and Parking

Authority, and the Oklahoma City Housing Services Redevelopment Corporation and Jefferson Park Neighbors Association operating under a Joint Venture Agreement.

7. The Mayor is authorized to sign HUD Form 7015.15 Requests for Release of Funds forms which includes certification of environmental compliance required by 24 CFR Part 58 for projects that may be funded with HUD dollars, including any required for OCHA projects.
8. The Mayor and/or his designee, the Planning Department, Housing and Community Development Manager or management staff overseeing environmental reviews are authorized to sign all applicable Environmental Review determinations and statutory checklists for 'exempt', 'categorically excluded not subject to', and 'categorically excluded subject to' activities under 24 CFR Part 58 which do not require HUD Form 7015.15 Request for Release of Funds during the program year.
9. The Mayor and/or his designee, the Housing and Community Development Division manager in The City of Oklahoma City Planning Department, are authorized to sign documents certifying that a project is in conformance with the Consolidated Plan.

PROVIDED that copies of the executed application and related documents are filed with the City Clerk's Office; and

PROVIDED that the Mayor will not sign any agreement or contract pursuant to such awards that is not herein authorized without first securing the specific approval of the City Council.

ADOPTED by the Council and **APPROVED** by the Mayor of The City of Oklahoma City this 27th day of April, 2021.

ATTEST: [SEAL]

Amy K. Simpson

CITY CLERK



David Holt

MAYOR

REVIEWED FOR FORM AND LEGALITY

Wiley L. Williams

ASSISTANT MUNICIPAL COUNSELOR

**ATTACHMENT “A”
FUNDING RECOMMENDATIONS**

COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG)

Sources of Funds

Community Development Block Grant 2021-22	\$5,042,780
2020-21 Recaptured and Reprogrammed Funds and Program Income	\$2,183,153

Total Sources of CDBG Funds	\$7,225,933
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HOME INVESTMENT PARTNERSHIPS PROGRAM (HOME)

Sources of Funds

Home Investment Partnerships Program 2021-22	\$2,329,575
2020-21 Recaptured and Reprogrammed funds	\$46,446
Estimated Program Income	\$80,001

Total Sources of HOME Funds	\$2,456,022
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EMERGENCY SOLUTIONS GRANT PROGRAM (ESG)

ESG funds allocated for 2021-22	427,463
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HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS (HOPWA)

HOPWA funds allocated for 2021-22	\$1,003,886
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APPLICATION OF FUNDS – FY 2021-22 ALLOCATIONS

CDBG:

Decent Housing

JPNA/Paseo Joint Venture-Walnut Dev. Infrastructure	\$50,000
Oklahoma City Housing Assistance Program (to include the listed activities):	\$950,000
• Housing Exterior Maintenance Project Activity	
• Program Delivery Activity	
• OCHA-Inspection Program-Housing Service	\$1,063
Oklahoma City Housing Authority (OCHA)	\$235,000
Community Action Agency (CAA) Emergency Home Repair	\$550,000

Slum and Blight Remediation

Urban Renewal Authority Completions	\$753,880
OKC Abandoned Housing-Spot Blight	\$49,407
Code Enforcement Title Inspection Program	\$30,000

Public Service Activities

Strong Neighborhoods Initiative-PS	\$530,000
COTPA (Embark) Share-A-Fare	\$105,000
Community Health Services, Inc.-Healing Hands	\$60,000

Public Facilities Activities

Strong Neighborhoods Initiative-PF	\$1,820,000
SNI-PF Program Delivery	\$100,000
Public Art Installation-Wellness Center 3	\$23,500
Public Art Installation- Pitts Park	\$10,000
OCURA-Mirimar Sewer Extension	\$478,535
Community Development Program-PF (RFP)	\$458,279

Economic Opportunity

CAA Small Business	\$12,713
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Administration

Metropolitan Fair Housing Council	\$93,000
Planning	\$50,000
Administration	\$865,556

Unprogrammed Funds**\$0****TOTAL ALLOCATION OF CDBG FUNDS****\$7,225,933**

PRIOR YEAR CDBG PROJECTS/ACTIVITIES TO BE CARRIED FORWARD TO 2021-22 ALONG WITH ANY REMAINING FUNDS: **

- Community Action Agency Emergency Home Repair *(up to \$50,000 carried forward)*
- OCURA Urban Renewal Completions, Slum/Blight/Remediation *(up to \$35,000 carried forward)*
- OKC Abandoned Housing Program-Spot Blight *(up to \$50,593 carried forward)*
- OCHA Inspection Program *(\$48,936 carried forward)*
- OKC Exterior Maintenance Program *(up to \$350,000 carried forward)*
- Community Development Public Facilities Program -Unallocated *(Open RFP \$1,378,738 carried forward)*
- General Public Services-Legal Assistance Program *(up to \$50,000 carried forward)*
- SNI Public Facilities *(up to \$436,897 carried forward)*
- Community Development Public Facilities Program-City Care project *(up to \$12,157 carried forward)*
- Section 108 Loan Repayment Contingency *(\$350,000 carried forward)*
- CAA Small Business Services *(up to \$27,286 carried forward)*
- ED Program-Mkt on 23rd St *(\$560,000 carried forward)*

PRIOR YEAR CDBG PROJECTS/ACTIVITIES TO BE COMPLETED WITH ANY REMAINING FUND BALANCES BEING RECAPTURED:

- Oklahoma City Urban Renewal Authority Unprogrammed PI *(\$307,990 recaptured).*
- OCURA Microenterprise Program *(up to \$170,545 recaptured)*
- Community Action Agency Graffiti Removal *(\$10,000 recaptured)*
- COTPA Share-A-Fare Program
- SNI-Public Service Activities
- Community Health Services-Healing Hands
- Metropolitan Fair Housing Council
- Child Advocacy Services-CASA
- Planning
- Administration

HOME:

Down Payment Assistance Program (80% targeted to NRSA/20% non-targeted)	\$289,928
Oklahoma City Housing Assistance Program (40% targeted to SNI)	\$170,000
Affordable Housing Development Program-Solicitation	\$605,700
Affordable Housing Development Program- OCHA Creston Park Care Suites	\$800,000
Community Housing Development Organization (CHDO) Set-aside	\$349,436
Planning and Administration	\$240,958

TOTAL ALLOCATION OF HOME FUNDS \$2,456,022

PRIOR YEAR HOME PROJECTS/ACTIVITIES TO BE CARRIED FORWARD TO 2021-22 ALONG WITH ANY REMAINING FUNDS **

Community Housing Development Organization unallocated (*up to \$1,518,164 carried forward*)

Community Housing Development Organization projects underway (*up to \$167,076 carried forward*)

Down Payment Assistance Program (*up to \$335,071 carried forward*)

Affordable Housing Development Program

- Uncommitted funds (*up to \$1,876,000 carried forward*)

Oklahoma City Housing Assistance Program (*Up to \$567,128 carried forward*)

Administration (*up to \$948,275 carried forward*)

PRIOR YEAR HOME PROJECTS/ACTIVITIES TO BE COMPLETED WITH ANY REMAINING FUND BALANCES BEING RECAPTURED*:

- Affordable Housing Development Program-Mitchford/Euclid project (*\$46,445 recaptured*)

EMERGENCY SOLUTIONS GRANT (ESG): \$427,463

ESG funds are awarded through an Open Solicitation process. The Continuum of Care Committee will review proposals and make recommendations for funding which will be presented to the City Council for final approval. All ESG funds will be allocated through this process to non-profit organizations providing housing assistance and services to the homeless.

HOUSING OPPORTUNITIES FOR PERSONS WITH HIV/AIDS (HOPWA): \$1,003,886

HOPWA funds are awarded through an Open Solicitation process. The Continuum of Care Committee will review proposals and make recommendations for funding which will be presented to City Council for final approval.

Oklahoma City directly administers HOPWA funds through a contract with a local nonprofit service provider who conducts outreach and distributes funds to provide services to persons with HIV/AIDS in the Eligible Statistical Metropolitan Area (ESMA). The ESMA includes seven counties serving the needs of persons with HIV/AIDS and their families.

*** For projects with funds to be carried forward, the final balance unspent as of June 30, 2021 may cause a need to adjust 2021-22 allocated dollars accordingly to provide up to the full project funding for the upcoming year. For example, the Community Action Agency Emergency Home Repair program has a recommendation of \$550,000 with expectation of an additional \$50,000 unspent from the current year and carried forward. The intention is to fund the program at \$600,000 for the upcoming year. If only \$25,000 is left to carry forward, the allocation would be \$575,000. Alternatively, if \$75,000 is left unspent, only \$525,000 of new funds would be needed.*

Program Income

Program income for the 2021-22 Second Action Plan Year will be allocated as follows:

1. Program income generated from Section 108 Loan investment and repayment accounts will be used to pay Section 108 principal and interest.
2. If any funding rebates are provided by material suppliers resulting from Oklahoma City Housing Assistance Program CDBG activities, those funds will be allocated to the Oklahoma City Housing Assistance Program to assist with funding additional Housing Exterior Maintenance projects.
3. Subject to 20% regulatory caps, CDBG program income may be used to fund administrative expenses or create additional administrative capacity during the program year in which it is realized.
4. Program income that is generated by the Oklahoma City Urban Renewal Authority (OCURA) activities during the 2021-22 program year *may be* reallocated back to OCURA for use in CDBG eligible activities.
5. In accordance with CPD Notice 97-9, III.J., HOME Program Income that is deposited to the City's letter of credit, and that creates additional administrative capacity, may be used by the City for HOME administrative expenses incurred during the Action Year, and excess administrative capacity may be carried forward to subsequent years.
6. Unallocated CHDO funds may be used as loan funds available to CHDOs or reallocated to CHDO set-aside activities as needed to ensure timely allocation and efficient use of these resources in the production of affordable units.

