OFFICIAL USE ONLY (do not Complete)				
Date Received	Omitted Documents Requested	Omitted Documents Received	Board Review Date	Contractor Notice

CONTRACTOR PREQUALIFICATION PACKAGE FOR CONSTRUCTION OF PUBLIC IMPROVEMENTS FOR THE CITY OF OKLAHOMA CITY

Final Check List Class/Subclass of Work form Prequalification Statement of Experience & Equipment П Bond Assurance letter w/Power of Attorney Financial Statement reviewed within the last 6 months or a Financial Statement w/ Contractor's Certificate (Class D excluded) Statement and Release of Financial Information Supplement to Section 3.C.2. of Resolution Contractor ID numbers Affidavit of Prequalification Applicant **Application Fee**

INSTRUCTIONS FOR SUBMITTING THE CONTRACTOR PREQUALIFICATION PACKAGE TO THE PREQUALIFICATION REVIEW BOARD FOR THE CITY OF OKLAHOMA CITY AND TRUSTS OF WHICH IT IS A BENEFICIARY

- 1. It is the intent of The City of Oklahoma City to update and maintain its list of prequalified contractors for construction of public improvements. As the result, the following application and procedures have been developed to better serve the City, the public and the contractors.
- 2. Contractors that are presently prequalified with The City of Oklahoma City will continue to be prequalified until the completion of their present prequalification period. These contractors shall submit the new prequalification application two months prior to the anniversary of the date of Prequalification.
- 3. Review the Prequalification Classification Categories guide to determine the class(es) of work that best describes your qualifications (available at www.okc.gov/departments/public-works/contractor-resources/prequalification).
- 4. Fill out the Prequalification Statement of Experience and Equipment for The City of Oklahoma City. Make sure that the application is complete. An incomplete application may be returned to the contractor, which would result in a delay in review and approval of prequalification.
- 5. The current annual fee per classification for Pregualification is as follows:
 - \$390.00 per classification for persons with a business address and yard within a forty (40) mile radius of the City Engineer's office, 420 W. Main Street, Oklahoma City, Oklahoma.
 - \$510.00 per classification for persons with a business address or yard within the State of Oklahoma but a business address or yard outside the forty (40) mile radius from the City Engineer's office, 420 W. Main Street, Oklahoma City, Oklahoma.
 - \$1600.00 per classification for person with a business address or yard outside the State of Oklahoma.

- 6. The documents required for the review of the Prequalification Application include the following:
 - a. Prequalification Classifications list.
 - b. The completed Prequalification Statement of Experience and Equipment with all the required supporting documents.
 - 1. A letter of assurance from your bonding company. The bonding company must indicate the total amount of bonds that they are willing to execute on your behalf and provide a copy of their Power of Attorney.
 - 2. Full set of Financial Statements reviewed or audited by a Certified Public Accountant (CPA) within the last 6 months or accompanied by the Contractor's certificate if the review is more than six (6) months but less than one (1) year old.
 - 3. Sign and notarize the Statement and Release of Financial Information
 - 4. Complete the "Supplement to Section 3.C.2." of the Resolution pertaining to Prequalification. The yard location option is limited to companies located within a 40-mile radius of the City Engineer's office, 420 W. Main Street, Oklahoma City, OK.
 - 5. Supply the contractor's identification numbers.
 - 6. Sign and notarize the Affidavit of Prequalification Applicant.
 - c. A business check or cashier's check or money order for the application processing fee payable to: CITY TREASURER. Per the City Ordinance, an additional \$20 fee will be charged for any returned checks due to insufficient funds.

Omission of any of the listed documents will cause a delay in the processing of your application and additional documentation of information may be required in some instances. The Final Checklist on the cover sheet is for your use.

Contact Robbie Witt at (405) 297.2293, Angee Wagner at (405) 297.2034, or by email at prequal@okc.gov should you have any questions regarding Prequalification requirements or need assistance filling out the application.