APPENDIX 8

ESG POLICIES AND PROCEDURES



Oklahoma City Continuum of Care

CoC/ESG

Written Standards

OVERVIEW

The Homeless Emergency Assistance and Rapid Transition to Housing Act of 2009 (HEARTH Act), enacted into law on May 20, 2009, consolidates three of the separate homeless assistance programs administered by HUD under the McKinney-Vento Homeless Assistance Act into a single grant program, and revises the Emergency Shelter Grants program and renames it the Emergency Solutions Grants program. The single grant program authorized by the HEARTH Act is Continuum of Care (CoC). Continuum of Care is a competitive grant operating under an annual Notice of Funding Availability (NOFA). The CoC Program is designed to assist individuals (including unaccompanied youth) and families experiencing homelessness and to provide the services needed to help such individuals move into transitional and permanent housing, with the goal of long-term stability.

The following standards for providing assistance using McKinney-Vento Homeless Assistance funds were created in coordination with the City of Oklahoma City Community and Oklahoma City Continuum of Care. These standards are in accordance with the interim rule for the Emergency Solutions Grant Program, and the final rule for the definition of homelessness; and the Continuum of Care Program Interim Rule.

Each recipient and sub-recipient shall comply with the minimum written standards for providing assistance established by the Oklahoma City CoC. All funds used to provide services to clients served by these programs, including any match and program income funding, shall comply with these same requirements. Each sub-recipient may set their own agency standards for provision of assistance but those standards must at the very least comply with the following guidelines.

CONTINUUM OF CARE PURPOSE

The primary purpose of the Continuum of Care is to:

- promote communitywide commitment to the goal of ending homelessness;
- provide further funding for efforts by nonprofit providers and State and local governments to quickly rehouse homeless individuals and families while minimizing the trauma and dislocation caused to homeless individuals, families, and communities by homelessness;
- promote access to and effective utilization of mainstream programs by homeless individuals and families;
- optimize self-sufficiency among individuals and families experiencing homelessness.

The Continuum of Care is a geographically based group of representatives from organizations that provide services to the homeless, or represent the interests of the homeless or formerly homeless. The geographic area of the Continuum of Care is the City of Oklahoma City. The CoC is responsible for coordinating and implementing a system for its geographical area. The CoC Board shall develop policies and procedures conforming to the US Department of Housing and Urban Development (HUD) requirements. The CoC Board is to review and prioritize grant recommendations, set funding priorities, and identify data, service and housing needs.

FUNDING AWARD PROCESS OVERVIEW

The application process for CoC and ESG funding begins with the open solicitation that is advertised by the City of Oklahoma City Planning Department. The solicitation details the eligible activities, sets a time and place for a technical assistance session, and lists the evaluation criteria. The CoC Board is open to proposals from agencies that have not previously received funds so long as they meet the eligibility criteria set in the NOFA. The application is submitted electronically and includes; agency information, contact person, proposed activities and funding request sections to describe the services to be provided, past accomplishments or proposed goals with measurable outcomes; any monitoring concerns; and a description of community collaboration. Agencies responding are invited by the CoC Board to answer questions about their program. The CoC Board reviews and recommends funding allocations to the Social Services Committee of the City Council. After this process, granted applicants will be notified of their grants and any conditions imposed on awards. Continuum of Care Applicants that are selected for funding by the CoC Board must also complete a project application in eSnaps that is submitted to HUD for the final decision on projecting funding.

CoC and ESG subrecipients who do not meet local and/or HUD performance targets and/or do not meet expectations and compliance of program and grant management of their CoC/ESG programs, as documented in their APR or monitoring and evaluation reports, may be subject to having their projects reduced in whole or in part and reallocated to other projects.

UNIVERSAL STANDARDS

All service providers who receive funding through the Continuum of Care (CoC) and/or Emergency Solutions Grant (ESG) Programs shall follow the minimum written standards adopted by the Oklahoma City Continuum of Care. Each agency may elect to adopt additional standards so long as the level of services still meets the following guidelines.

PARTICIPANT ELIGIBILITY

Minimum standards for assessing eligibility for assistance under Continuum of Care (CoC) and Emergency Solutions Grant (ESG) are:

- **Street Outreach** – Individuals and families who qualify as unsheltered homeless, based on category (1) of the homeless definition found at 24 CFR 576.2 are eligible for the following activities, in compliance with federal **ESG** rules (24 CFR 576.101): engagement, case management, emergency health and mental health services, transportation.
- **Emergency Shelter** – Individuals and families who qualify as homeless, based on categories (1,2, or 4) of the homeless definition found in 24 CFR 576.2 are eligible for the following activities, in compliance with federal **ESG** rules (24 CFR 576.102): case management, child care, education, employment and life skills services, legal services, health, mental health and substance abuse services, transportation.

- **Homelessness Prevention** – Individuals and families who qualify as ‘at risk of homelessness,’ based on categories (2 or 4) of the “homeless” definition or based on the “At risk of homelessness” definition found at 24 CFR 576.2 and who reside in a housing unit that meets HUD’s habitability and lead-based paint standards and have an annual income below 30% of Area Median Income (AMI), are eligible for up to 24 months total rental assistance in tenant-based or project-based housing. The 24 months may include a one-time payment for up to 6 months of rent arrears on the tenant’s portion of the rent. The rent amount must meet the federal requirements for Fair Market Rent and the HUD standard for rent reasonableness. There must be a rental agreement between the landlord and agency and a written lease between tenant and landlord. Prevention activities are exclusive to **ESG**.

- **Rapid Re-housing** – Individuals and families who qualify as homeless, based on categories (1 or 4) and who are moving into a housing unit that meets HUD’s habitability and lead-based paint standards are eligible for the following activities, in compliance with federal **ESG and CoC** rules (24 CFR 576.104, 576.105, 576.106, 578.37, 578.51, 578.77). Additionally persons receiving rapid re-housing through the ESG program must have incomes at or below 30% of the area median income (AMI).

- **Transitional Housing** – Individuals and families who qualify as homeless, based on categories (1, 2, and 4) are eligible for transitional housing. Providers of transitional housing services shall arrange for or make available services to participants to assist them in securing permanent housing within the time frame of the program. Transitional Housing may be provided in scatter site or single site locations. Individuals and families assisted in transitional housing shall be provided housing accommodations as well as services intended to address issues that may hinder the household from obtaining or maintaining stable long term housing. Transitional Housing activities are excluded to the **CoC program grant**.

- **Permanent Supportive Housing** – Individuals and families who qualify as homeless, based on categories (1 and 4) and at least one adult or child has a disability. Supportive services designed to meet the needs of program participants must be made available to participants. Permanent supportive housing may be provided on a scatter site or single site basis using tenant based rental assistance, leasing, or operating costs to support the operations of a supportive housing facility as well as supportive services to meet the needs of the residents. Permanent Supportive Housing activities are exclusive to the **CoC program grant**.

COORDINATED INTAKE AND REFERRAL SYSTEM

Coordinated Intake is designed to coordinate housing and services for persons who are experiencing homelessness. All providers within the Continuum of Care, except domestic violence or legal services providers, which receive CoC or ESG funds, are required to participate in the coordinated intake system. Individual agencies shall not keep their own priority lists or wait list. All prioritization should be done on the community wide by-name list. For more information refer to the Oklahoma City CoC Coordinated Intake and By Name List Policies and Procedures.

EMERGENCY TRANSFER PLAN

In accordance with the Violence Against Women Act (VAWA), Oklahoma City CoC along with other applicable housing providers allow participants who are victims of domestic violence, dating violence, sexual assault, or stalking to request an emergency transfer from the participant's current unit to another unit. The ability to request a transfer is available regardless of sex, gender identity, or sexual orientation.

A participant who is a victim of domestic violence, dating violence, sexual assault, or stalking, as provided in HUD's regulations at 24 CFR part 5, subpart L is eligible for an emergency transfer, if: the participant reasonably believes that there is a threat of imminent harm from further violence if the participant remains within the same unit. If the participant is a victim of sexual assault, the participant may also be eligible to transfer if the sexual assault occurred on the premises within the 90-calendar-day period preceding a request for an emergency transfer. A participant requesting an emergency transfer must expressly request the transfer in accordance with the procedures described in this plan. Participants who are not in good standing may still request an emergency transfer if they meet the eligibility requirements in this section.

To request an emergency transfer, the participant shall notify the applicable housing provider and submit a written request for a transfer to the assigned case manager. Oklahoma City CoC housing providers will provide reasonable accommodations to this policy for individuals with disabilities. The participant's written request for emergency transfer should include either:

1. A statement expressing that the participant reasonably believes that there is a threat of imminent harm from further violence if the participant were to remain in the same dwelling unit assisted under Oklahoma City CoC; OR
2. A statement that the participant was a sexual assault victim and that the sexual assault occurred on the premises during the 90-calendar-day period preceding the participant's request for an emergency transfer.

Oklahoma City CoC housing providers will keep confidential any information that the participant submits in requesting an emergency transfer, and information about the emergency transfer, unless the participant give written permission to release the information on a time limited basis, or disclosure of the information is required by law or required for use in an eviction proceeding or hearing regarding termination of assistance from the covered program. This includes keeping confidential the new location of the dwelling unit of the participant, if one is provided, from the person(s) that committed an act(s) of domestic violence, dating violence, sexual assault, or stalking against the participant.

Oklahoma City CoC housing providers cannot guarantee that a transfer request will be approved or how long it will take to process a transfer request. Oklahoma City CoC housing providers will, however, act as quickly as possible to move a participant who is a victim of domestic violence, dating violence, sexual assault, or stalking to another unit, subject to availability and safety of a unit. If a participant reasonably believes a proposed transfer would not be safe, the participant may request a transfer to a different

unit. If a unit is available, the transferred participant must agree to abide by the terms and conditions that govern occupancy in the unit to which the participant has been transferred. Oklahoma City CoC housing providers may be unable to transfer a participant to a particular unit if the participant has not or cannot establish eligibility for that unit. If Oklahoma City CoC housing providers have no safe and available units for which a participant who needs an emergency transfer is eligible, Oklahoma City CoC housing providers will assist the participant in identifying other housing providers who may have safe and available units to which the participant could move.

If a family who is receiving tenant-based rental assistance separate, the family's TBRA and any utility assistance shall continue for the family members who are not evicted or removed. However, if the family's eligibility for housing was based on the evicted or removed individual's disability or chronically homeless status, the remaining members may stay in an assisted unit until expiration of the current lease term.

Priority shall be given for eligible individuals and families who are relocating as per the Emergency Transfer Plan. All CoC funded transitional housing, rapid re-housing, and permanent supportive housing programs will ensure that applicants are prioritized according to the emergency transfer priority required under 24 CFR 578.99(j)(8).

HOUSING FIRST

The Housing First approach is a data driven solution to homelessness. All Oklahoma City CoC housing and service providers shall use the Housing First model outlined below. Any new housing projects funded by the CoC must use the Housing First model. Any existing permanent supportive housing program that has indicated in application to HUD that it employs the Housing First model must follow the standards set forth below. Existing housing projects that have not indicated Housing First are 'grandfathered' from this policy.

- Housing is not contingent on compliance with services. Participants are provided with a standard one year lease agreement. The lease agreement can only be terminated in accordance with the State of Oklahoma Residential Landlord and Tenant Acts.
- Participants are provided with services and supports to help maintain housing and prevent eviction.
- There is no requirement for sobriety prior to being offered housing and admission should not be conditioned on credit or background checks. Criminal backgrounds will be considered only to the extent necessary to protect safety and well-being.
- Participants shall be given choice in their housing subject to program limitations.
- Participants are not required to participate in services but providers are required to persistently and consistently seek to engage participants.
- Providers are encouraged to support staff in implementing evidence based practices that support housing first.

HMIS

All CoC and ESG recipients, except for victim service providers must actively utilize the Homeless Management Information System (HMIS), to enter data on individuals served and assistance provided under ESG and CoC. Victim service providers shall actively utilize a comparable data system that meets HUD's standards (24 CFR 576.106). The HMIS database is designed to record and store client-level data, including the characteristics and service needs of people who are homeless or at-risk of homelessness. Utilization of the HMIS database will help provide consistent and accurate snapshot of populations served through various programs.

Agencies utilizing the HMIS database are expected to comply with the data quality standards. Client level data should be entered into HMIS within 72 hours of client entry or exit.

INCOME DETERMINATION

Minimum standards for determination of an individual or family's annual income consist of calculating income in compliance with 24 CFR 5.609. Individuals and families assisted under ESG are required to have annual incomes at or below 30% of Area Median. There are no income limits of CoC assistance but in all instances in which participants are charged rents or occupancy charges, the amount charged must be based on participant's verified annual income for all sources. In verifying income, ESG and CoC funded providers are required to obtain third party verification whenever possible. Self-certification or verification is to be accepted only when all efforts have been made to obtain third party verification have not produced results.

COORDINATION WITH MAINSTREAM AND TARGETED HOMELESS PROVIDERS

CoC and ESG sub-recipients are expected to maximize the use of available Federal, State and local mainstream resources to ensure the long-term stability of program participants. Providers shall actively seek to engage partnerships with programs and services that are targeted to address homelessness and poverty within their communities.

DISCHARGE PLANNING

Each Oklahoma City CoC services provider must develop and implement, to the maximum extent practicable, policies and protocols for the discharge of persons from public funded institutions and systems of care (such as health care facilities, foster care, or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons.

EDUCATIONAL ASSURANCES

CoC and ESG sub-recipients are expected to collaborate with local education authorities to assist in the identification of individuals and families who become or remain homeless and are informed of the eligibility for services under subtitle B of the title VII of the McKinney-Vento Act. Service providers must have written policies in place which ensure that homeless individuals and families who become homeless are informed of their eligibility for and receive access to educational services. Agency policies

should include how homeless families with children will be informed of and referred to the school district's homeless liaison. This includes demonstrating that providers establish policies to ensure all children are enrolled in early childhood programs or in a school and connected to appropriate services in the community. Providers shall collaborate with the local school districts and early childhood education providers to identify homeless households with children to ensure they understand their eligibility for educational services. Such policies should also include information for all homeless individuals and families regarding local technical schools and universities which may offer programs and assistance for persons who are homeless. These policies must have identified a staff person responsible for ensuring that children being served are enrolled in school and connected to appropriate services.

INVOLUNTARY FAMILY SEPERATION

Maintaining family unit is important when homeless households with children under the age of 18 enter homeless shelters or housing. Oklahoma City CoC expects providers to ensure homeless households with children under the age of 18 are not denied admission and are not separated. In addition, a broad definition of family should be used that allows for female headed, male-headed, two parent, same sex parent, LGBT parent, and extended families be served together with their children.

TERMINATION OF ASSISTANCE

All participants must be given a copy of the program rules and termination process before the participant receives services. If a program violation occurs and the provider terminates assistance as a result, the termination shall follow an established process that recognizes the rights of the individuals affected. Termination shall only occur in the most severe cases after other remedies have been attempted. Individuals and families facing program termination should be given written notice clearly stating the reasons for termination. They must also be given the opportunity to present objections to the decision and to have representation. Any appeal of a decision shall be heard by an individual different from and not subordinated to the initial decision maker. Prompt written notice of the final decision on the appeal must be provided. Termination must not bar the provider from providing later additional assistance to the same family or individual.

OCCUPANCY STANDARDS

All housing units, including scattered site programs owned and managed by private landlords, must meet applicable state or local government health and safety codes and have current certificate of occupancy for the current use and meet or exceed the following minimum standards:

- Building must be structurally sound to protect from the elements and not pose any threat to health and safety of the residents
- Must be accessible in accordance with Section 504 of the Rehabilitation Act, the Fair Housing Act and the Americans with Disabilities Act where applicable
- Must provide an acceptable place to sleep and adequate space and security for themselves and their belongings
- Each room must have a natural or mechanical means of ventilation

- Unit must have at least one bedroom or living/sleeping room for each two persons
- Children of the opposite sex, other than very young children, are not required to occupy the same bedroom or living/sleeping room.

LEAD-BASED PAINT

Minimum standards for all program participant-occupied housing consist of compliance with the lead-based paint remediation and disclosure requirements identified in 24 CFR 576.403.

HOMELESS PARTICIPATION

Each funded provider of CoC or ESG assistance must develop policies to provide for the participation of not less than one homeless individual or formerly homeless individual on the board of directors or equivalent policymaking entity of the provider (24 CFR 578.759(g)). To the maximum extent possible, the provider shall involve homeless individuals and families in paid or volunteer work on the ESG or CoC funded facilities, in providing services under ESG or CoC and in providing services for occupants of ESG or CoC funded facilities (24 CFR 576.405 and 578.75).

FAITH-BASED ACTIVITIES

Providers receiving CoC or ESG funding shall not engage in inherently religious activities as part of the CoC or ESG funded programs or services. Such activities must be offered separately from CoC or ESG funded programs and services and participation must be voluntary. A religious organization receiving CoC or ESG funding retains independence from government and may continue with its mission provided that CoC and ESG funds are not used to support inherently religious activities. An organization shall not discriminate against a participant or prospective participant based on religion or religious beliefs.

NON-DISCRIMINATION

All CoC and ESG service providers must have written non-discrimination policies in place. These policies must outline actions to be taken to ensure outreach to homeless persons with the greatest risk of remaining homeless, and compliance with all federal statutes. Service providers should ensure they are using appropriate, inclusive language in communications, publications, training, personnel handbooks and other policy documents that affirms the agency's commitment to serving all eligible clients in adherence with the Equal Access Rule. Oklahoma City CoC requires services providers to practice a person-centered model that incorporates participant choice and inclusion of all homeless subpopulations present in Oklahoma City, including homeless veterans, youth, and families with children, individual adults, seniors, victims of domestic violence, Lesbian, Gay, Bisexual, Transgender, Queer or Questioning, and Intersex individuals and families. All CoC and ESG funded service providers must ensure that all people have fair and equal access to the coordinated entry process and all forms of assistance regardless of race, ethnicity, national origin, age, sex, familial status, religious preference, disability, type or amount of disability, gender identity, perceived gender identity, marital status, sexual

orientation, or perceived sexual orientation. All CoC and ESG funded service providers must ensure placement and accommodations are made in accordance with an individual's gender identity.