* NOTE The applicant may attach any existing documentation in lieu of filing out certain parts of the application (i.e. list of equipment; financial statement) as long as it meets or exceeds the detail and requirements of the City of Oklahoma City application

APPLICATION FOR CONTRACTORS PREQUALIFICATION CERTIFICATE CITY OF OKLAHOMA CITY, OKLAHOMA PREQUALIFICATION STATEMENT OF EXPERIENCE AND EQUIPMENT

Joint Venture Individual Partnership Corporation L.L.C. П L.L.P 1. APPLICANT (Show Complete Legal Name) ORGANIZATION FORM STREET ADDRESS MAILING ADDRESS CITY STATE ZIP PHONE# CONTACT PERSON FAX# CONTRACTOR I.D. NO./FEDERAL TAX I.D. NO. E-MAIL ADDRESS APPLICATION SUBMITTED BY TITLE HOW MANY YEARS HAS THIS ORGANIZATION BEEN IN BUSINESS UNDER YOUR PRESENT BUSINESS NAME? YEARS 2. HAS THIS ORGANIZATION OPERATED UNDER ANY OTHER NAME? YES NO IF YES, WHAT NAME, WHERE, WHEN AND WHY? 3. DESCRIBE CATEGORIES OF WORK THAT THIS ORGANIZATION TO PERFORM AS A CONTRACTOR 4. DESCRIBE CATEGORIES OF WORK THIS ORGANIZATION USUALLY SUBCONTRACTS, IF ANY 5. HAS THIS ORGANIZATION OR ANY OFFICER OR PRINCIPAL OF THIS ORGANIZATION EVER FAILED TO COMPLETE OR REFUSED TO ENTER INTO A CONTRACT AWARDED SAID OFFICER OR ORGANIZATION? YES NO IF YES, WITH WHOM, WHERE, WHEN AND 6. HAS ANY OFFICER OR PRINCIPAL OF THIS ORGANIZATION EVER BEEN AN OFFICER OF ANOTHER ORGANIZATION THAT FAILED TO COMPLETE OR REFUSED TO ENTER A CONSTRUCTION CONTRACT? ☐ YES ☐ NO IF YES, STATE NAME OF INDIVIDUAL, OTHER ORGANIZATION AND REASON THEREFOR: 7. HAS ANY OFFICER OR PRINCIPAL OF THIS ORGANIZATION EVER FAILED TO COMPLETE A CONSTRUCTION CONTRACT IN THEIR NAME? 🛘 YES 🗘 NO IF YES, STATE NAME OF INDIVIDUAL, AND REASON THEREFOR:_ 8. HAS THIS ORGANIZATION OR ANY OFFICER OR PRINCIPAL EVER FAILED TO QUALIFY OR PREQUALIFY AS A BIDDER? ☐ YES ☐ NO IF YES, WHEN, WHERE AND WHY?

9. HAS THIS ORGANIZATIO ☐ YES ☐ NO IF YES, W				PREQUALIFICAT	ion denied, Re\	/OKED OR SUSPENDED?
10. HAS THIS ORGANIZAT ☐ YES ☐ NO IF YES, W				D A LICENSE DI	ENIED, REVOKEI	D OR SUSPENDED?
11. CONSTRUCTION EXP	EDIENO	E OF THE OFFICE	RS AND PRINCIPALS (DE THIS OPGAN	IZATION (Include	a any licenses)
INDIVIDUAL'S NAME	Í	SENT POSITION	YEARS EXPERIENC	ı	LICENSES AND L	
NOTE: Additionally prepare	a State	ment of Experience	for each Officer and Pri	ncipal and attach	to this application	l.
12. MAJOR CONSTRUCTION DURING THE PAST 5 N		JECTS IN PREQU	ALIFICATION CLASS P	ERFORMED		
DESCRIPTION OF PRO	JECT	Including the inspector & thei	N OF PROJECT name of the owner, r addresses & phone umbers	CONTRACT DATE	DATE PROJECT COMPLETED	CONTRACT AMOUNT
						\$
_						