PROGRAM INCOME

Program income earned during the project period shall be retained and used to finance the non-federal share of the project or program. Records of the receipt and use of program income shall be retained. Program income may be used to meet matching funding requirements.

RECORDKEEPING

Program participant records shall include written:

- Determination and certification that the program participant met the criteria for being homeless or at risk of homelessness and that an effort was made to obtain written third-party verification.
- Determination and certification that the program participant was eligible for the particular services and/or financial assistance.
- Determination and certification that the program participant lacked sufficient resources and support networks to provide the assistance.
- Determination and certification that the program participant met income requirements and that an effort was made to obtain written third-party verification. This includes annual documentation of income for each participant who receives housing assistance where rent is paid by the program participant.
- Determination and certification that only households served through permanent supportive housing meet HUD's requirements of having a family member be a person with disabilities. (CoC Only)
- Identification of the specific services and financial assistance amounts that were provided to the program participant.
- When applicable, verification that services were terminated in compliance with the written standards.
- Copies of written leases and rental agreements, documentation of payments made, including dates of occupancy, and compliance with fair market rents, rent reasonableness and utility allowance requirements.
- Determination and verification that the housing unit met HUD's habitability and lead-based paint standards.
- Copy of individualized housing stability plan.
- Notes verifying case management services were provided at least monthly, until exempt from this requirement.
- Notes verifying program participants' eligibility was re-evaluated at least every 3 months for homelessness prevention services or at least annually for rapid rehousing services. (ESG Only)
- Notes verifying program participant was assisted to obtain necessary mainstream and other resources.

Program policies and procedures shall indicate:

- Services are coordinated with other homeless assistance/prevention programs and mainstream services and assistance programs.
- Compliance with HUD's (24 CFR 576 and 578) requirements for:

1. Shelter and housing standards
 2. Conflict of Interest
 3. Homeless participation
 4. Faith-based activity
 5. Nondiscrimination, equal opportunity and affirmative outreach
 6. Uniform administrative rules
 7. Lobbying and disclosure
 8. Displacement, relocation and acquisition
 9. Procurement
- Program participant records are kept secure and confidential.
 - Participation in HMIS.

Financial records shall include:

- Supportive documentation for all costs charged to the ESG or CoC grant.
- Documentation showing ESG or CoC funds were spent on allowable costs in accordance with the requirements for eligible activities and costs principles.
- Documentation of the receipt and use of program income.
- Documentation of the receipt and use of matching funds.
- Copies of procurement contracts.

CONFIDENTIALITY

All records containing protected identifying information of any individual or family who applies for and/or receives Continuum of Care assistance will be kept secure and confidential. The address or location of any family violence project assisted with Continuum of Care funds will not be made public, except with written authorization of the person responsible for the operation of the project. The address or location of any housing of a program participant will not be made public, except as provided under a preexisting privacy policy of the subrecipient and consistent with State and local laws regarding privacy and obligation of confidentiality.

PREVENTION AND RAPID RE-HOUSING STANDARDS

ELIGIBILITY

Prevention: To be eligible for homelessness prevention services through the ESG individuals and families must have an annual income below 30% of the median income for the area and meet the federal criteria under the at risk of homelessness definition in 24 CFR 576.2 or meet the criteria in category 2 or 4 of the homeless definition in 24 CFR 576.2.

Rapid Re-housing: To be eligible for rapid re-housing assistance through the ESG individuals and families must:

- Meet the federal criteria under category (1) of the homeless definition in 24 CFR 576.2.
- Meet with federal criteria under category (4) of the homeless definition in 24 CFR 576.2 and live in an emergency shelter or other place described in category (1) of the homeless definition.

- Have an income that is less than or equal to 30% of the Area Median Income.
- Must lack sufficient resources or support networks to retain housing without assistance.

PRIORITY POPULATIONS

- Families with children;
- Domestic violence survivors
- Single persons without long term disabilities; and
- Veterans, especially those that are not eligible for services from the Department of Veteran Affairs (VA).

SERVICE TYPE, AMOUNT & DURATION

Minimum standards for determining the type, amount and duration of housing stabilization and/or relocation services provided to a program participant, including maximum amount of assistance, maximum number of months the program participant may receive assistance, or the maximum number of times the program participant may receive assistance, are:

a) Financial Assistance:

- Use with other subsidies: Payment for Financial Assistance costs shall not be provided to a participant who is receiving the same type of financial assistance through other public sources.
- Rental application fees: Payments shall only be made for fees charged by the owner to all applicants.
- Security deposits: Payments shall not exceed two (2) month's rent.
- Last month's rent: Payment shall not exceed one (1) month's rent and shall be included in calculating the participant's total assistance.
- Utility deposits: Payments shall only be made for gas, electric, water and sewage deposits.
- Utility payments:
 - Payments shall not exceed 24 months per participant, including no more than 6 months of utility payments in arrears, per service;
 - A partial payment counts as 1 month;
 - Payment shall only be made if the utility account is in the name of the participant or a member of the same household;
 - Payment shall only be made for gas, electric, water and sewage costs;
 - Participants shall not receive more than 24 months of utility assistance within any 3-year period.

b) Rental Assistance

- Payment shall not exceed 24 months total during a 3-year period in tenant-based or project-based housing.
- Payment for short-term rental assistance shall not exceed 3 months.
- Payment for medium-term rental assistance shall be for more than 3 months, but shall not exceed 24 months.

- Payment for rent arrears shall not exceed 6 months and shall be a one-time payment, including any late fees. The number of months paid in rental arrears must be considered as part of the 24 months of allowable service.
- Except for one-time payment of rental arrears on the participant's portion, payment shall not be provided to a participant who is receiving tenant-based rental assistance or living in a unit receiving project-based assistance.
- Payment shall not exceed Fair Market Rent established by HUD and shall comply with HUD's standards of rent reasonableness.
- Calculation of the rental payment amount shall only include monthly rent for the unit, any occupancy fees under the lease (except for pet or late fees) and if the participant pays separately for utilities, the monthly utility allowance established by the Oklahoma City Housing Authority.
- Payment for rent shall only be made when there is a rental assistance agreement between the agency and the owner, which sets forth the terms under which rental assistance will be provided, including the prior requirements; a requirement that the owner provide the provider with a copy of any notice to vacate given to the participant or any complaint used to commence an eviction action; and the same payment due date, grace period, and late payment penalty requirement as the participant's lease.
- Payment of any late payment penalties incurred by the provider shall not be claimed for reimbursement by ESG.
- Payment shall only be made when there is a legally binding, written lease for the rental unit between the participant and the owner, except for payment of rental arrears.
- Payment shall only be made once the participant has been deemed eligible for assistance.
- Payments shall not be made until required re-certifications have been completed and all documentation of continued eligibility is received.

PERMANENT SUPPORTIVE HOUSING STANDARDS

ELIGIBILITY

Minimum standards for determining and prioritizing which eligible families and individuals shall receive permanent supportive housing are:

- To be eligible for permanent supportive housing people must: meet the federal criteria under category (1) or (4) of the homeless definition in 24 CFR 576.2. Eligible households include individuals with disabilities and families in which one adult or child has a disability.

DOCUMENTS REQUIRED AT INTAKE

Documentation should not denote a barrier to housing. Permanent Supportive Housing providers will request the documents listed below; but if any are not available, the housing provider will work to obtain all documents within 45 days of program intake.

1. Identification - Copy of (1) form of identification.

2. Homeless Status – Providers should make every effort to meet the federal standards of documentation. Providers should make every effort to receive 3rd party documentation. If 3rd party documentation is not available 2nd party documentation or observation by a homeless services provider is acceptable. At a minimum, client self-certification will be accepted with documentation of 3rd and 2nd party attempts. Records contained in HMIS or comparable database used by a victim service or legal service provider are acceptable evidence of 3rd party documentation.
3. Disability – Documentation of disability can include verification of disability benefits or a written signed statement from a qualified source. Written documentation should: (a) identify the physical mental or emotional impairment, why it is expected to be of long-continued or indefinite duration, how it impedes the individual’s ability to live independently, and how the individual’s ability to live independently could be improved by more suitable housing conditions; or (b) identifies a developmental disability; or (c) identifies AIDS or related conditions. Lack of disability documentation must not prevent an individual or family from being admitted to a permanent supportive housing program. Programs may enroll the person or family without such documentation, but documentation must be obtained within 45 days of date of intake. A copy of a disability check is adequate documentation. Permanent Supportive Housing providers can serve households in which either an adult, or a child, has a disability.
4. Chronically Homeless Status – Providers must gather evidence of length of homelessness or number of times an individual or family has been homeless. HMIS provides an official 3rd party record of homelessness that can be used for this purpose.
5. Income verification – Even though the program may not have an income requirement at entry documentation of the client’s income must be obtained from all sources if a client is responsible for paying a portion of the rent.

PRIOTIZATION FOR PSH BEDS DEDICATED OR PRIORTIZED FOR CHRONIC HOMELESSNESS

The following order shall be followed in CoC funded permanent supportive housing beds dedicated to persons experiencing chronic homelessness, and permanent supportive housing beds prioritized for persons experiencing chronic homelessness:

1. Homeless individuals and families with the longest history of homelessness and with the most severe service needs.
2. Chronically homeless families and individuals with the longest history of homelessness.
3. Chronically homeless families and individuals with the most severe service needs.

PRIOTIZATION FOR PSH BEDS NOT DEDICATED OR PRIORTIZED FOR CHRONIC HOMELESSNESS

The following order shall be followed in CoC funded permanent supportive housing beds NOT dedicated to persons experiencing chronic homelessness, and permanent supportive housing beds NOT prioritized for persons experiencing chronic homelessness:

1. Homeless individuals and families with a disability and the most severe needs.
2. Homeless individuals and families with a disability with a long period of continuous or episodic homelessness.
3. Homeless individuals and families with a disability coming from transitional housing.

*As all CoC & ESG funded organizations are required to take clients through the Coordinated Entry System (CES), prioritization should already be addressed before a referral is even made. Providers should only have to obtain the required documentation to verify the client's status.

PARTICIPANT CONTRIBUTION

Individuals and families residing in permanent supportive housing are required to pay rent. Rent charges may not exceed those specified in 578.77. No fee other than rent or occupancy charges may be charged to program participants. This includes meal, copayments for services, transportation and all other services that may be provided to program participants.

TRANSITIONAL HOUSING STANDARDS

ELIGIBILITY

Minimum standards for determining and prioritizing which eligible families and individuals shall receive transitional housing are:

- To be eligible for transitional housing people must: meet the federal criteria under category (1) or (4) of the homeless definition in 24 CFR 576.2.

DOCUMENTS REQUIRED AT INTAKE

Documentation should not denote a barrier to housing. Transitional Housing providers will request the documents listed below; but if any are not available, the housing provider will work to obtain all documents within 45 days of program intake.

1. Identification - Copy of (1) form of identification.
2. Homeless Status – Providers should make every effort to meet the federal standards of documentation. Providers should make every effort to receive 3rd party documentation. If 3rd party documentation is not available 2nd party documentation or observation by a homeless services provider is acceptable. At a minimum, client self-certification will be accepted with

documentation of 3rd and 2nd party attempts. Records contained in HMIS or comparable database used by a victim service or legal service provider are acceptable evidence of 3rd party documentation.

3. Income verification – Even though the program may not have an income requirement at entry documentation of the client’s income must be obtained from all sources.

PRIORITY POPULATIONS

In providing transitional housing providers shall prioritize the following populations:

- Family with head of household between ages of 18-24 years of age
- Households with behavioral health needs
- Households experiencing domestic violence

MINIMUM STANDARDS

All referrals to transitional housing must be made through the coordinated entry system. The following minimum standards will be applied to all transitional housing programs:

- Maximum length of stay cannot exceed 24 months.
- Assistance in transitioning to permanent housing must be made available/provided.
- Supportive services must be provided throughout the duration of stay in transitional housing.
- Program participants in transitional housing must enter into a lease, sublease, or occupancy agreement for a term of at least one month. The lease, sublease, or occupancy agreement must be automatically renewable upon expiration, except on prior notice by either party, up to a maximum of 24 months.




Homeless Definition

CRITERIA FOR DEFINING HOMELESS	Category 1	Literally Homeless	<p>(1) Individual or family who lacks a fixed, regular, and adequate nighttime residence, meaning:</p> <ul style="list-style-type: none"> (i) Has a primary nighttime residence that is a public or private place not meant for human habitation; (ii) Is living in a publicly or privately operated shelter designated to provide temporary living arrangements (including congregate shelters, transitional housing, and hotels and motels paid for by charitable organizations or by federal, state and local government programs); <u>or</u> (iii) Is exiting an institution where (s)he has resided for 90 days or less <u>and</u> who resided in an emergency shelter or place not meant for human habitation immediately before entering that institution
	Category 2	Imminent Risk of Homelessness	<p>(2) Individual or family who will imminently lose their primary nighttime residence, provided that:</p> <ul style="list-style-type: none"> (i) Residence will be lost within 14 days of the date of application for homeless assistance; (ii) No subsequent residence has been identified; <u>and</u> (iii) The individual or family lacks the resources or support networks needed to obtain other permanent housing
	Category 3	Homeless under other Federal statutes	<p>(3) Unaccompanied youth under 25 years of age, or families with children and youth, who do not otherwise qualify as homeless under this definition, but who:</p> <ul style="list-style-type: none"> (i) Are defined as homeless under the other listed federal statutes; (ii) Have not had a lease, ownership interest, or occupancy agreement in permanent housing during the 60 days prior to the homeless assistance application; (iii) Have experienced persistent instability as measured by two moves or more during in the preceding 60 days; <u>and</u> (iv) Can be expected to continue in such status for an extended period of time due to special needs or barriers
	Category 4	Fleeing/ Attempting to Flee DV	<p>(4) Any individual or family who:</p> <ul style="list-style-type: none"> (i) Is fleeing, or is attempting to flee, domestic violence; (ii) Has no other residence; <u>and</u> (iii) Lacks the resources or support networks to obtain other permanent housing



Homeless Definition

RECORDKEEPING REQUIREMENTS 	Category 1	Literally Homeless	<ul style="list-style-type: none"> • Written observation by the outreach worker; <u>or</u> • Written referral by another housing or service provider; <u>or</u> • Certification by the individual or head of household seeking assistance stating that (s)he was living on the streets or in shelter; • For individuals exiting an institution—one of the forms of evidence above <u>and</u>: <ul style="list-style-type: none"> ○ discharge paperwork <u>or</u> written/oral referral, <u>or</u> ○ written record of intake worker’s due diligence to obtain above evidence <u>and</u> certification by individual that they exited institution
	Category 2	Imminent Risk of Homelessness	<ul style="list-style-type: none"> • A court order resulting from an eviction action notifying the individual or family that they must leave; <u>or</u> • For individual and families leaving a hotel or motel—evidence that they lack the financial resources to stay; <u>or</u> • A documented and verified oral statement; <u>and</u> • Certification that no subsequent residence has been identified; <u>and</u> • Self-certification or other written documentation that the individual lack the financial resources and support necessary to obtain permanent housing
	Category 3	Homeless under other Federal statutes	<ul style="list-style-type: none"> • Certification by the nonprofit or state or local government that the individual or head of household seeking assistance met the criteria of homelessness under another federal statute; <u>and</u> • Certification of no PH in last 60 days; <u>and</u> • Certification by the individual or head of household, and any available supporting documentation, that (s)he has moved two or more times in the past 60 days; <u>and</u> • Documentation of special needs <u>or</u> 2 or more barriers
	Category 4	Fleeing/ Attempting to Flee DV	<ul style="list-style-type: none"> • <i>For victim service providers:</i> <ul style="list-style-type: none"> ○ An oral statement by the individual or head of household seeking assistance which states: they are fleeing; they have no subsequent residence; and they lack resources. Statement must be documented by a self-certification or a certification by the intake worker. • <i>For non-victim service providers:</i> <ul style="list-style-type: none"> ○ Oral statement by the individual or head of household seeking assistance that they are fleeing. This statement is documented by a self-certification or by the caseworker. Where the safety of the individual or family is not jeopardized, the oral statement must be verified; <u>and</u> ○ Certification by the individual or head of household that no subsequent residence has been identified; <u>and</u> ○ Self-certification, or other written documentation, that the individual or family lacks the financial resources and support networks to obtain other permanent housing.

Commonly Referred to Links

1. Oklahoma City CoC Coordinated Intake & By Name List Procedures
<http://coalitiontoendpoverty.org/wp-content/uploads/2017/10/Oklahoma-City-CoC-Policies-and-Procudures.pdf>
2. Oklahoma Landlord and Tenant Acts
<https://www.ok.gov/OREC/documents/Landlord%20and%20Tenant%20Act%20Update.pdf>
3. Guidance Posted on ESG Minimum Habitability Standards and Permanent Housing
<https://www.hudexchange.info/news/new-guidance-posted-on-esg-minimum-habitability-standards-for-emergency-shelter-and-permanent-housing/>
4. HUD Income Limits
<https://www.huduser.gov/portal/datasets/il.html>
5. HUD FMR
<https://www.huduser.gov/portal/datasets/fmr.html>
6. 24 CFR 578.77 Calculating occupancy charges and rent
<https://www.law.cornell.edu/cfr/text/24/578.77>

APPENDIX 9

AP-75 NARRATIVE RESPONSES (CONTINUATION)

Appendix 9.A.

AP-75 Barriers to affordable housing – 91.220(j)

Introduction

An Analysis of Impediments (AI) was completed for the City in March 2020. The AI includes a review of both public and private sector housing data in Oklahoma City to identify practices or conditions that limit fair housing choice. Analysis of demographic, economic, and housing data used in the report establishes the context in which housing choices are made. Primary findings include rising rents and tightening of the rental market has disproportionately hurt very low income single-person households and families, many of whom are racial and ethnic minorities, persons with disabilities, and elderly residents. Other concerns noted in the report are as follows:

- Similarly, rising home prices has introduced predatory lending and home purchase activity.
- Weak state laws to protect tenants from evictions without just cause exacerbates their vulnerability and increases homelessness, an undesirable outcome which is costly for the public sector.
- Residents and landlords would benefit from increased education and training, including fair housing laws and requirements and “good tenant” classes.
- Growing concern about the effect of city-facilitated redevelopment efforts on displacement of low income and minority residents.
- Multifamily developments are not being built to comply with the accessibility requirements under the Fair Housing Act due to lack of inspection/testing and enforcement.