13. CURRENT PROJECTS	IN PROGRESS	: (use additional sheet if necessary)	1		ı	1	1
PROJECT DESCRIPTION	CONTRACT AMOUNT	LOCATION OWNER'S NAME & TELEPHONE NUMBER	PROU SECUI BY I YES N	RED BOND	STARTING DATE	EXPECTED COMPLETION DATE	PERCENT COMPLETE D
						•	
TOTAL BONDING CAPACIT SINGLE PROJECT BONDIN						<u> </u>	
PRESENT BIDDING CAPAC						\$	
		IMPOSED BY BONDING COMPANY	BECAUS	SE OF	ANTICIPATE	ED CONSTRUC	TION
15. NAME AND ADDRESS (OF BONDING C	COMPANY:					
		RGED FOR LIQUIDATED DAMAGES					
		GENT OF ANY BONDING COMPAN' WHY					NS WORK?

	ED BY OSHA REGULATIONS THAT THIS ORGANIZATION IN	TENDS TO USE ON CITY OF
19. LIST OF OSHA VIOLATIONS AND FINES	IN PAST THREE YEARS:	
	RS OF PROJECTS IN THE PAST FIVE YEARS	l <u></u>
OWNER'S NAME	ADDRESS/PHONE NUMBER	CONTRACT AMOUNT
	NATURE OF LITIGATION	
21. DOES THIS ORGANIZATION HAVE A QU	ALITY ASSURANCE /QUALITY CONTROL PROGRAM?	
22. DOES THIS ORGANIZATION HAVE A HEA	ALTH AND SAFETY PROGRAM?	
23. NAMES OF BANKS AND BANK OFFICIAL	.S MANAGING YOUR ACCOUNT WHO CAN AND WILL FURN I	ISH CREDIT INFORMATION
NAME OF BANK	NAME, ADDRESS & PHONE NUMBER OF BANK OFFICIAL	AMOUNT OF CREDIT
		\$
	ı	<u> </u>

DESCRIPTION	YEAR	PRESENT LOCATION
25. LIST OF MATERIAL SUPPLIERS USED		
NAME OF FIRM OR COMPANY	ADDRESS/TE	ELEPHONE NUMBER

26. LIST OF EQUIPMENT LEASING COMPANI	ES USED
NAME OF FIRM OR COMPANY	ADDRESS/TELEPHONE NUMBER
27. ARE ANY OFFICERS OR PRINCIPLES FIN	ANCIALLY INVOLVED IN OTHER BUSINESSES? ☐ YES ☐ NO IF YES, EXPLAIN.
28. DOES THIS ORGANIZATION QUALIFY AS	A MINORITY BUSINESS ENTERPRISE? ☐ YES ☐ NO IF YES, WHAT TYPE?
29. DOES THIS ORGANIZATION QUALIFY AS	A SECTION 8A SMALL BUSINESS? □ YES □ NO IF YES, WHAT TYPE?
	VITH THE PROVISIONS OUTILINED IN HOUSE BILL 1804, ALSO KNOWN AS THE

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Oklahoma Statutes Citationized

Title 25. Definitions and General Provisions

Chapter 21 - Discrimination

Article Article 3. Discrimination in Employment

≦Section 1313 - Public Employers - Status Verification System - Unauthorized Aliens - Contracting and Employment Practices