The AI includes a Section with recommendations on revisions to the City’s development code. The City is undergoing an extensive rewrite of the development code to occur over the next few years. The code will be reviewed for changes needed with respect to the recommendations in the AI. Note several of these are not within the purview and/or the sole purview of the City but awareness of these issues could affect future policies or partnership efforts. Improvements to the transit system including bikeways, sidewalks, and Bus Rapid transit, as well as to parks are on-going efforts by the City.

The City's response to the noted impediments are addressed in Section SP-55 of the FY 2020-24 Five-year Consolidated Plan and in AP-75 of each Annual Action Plan, and will be reviewed annually to ensure compliance with Fair Housing requirements.

Policies and ordinances adopted by the City are intended to protect the health, safety and public welfare of citizens and property. The City’s policies and ordinances are not meant to create barriers to the development of affordable housing. Some policies and ordinances may result in an unintended consequence (i.e., increasing the cost to develop, maintain and improve affordable housing). The City attempts to limit or eliminate adverse impacts through review of proposed development code amendments prior to enactment, and by reviewing existing codes. The most recent Analysis of

Impediments document identified some potential barriers in current zoning rules. These include site standards, limits on density, definition of family and occupancy restrictions, and housing type limitations.

Strategies to Remove or Ameliorate Barriers:

The City is in a process of comprehensive review and rewrite of the development code which will extend over the next several years. The identified issues will be reviewed during that process. Other noted recommendations, including fee waivers, and allowance of accessory dwelling units on single family lots will be considered.

The City has also contracted with a consultant to provide a study of affordable housing issues in our community, which may result in other recommendations for changes to benefit the supply of affordable housing. Staff will continue to monitor changes in policy or codes that adversely affect affordable housing and will provide input on public policy proposals to reduce adverse impacts on affordable housing programs.

Policies and ordinances adopted by the City are intended to protect the health, safety and public welfare of citizens and property. The City's policies and ordinances are not meant to create barriers to the development of affordable housing. Some policies and ordinances may result in an unintended consequence (i.e. increasing the cost to develop, maintain and improve affordable housing). The City attempts to limit or eliminate adverse impacts through review of proposed development code amendments prior to enactment, and by reviewing existing codes. Recent efforts to reduce regulatory barriers to affordable housing include:

- Adoption of the International Existing Building Code; This Code applies to multi-family housing and reduces financial barriers to renovating existing building by allowing greater flexibility in materials used in the renovation;
- The City has exempted impact fees for water and sewer in the core area of the city to reduce the cost of infill housing and other redevelopment efforts;
- The City has exempted the fees for permits to nonprofit builders of affordable housing;
- The City does not require engineering or architectural seals for nonprofit affordable housing developers; The rules and requirements for architectural seals are statutorily mandated by the Oklahoma State Architectural and Registered Interior Designers Act (59 Oklahoma Statutes, 2007, Section 46.1 et seq.). Specifically, Section 46.21b.C.5.e, f exempts from the provisions of the Act referenced above , residential structures no more than two (2) stories in height, including apartments containing no more than thirty-two (32) dwelling units or guest units per building. The City's building permit checklist includes an item for "Applicable Seals and Certifications" if required, prior to the issuance of a permit. Most assembly use buildings and larger buildings that involve spans of structural members in excess of 30 feet may be required to be designed by an Architect of Engineer licensed in the State of Oklahoma.

- Building permit fees are waived by policy for nonprofit charitable organizations engaged in the construction or remodeling of one or two family dwellings for the purpose of providing housing assistance to low-income persons or households;
- There are no licensing requirements for builders or professionals except in the case of high-rise structures. The State of Oklahoma does not require a license for general contractors; however, electrical, plumbing, mechanical, sign, driveway and fire suppression system permits can only be issued to contractors licensed in the State of Oklahoma and registered with the City of Oklahoma City. These contractors must be licensed by both the State of Oklahoma and The City of Oklahoma City. Instructions for licensing are available on the City's website.

Actions the City planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment:

Most of the property used by nonprofit housing developers is either a.) Donated to the City by the Board of Commissioners of Oklahoma County for the expressed purpose of developing affordable housing, or b.) Zoned as infill housing. Property rezoning requires a fee to the developer, and is rarely proposed for affordable housing development. In some rare cases a rezoning request may originate from City Council for the benefit of a nonprofit housing developer. On those occasions, there is no fee charged. City policies support the development of affordable housing; however, the impediments identified above are notable and strategic goals have been pursued by the City to remedy these unintended consequences. Staff will continue to monitor changes in policy or codes that adversely affect affordable housing, and will provide input as necessary on public policy proposals to eliminate or reduce adverse impacts on affordable housing programs.

In 2015, City Council supported changes to the existing Ordinance by passing a revised Ordinance. The revised Ordinance updated protected class definitions by adopting age, familial status and disability as protected classes in City housing protections as recommended by the 2015 Analysis of Impediments. To address the impediments related to outreach and training, City Staff continues to collaborate with community partners such as Neighborhood Housing Services, Legal Aid and Metro Fair Housing, among others. Agencies responsible for providing fair housing trainings have indicated that training events are not well attended. This would seem to indicate a need for an extended marketing effort and/or an improved notification process. Outreach efforts will be re-examined to ensure available resources are being adequately utilized.

All present zoning codes will be holistically reviewed for conformance with the City's adopted Comprehensive Plan. An outside consultant was hired to facilitate this process which began during the FY 2019-20 Fifth Action Year Plan. This provides the City with an opportunity to identify ordinance language that could potentially create an unintended consequence in the provision of fair housing. If problematic language is found, it will be altered as necessary to ensure compliance and equal opportunity. This first phase will identify concerns prior to a 1-4 year process to overhaul all code. This

process will encompass changes expected to provide some fair housing relief- to include an emphasis on form-based code that will allow multi-family housing to more readily be constructed in areas currently prohibited. There will also be review of certain practices, such as street design, that drive up housing costs in certain areas.

Discussion

The City of Oklahoma City remains committed to ensuring equal housing opportunity for all persons. Proposed Action Plan activities for the 2020-2021 Second Action Year Plan provide direct funding to the Metropolitan Fair Housing Council for the provision of fair housing training, compliance, and complaint processing functions. Staff will continue to participate in Fair Housing Seminars as part of Fair Housing Month activities, and will continue to monitor affordable housing barriers and provide resources where needed to ensure compliance.

The City contracts with Metropolitan Fair Housing Council (MFHC) using CDBG funds to provide fair housing services to the community. In the last year Metro processed 447 potential Fair Housing complaints. The majority were filed based on discrimination due to disability. The second largest complaint category was familial status. Of all complaints, eight (8) were filed with HUD's Office of Fair Housing & Equal Opportunity for Oklahoma City. In addition, MFHC collected \$5,150 in FY 2019 for Oklahoma City residents who contacted MFHC with complaints of unfair treatment by housing providers. This included \$500.00 from a complaint filed with HUD, and \$4,650 recovered for Oklahoma City residents from MFHC in-house renter's rights mediations. These processes raise the awareness of Fair Housing Law.

In addition, MFHC conducted Fair Housing/Fair Lending/Renters Rights Seminars to 385 participants this year through 42 Fair Housing seminars and published four (4) quarterly newsletters distributed to approximately two hundred (200) individuals, groups and private and public organizations in the City. City staff also participated in some of these outreach events. These activities speak directly to improving the understanding of and enhance attention to compliance with fair housing law. These important activities will continue in the upcoming year.

Other identified impediments are being addressed by other parts of the City, outside this Action Plan. One of these is zoning, and as a related issue, NIMBYism used to block multi-family development. The City has recently engaged a consulting firm to create a Diagnostic Analysis Plan that will review zoning codes for conformance with the City's new comprehensive plan. This first phase will identify concerns prior to a 1-4 year process to overhaul all code. This process will encompass changes expected to provide some fair housing relief- to include an emphasis on form-based code that will allow multi-family housing to more readily be constructed in areas currently prohibited. There will also be review of certain practices, such as street design, that drive up housing costs in certain areas.

The City also approved a Bond issue in the Fall of 2017 that included adding more sidewalks, transit stops, increase ADA compliance at existing stops, and perform bus system upgrades to advance the public transit system- with a focus on improvements where it is most needed by the citizenry base on review of area incomes and employment centers. The Bond also includes a \$10M set aside for affordable housing- the

first time the City has made such a proposal or investments. City staff and staff of the Alliance for Economic Development, the Oklahoma City Economic Development Trust (OCEDT) and City Council are vetting funding proposals as they are received. The adopted policy provides a focus on supporting mixed-income developments in areas accessible to employment, transit, quality schools and grocery stores for persons making less than 80% AMI.

APPENDIX 9B

SUMMARY OF EFFORTS TO NARROW THE DIGITAL DIVIDE AND INCREASE RESILIENCE TO NATURAL HAZARDS

A. Assessing Broadband Availability for Low-Moderate Income Residents.

The Federal Communications Commission (FCC) established the Lifeline program in 1984 to provide qualified individuals with discounts on phone service. In 1997, the FCC broadened the scope of the program under the Universal Service Order to offer the Lifeline Program to assist low-income customers by helping to pay for monthly telephone charges so that connection to job, family and emergency services is more affordable. Due to the rise of cell phones, the FCC made more changes in 2005 so wireless phone service providers could offer free cell phone service using Lifeline benefits. 1

On March 31, 2016, FCC modernized and reformed its Lifeline program to help low income consumers afford access to the 21st Century's vital communications network- the internet. 2

FCC ensures that Lifeline subscribers receive services meeting 21st Century needs by

- Setting minimum standards for broadband to enable consumers to fully participate in digital society:
 - Fixed speed standard based on what a substantial majority of consumers receive (currently 10 Mbps downloads/1 Mbps uploads).
 - Sets minimum monthly fixed broadband usage allowance standard, starting at 150 GB.

After analyzing the availability of broadband access to low- and moderate-income families in Oklahoma City and surrounding areas:

- There are seventy-four (74) providers throughout the Oklahoma City and surrounding areas.
- Statistics show there is a common download speed of 100-1000 megabits per second (Mbps),
- The two largest competitors for broadband services in Oklahoma City, Cox and AT&T, both have programs to provide services for low-moderate income households, but they do not meet the common download speed for broadband services:

In 2012, Cox partnered with Connect2Compete to enable eligible low-income households to receive discounted Cox high speed cable Internet for only \$9.95 a month. This includes: 10 Mbps Internet Download Speed, Free Installation, and Waived Modem Rental Fees. The requirements are: To qualify, at least one student in grades K-12 must live in the household, and the household must be participating in one of these government assistance programs: free or reduced lunch through the National School Lunch Program (NSLP), Temporary Assistance for Needy Families (TANF), the Supplemental Nutrition Assistance Program (SNAP), or Public Housing (ConnectHome Program). Also, the household must not have been a Cox High Speed Internet service subscriber in the last 90 days or have outstanding Cox bills or unreturned equipment.

In July 2015, AT&T and DirecTV merged, and the FCC imposed conditions for the following four years. AT&T was to provide an affordable low-income Internet access program. AT&T Access Internet is now available where AT&T wired home internet is offered. Depending on the internet speeds available in the resident's location, the monthly cost is either \$10 for 10 Mbps or 5 Mbps plans and \$5 for 3Mbps Internet

connection. The user does not get to choose the option; AT&T installs whichever is fastest in the area in which the resident lives. The installation and rental equipment are free. To participate, a person must be on the SNAP program- meaning single adults and families without school age children can also qualify for the low-income Internet service discount. Also, the family must have no outstanding debt for AT&T fixed Internet service within the last six months or outstanding debt incurred under this program.” 4

So, what can a household do with ten (10) Mbps? Five users on their computers can check or reply to emails, browse the web for information and upload or download small files.⁵ Five (5) Mbps is the minimum download speed for watching HD Netflix on a laptop.⁶ Upload speeds typically tend to be between 5% and 25% of the download speeds for home connections. Most home users can watch movies, surf web pages or download new software. Although these activities have some upload component, the vast majority of the data is downloaded so home connections are optimized for this ⁷ Three Mbps is slow internet, but it is better than having no internet access at all.

Low-moderate income families can use these programs offered by these companies if they fit within the guidelines and are within the company’s service areas. Review of the FCC’s broadband services providers map suggests that all areas within Oklahoma City are covered by one or more of the broadband service carriers. The Oklahoma Broadband Mapping Project was a 2010 initiative to show data related to broadband availability and speed across the state. Maps are accessible, but it is unclear whether they are current. Although, spotty areas of slow to no reception are visible, most of the metro area appears well covered in the posted maps.

B. Incorporation of Resilience to Natural Hazard Risks

Pursuant to Executive Order 13653, “Preparing the United States for the Impacts of Climate Change,” HUD requires jurisdictions “to consider incorporating resilience to natural hazard risks, taking care to anticipate how risks will increase due to climate change, into develop of the Consolidated Plan in order to begin addressing impacts of climate change on low- and moderate-income residents.” The Fourth National Climate Assessment, published by the U.S. Global Change Research Program in 2018, defines climate change as “changes in average weather conditions that persist over multiple decades or longer. Climate change encompasses both increases and decreases in temperature, as well as shifts in precipitation, changing risk of certain types of severe weather events, and changes to other features of the climate system.” This consideration of climate change is “to be conducted using readily available data sources developed by Federal government agencies, other available data and analyses (including State, Tribal, and local hazard mitigation plans that have been approved by the Federal Emergency Management Authority (FEMA)), and data that State and local governments grantees may have available to them.”

The City of Oklahoma City has made use of a variety of data, analyses, and reports to determine, document, and project the expected impacts of climate change on services, infrastructure, and its residents. The City Planning Department has been working on **Adaptokc** as a subsidiary of our Comprehensive Planning process, **planOKC**. **Adaptokc** has not yet been finalized and adopted by the City but focuses on three sustainability principles: 1) positioning OKC to lead by example as a steward of public resources, 2) **adapting OKC’s infrastructure, services, and communities to OKC’s changing climate**, and 3) identifying how to use technological innovations to OKC’s advantage. Reference documents describing observed climate changes and the impact thereof follow.

The September 2015 *Climate in the Heartland* report prepared by the Urban Sustainability Directors Network (USDN) examined five major cities in the Midwest- one of which was Oklahoma City (OKC). Historical climate variability studies from the past three decades were looked at by climatologists and compared to recent weather changes. OKC’s historical context showed the annual average high and low temperature of 72.2°F and 50.8°F., and the average precipitation was 36.5” of annual rainfall and 7.8” of annual snowfall. However, recent observed seasonal weather changes include:

- Summers have increased variability in seasonal precipitation totals;
- More frequent warm nights in the last decade;
- Falls are dryer with an average date of first frost being three (3) days later;
- Winters are warmer and wetter; and
- OKC is having fewer cool springs and the average date of last frost is four (4) days earlier.

Lifting the High Energy Burdens in America’s Largest Cities is a 2016 report by the American Council for an Energy-Efficient Economy (ACEEE) created to understand the income inequality caused by high home energy burdens while offering suggestions to make utilities more affordable to low-income communities. According to ACEEE the State of Oklahoma’s average utility responsibility (also described as “energy burden”, defined as the percentage of annual household income which goes to utility bills) is below 3 percent. However, Oklahoma City’s average sits above that at approximately 3.5 percent for low-moderate income families. This was calculated using the Energy Consumption Survey from the Energy Information Administration (EIA) and US Census Bureau data between 2011 and 2013 to determine the average household income and what these households spent annually on energy bills. [Note that overall Oklahoma City enjoys lower energy costs than most other areas of the country. For comparison, the cost in ten other cities with the highest utility bills ranges from 4.3% to 6.2% of household incomes.]

The ACEEE report correlated energy burdens to the race of householders. On average African American and white households paid similar utility bills, but because African American household incomes were lower, their ‘energy burden’ (defined as utility costs as a percentage of household income) was 64% higher than white households. Latino households paid lower utility bills on average than African American and white households, yet they experienced a 24% higher energy burden than white households.

In 2013, a sample size of 3,304 households in Oklahoma City was used for comparing median gross household income percentages and energy cost burden percentages in each group calculation. Results are summarized below.

GROUP	AVG YEARLY INCOME	UTILITY BURDEN
1,310 low income HH	\$24,998	7.36%
214 low income multi-family*	\$21,996	5.21%
354 African American HH	\$34,949	4.98%
319 Latino HH	\$39,994	4.26%
1,034 renting households	\$34,972	4.27%

* Multi-family group includes those living in duplexes or apartments.

Further, the percentage of households with energy burdens over twice the Oklahoma City average for all households combined was 22.19%; for low-income households- 52.90%; for multifamily households-

36.92%; for African American households- 33.62%; for Latino households - 26.96%; and for renting households- 29.21%.

The report concludes that low-income, low-income multifamily, African American, Latino, and renters devote a disproportionate share of their income to energy expenses. Low-income households typically live in less energy efficient housing and are often more difficult to reach with information about energy efficiency programs.

The ***City of OKC Hazardous Mitigation Plan (HMP)*** is used to evaluate natural hazard threats to the City and its communities and determine appropriate hazard mitigations strategies. The City of Oklahoma City's plan was originally approved in 2006 and last updated and approved by FEMA and adopted by the City Council on October 24th, 2017. The plan area includes land within the corporate limits of The City of Oklahoma City. Reviewing the community's past natural hazards helps prepare for future hazards and risks including flooding, tornadoes, lightning, hail, extreme heat, drought, wildfire and high winds. The City's goals for having a HMP are to reduce loss of life, protect the public's health and safety, minimize damage to property including critical facilities, infrastructure and key resources; and to maintain or restore the capacity of the natural environment and increase community preparedness for natural disasters.

Concerns in the above-mentioned document:

- The increase of inundating rainfall events and associated flooding can increase the operating and maintenance cost of infrastructure, and assets.
- An increase in temperatures leads to hotter, dryer summers creating an increase in utility consumption, higher bills and emitting more emissions into the environment.

All reports examined conclude climate changes will result in increased burdens on low-mod income families having to pay for more energy use in their homes which may be made worse by inefficient windows and appliances and poor insulation. Higher utility/housing costs result in less income available for necessary living expenses including food, clothing and medical expenses.

- Programs that may help low-income households decrease energy burden are those that include weatherization, increased insulation in homes, and replacing old appliances to decrease utility usage.
- Apartment owners should also be encouraged to employ the same efforts on their buildings.
- The City's Housing Rehabilitation programs should maintain focus on energy efficiency repairs and replacement units.

These actions will help save residents money and help maintain or restore our natural environment through decreased energy consumption.

FHEO Efforts

APPENDIX 10

FINAL FUNDING ALLOCATIONS TABLE

**COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM
PROPOSED 2021-2022 BUDGET**

SOURCES OF FUNDS

2020-21 CDBG Allocation	\$ 5,042,780.00
Unallocated Program Income (2019-2020 Bricktown)	\$ 1,517,518.73
Other FY 20-21 Unallocated Program Income	\$ 76,000.00
2021-22 Program Income (Est)	\$ 1.00
Recaptured Program Funds (Est)	\$589,634.25
Prior Year Funds Carried Forward (Est)	\$3,374,827.43

Program Caps
Public Services @ 15% \$756,417.00
Planning & Admin @ 20% \$1,008,556.00

Funds Available to Allocate	\$ 10,600,761.41
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PROJECT FUNDING ALLOCATIONS	2020-2021 Allocation*	Proposed 2021 New Allocation	Est. Carry Forward	Estimated Recapture	2021-2022 Est. Funding
AFFORDABLE HOUSING DEVELOPMENT					
JPNA/Paseo Joint Venture-Walnut Dev.Infrastructure	\$ 685,000.00	\$ 50,000.00	\$ -	\$ -	\$ 50,000.00
AFFORDABLE HOUSING REHAB/RETENTION					
OKC Housing Assistance Program-Ext. Maintenance*	\$ 1,300,000.00	\$ 950,000.00	\$ 350,000.00	\$ -	\$ 1,300,000.00
OCHA Inspection Program	\$ 50,000.00	\$ 1,063.35	\$ 48,936.65	\$ -	\$ 50,000.00
Oklahoma City Housing Authority	\$ 235,000.00	\$ 235,000.00	\$ -	\$ -	\$ 235,000.00
CAA Emergency Home Repair	\$ 600,000.00	\$ 550,000.00	\$ 50,000.00	\$ -	\$ 600,000.00
SLUM AND BLIGHT REMEDIATION					
Urban Renewal Authority Completions	\$ 788,880.00	\$ 753,880.00	\$ 35,000.00	\$ -	\$ 788,880.00
Urban Renewal Authority Unprogrammed PI	\$ 307,990.00	\$ -	\$ -	\$ 307,990.00	\$ -
OKC Abandoned Housing- spot blight	\$ 79,292.00	\$ 49,407.00	\$ 50,593.00	\$ -	\$ 100,000.00
Code Enforcement Title Inspection Program	\$ -	\$ 30,000.00	\$ -	\$ -	\$ 30,000.00
PUBLIC SERVICE ACTIVITIES					
SNI-PS#	\$ 625,000.00	\$530,000.00	\$ -	\$ -	\$ 530,000.00
COTPA (Embark) Share a Fare	\$ 105,000.00	\$ 105,000.00	\$ -	\$ -	\$ 105,000.00
Graffiti Removal	\$ 10,000.00	\$ -	\$ -	\$ 10,000.00	\$ -
Community Health Centers Healing Hands (PS)	\$ 60,000.00	\$ 60,000.00	\$ -	\$ -	\$ 60,000.00
CASA	\$ 30,000.00	\$ -	\$ 25,218.50	\$ -	\$ 25,218.50
General Public Services- Legal Assistance Program	\$ 250,000.00	\$ -	\$ 50,000.00	\$ -	\$ 50,000.00

PUBLIC FACILITIES ACTIVITIES

SNI-PF#	\$ 800,000.00	\$ 1,820,000.00	\$ 436,896.75	\$ -	\$ 2,256,896.75
SNI-PF Program Delivery#	\$ -	\$ 100,000.00	\$ -	\$ -	\$ 100,000.00
Community Development Program-PF**					
City Care	\$ 121,578.90	\$ -	\$ 12,157.89	\$ -	\$ 12,157.89
Uncommitted PF Funds- <i>Available for solicitation</i>	\$ 1,412,238.19	\$ 458,278.83	\$ 1,378,738.19	\$ -	\$ 1,837,017.02
Wellness Center 3	\$ -	\$ 23,500.00	\$ -	\$ -	\$ 23,500.00
Pitts Park	\$ -	\$ 10,000.00	\$ -	\$ -	\$ 10,000.00
OCURA Mirimar Sewer Extension	\$ -	\$ 478,535.25	\$ -	\$ -	\$ 478,535.25

ECONOMIC OPPORTUNITY

Section 108 Loan Repayment (Default Contingency)	\$ 350,000.00	\$ -	\$ 350,000.00	\$ -	\$ 350,000.00
CAA Small Business Services	\$ 40,000.00	\$ 12,713.55	\$ 27,286.45	\$ -	\$ 40,000.00
OCURA Microenterprise Program	\$ 370,545.25	\$ -	\$ -	\$ 170,545.25	\$ -
ED Program-Mkt on 23rd St	\$ 560,000.00	\$ -	\$ 560,000.00	\$ -	\$ 560,000.00

ADMINISTRATION

Metro Fair Housing Council	\$ 83,000.00	\$ 93,000.00	\$ -	\$ -	\$ 93,000.00
Planning	\$ 60,000.00	\$ 50,000.00	\$ -	\$ 51,099.00	\$ 50,000.00
Administration	\$ 1,018,455.00	\$ 865,556.00	\$ -	\$ 50,000.00	\$ 865,556.00

Total Funding Allocations \$ 9,941,979.34 \$7,225,933.98 \$3,374,827.43 \$589,634.25 \$ 10,600,761.41

Remaining funds Available to Allocate \$ -

* includes program delivery

** Open solicitation for new proposals. Projects/funding amounts not yet identified.

**HOME INVESTMENT
PARTNERSHIP PROGRAM
PROPOSED 2021-2022
BUDGET**

SOURCES OF FUNDS

2021 HOME Allocation	\$	2,329,575.00
Unallocated 2020-2021 Program Funds & PI#	\$	1.00
Estimated 2021-22 Program Income	\$	80,000.00
Recaptured Program Funds	\$	46,445.99
Available to Allocate	\$	2,456,021.99
Funds Carried Forward	\$	5,411,715.18
TOTAL FY 2021-22 Funding	\$	7,867,737.17

**PROJECT FUNDING
ALLOCATIONS**

PROGRAM	2020-2021 ALLOCATION	ESTIMATED CARRY FORWARD	RECAPTURE	Proposed 2021-22 allocation	2021-22 Estimated FUNDING
DOWN PAYMENT ASSISTANCE PROGRAM					
Targeted Area DPA (80%) spending goal)/General DPA (20% Spending Goal)	\$ 624,000.00	\$ 335,071.50	\$ -	\$ 289,928.50	\$ 625,000.00
					\$ -
Oklahoma City Housing Assistance Program* (whole house rehabilitation- 40% SNI spending goal.)*	\$ 1,000,000.00	\$ 567,128.05	\$ -	\$ 170,000.00	\$ 737,128.05
AFFORDABLE HOUSING DEVELOPMENT PROGRAM					
Uncommitted	\$ 1,875,999.81	\$ 543,499.81	\$ -	\$ 605,699.64	\$ 1,149,199.45
Pending Projects-Under Review	\$ 1,332,500.00	\$ 1,332,500.00	\$ -	\$ -	\$ 1,332,500.00

OCHA Creston Park Care Suites	\$	-	\$	-	\$	-	\$	800,000.00	\$	800,000.00
OCURA Euclid/Mitchford	\$	70,398.78	\$	-	\$	46,445.99	\$	-	\$	-
COMMUNITY HOUSING DEVELOPMENT ORGANIZATION										
Jefferson Park-Walnut PD	\$	1,750.96	\$	1,750.96	\$	-	\$	-	\$	1,750.96
Paseo Walnut PD	\$	11,539.24	\$	11,539.24	\$	-	\$	-	\$	11,539.24
Paseo Hsg.	\$	21,545.00	\$	21,545.00	\$	-	\$	-	\$	21,545.00
Jefferson Park	\$	305,442.99	\$	132,241.56	\$	-	\$	-	\$	132,241.56
Neighborhood Housing Services	\$	-	\$	-	\$	-	\$	-	\$	-
Unallocated CHDO	\$	1,518,164.41	\$	1,518,164.41	\$	-	\$	-	\$	1,518,164.41
2021 CHDO SET-ASIDE							\$	349,436.25	\$	349,436.25
							\$		\$	-
ADMINISTRATION	\$	1,074,814.16	\$	948,275	\$	-	\$	240,957.60	\$	1,189,232.25
Total Funding Allocations	\$	7,836,155.35	\$	5,411,715.18	\$	46,445.99	\$	2,456,021.99	\$	7,867,737.17

**Funding for the Housing Rehabilitation Program will be prioritized within SNI neighborhoods, with a goal of at least six (6) homeowners receiving assistance.*

Unprogrammed funds \$ -

2021-22 ESG Allocation:	\$ 427,463.00
2021-22 HOPWA Allocation:	\$ 1,003,886.00