- A. Every public employer shall register with and utilize a Status Verification System as described in subparagraphs a or b of paragraph 1 of Section 6 of this act to verify the federal employment authorization status of all new employees.
- B. 1. After July 1, 2008, no public employer shall enter into a contract for the physical performance of services within this state unless the contractor registers and participates in the Status Verification System to verify the work eligibility status of all new employees.
- 2. After July 1, 2008, no contractor or subcontractor who enters into a contract with a public employer shall enter into such a contract or subcontract in connection with the physical performance of services within this state unless the contractor or subcontractor registers and participates in the Status Verification System to verify information of all new employees.
- 3. The provisions of this subsection shall not apply to any contracts entered into prior to the effective date of this section even though such contracts may involve the physical performance of services within this state after July 1, 2008.
- C. 1. It shall be a discriminatory practice for an employing entity to discharge an employee working in Oklahoma who is a United States citizen or permanent resident alien while retaining an employee who the employing entity knows, or reasonably should have known, is an unauthorized alien hired after July 1, 2008, and who is working in Oklahoma in a job category that requires equal skill, effort, and responsibility, and which is performed under similar working conditions, as defined by 29 U.S.C., Section 206(d)(1), as the job category held by the discharged employee.
- 2. An employing entity which, on the date of the discharge in question, was currently enrolled in and used a Status Verification System to verify the employment eligibility of its employees in Oklahoma hired after July 1, 2008, shall be exempt from liability, investigation, or suit arising from any action under this section.
- 3. No cause of action for a violation of this subsection shall arise anywhere in Oklahoma law but from the provisions of this subsection.

Contractor's Certificate

Date Submitted		
I hereby certify that the attached Financia	al Statement fairly presents the financial con-	dition of
	as of	
	SIGNATURE	
	TITLE	
Subscribed and sworn to before me this	day of, 2	20
NOTARY PUBLIC		
My Commission Expires		

Supplement to Section 3.C.2.

Indicate and complete the item that applies. It is the intent of this organization to: 1. YARD LOCATION: BUSINESS ADDRESS: _____ PHONE NUMBERS: ___ **EMERGENCY CONTACTS AND PHONE NUMBERS** 2. 15% cash retainage of the total contract amount to be deposited in advance of the Contract award and retained for the duration of the maintenance period 3. DEFECT BOND. (Copy of sample Bond Attached) NAME OF SURETY NAME OF SURETY'S AGENT ADDRESS OF SURETY'S AGENT TELEPHONE OF SURETY'S AGENT Signature of Applicant Approved City Engineer Subscribed and sworn to before me this ______ day of ______, 20___.

NOTARY PUBLIC

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Date	
Prequalification Review Board The City of Oklahoma City 420 W. Main Street, 7th Floor Oklahoma City, OK 73102	
RE:	
To Whom It May Concern:	
	poses and the City of Oklahoma City and Trusts should cific bonded projects for additional information
	tractor's Name) is currently applying for pre-qualification rusts. This is to advise the Pre-qualification Review Board acial information dated
the amounts of \$ (Single	ety Name) is willing to entertain bonds for this company up to e Job) / \$ (Aggregate), subject to the usual handles bonding requirements for this company in the bb) / \$ (Aggregate).
	equalification Review Board, within a reasonable period of time, applicant and the stated surety should cease.
Please understand that any arrangement ourselves and we assume no liability to a	for surety credit is a matter between contractor/applicant and ny third parties.
Name of Company or Agency	Name of the Contractor
Signature and Title	Signature and Title
Address	
Phone Number	

PLEASE READ THIS LETTER CAREFULLY BEFORE SIGNING

Facsimile Number

CONTRACTOR IDENTIFICATION NUMBERS

This form is to be completed and submitted with the Application.

Name of Contractor:	
The Contractor provides the following Identification Nu	umbers:
Oklahoma Tax Commission	
Oklahoma Employment Security Commission	
Internal Revenue Service	
Social Security Administration	

AFFIDAVIT OF PREQUALIFICATION APPLICANT CONFIRMING COMPLIANCE WITH FEDERAL, STATE, AND LOCAL LAWS, STATUTES, ORDINANCES, REGULATIONS, AND REQUIREMENTS

STATE OF OKLAHOMA)
) ss.
COUNTY OF OKLAHOMA)

The undersigned, of lawful age, being duly sworn, upon his/her oath, deposes and says:

- 1. That the undersigned individual is the applicant company's authorized agent who has the lawful authority to execute the within and foregoing application for, and on behalf of, the applicant.
- 2. That the authorized agent and applicant company acknowledges and affirms its applicant company's duty to become knowledgeable of applicable federal, state and the City of Oklahoma City laws, regulations and requirements.
- 3. That the authorized agent and the applicant company will make itself and its applicant company knowledgeable of applicable federal, state and the City of Oklahoma City laws, regulations and requirements.
- 4. That the authorized agent and its applicant company has complied with and will maintain compliance with applicable federal, state and the City of Oklahoma City laws and federal, state and local statutes, codes, ordinances, regulations and requirements, including but not limited to:
 - a. Occupational Safety and Health Administration (OSHA)
 - b. Oklahoma Department of Environmental Quality (ODEQ)
 - c. Corps of Engineers (COE)
 - d. Americans with Disabilities Act (ADA)
 - e. Building, plumbing, electrical, storm water, structural codes,
 - f. Occupational and licensing requirements,
 - g. Status Verification System (25 O.S. 1312 et seq., as amended. The applicant should note, and discuss with its legal counsel, the applicability of Oklahoma Statues Title 25, section 1313, if any.)
 - h. Such other of applicable federal, state and Oklahoma City laws, statutes, codes, ordinances, regulations and requirements as may be applicable to President, owner, manager, authorized agent or its activities.
- 5. That the authorized agent and its company have complied with and will maintain, at a minimum, compliance with the OSHA 10-hour Construction Certification for all of the applicant company's employees.
- 6. That the authorized agent and its company will comply with any amendments and additions to applicable federal, state and Oklahoma City laws, regulations and requirements upon and after its respective effective date.
- 7. That the applicant company will indemnify and hold harmless the City and its public trusts for applicant company and applicant company's contractor's and subcontractor's failure to comply with applicable federal, state and Oklahoma City laws, regulations and requirements.

FURTHER AFFIANT SAYETH NOT.

DATED this day	, 20 .	
Signed by Applicant's Author	rized Agent:	
Title:	Print Name:	
Subscribed and sworn to before	re me this day of	, 20
	Notary Public	
Commission Expires:	Commission Number	er:

STATEMENT AND RELEASE OF FINANCIAL INFORMATION

Ibeing an age	ent of	
do hereby attest that the foregoing Prequalifi Financial Statement is true and accurate and all references and obtain credit and financial hereof.	cation Statement of Experience authorize The City of Oklahom	a City to investigate
Dated this day of	, 20	
	SIGNATURE	
	TITLE	
Subscribed and sworn to before me this	day of	, 20
NOTARY PUBLIC	_	
My Commission Expires		
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** Refer to Prequalification Classification Categories List for Classification Requirements

SELECT	CLASSIFICATIONS							
ROAD CONSTRUCTION								
	Bridge Contractor "A"							
	Bridge Contractor "B"							
	Bridge Contractor "C"							
П	Paving Contractor "A"							
	Paving Contractor "B"							
	Paving Contractor "C"							
	Paving Contractor "D"							
П	Stormwater Contractor "A"							
	Stormwater Contractor "B"							
	Stormwater Contractor "C"							
BUILDING CONSTRUCTION								
	Building Contractor "A"							
	Building Contractor "B"							
	Building Contractor "C"							
	Demolition Contractor "A"							
	Roofing Contractor "A"							
	Roofing Contractor "B"							
	TRAFFIC CONSTRUCTION							
	Embedded Rail Contractor "A"							
	Embedded Rail Contractor "B"							
	Cantilever and DC Electrical Systems "A"							
	Cantilever and DC Electrical Systems "B"							
	Signal Installation "A"							
	Signal Installation "B"							
	Traffic Control and Striping "A"							
	Traffic Control and Striping "B"							

** Refer to Prequalification Classification Categories List for Classification Requirements

UTILITIES						
	Water Pipeline Contractor "A" PCCP					
	Water Pipeline Contractor "A" Steel					
	Water Pipeline Contractor "A"					
	Water Pipeline Contractor "B"					
	Water Pipeline Contractor "C"					
	Water Pipeline Contractor "D"					
	Water Facilities Contractor "A"					
	Water Facilities Contractor "B"					
	Wastewater Pipeline Contractor "A"					
	Wastewater Pipeline Contractor "B"					
	Wastewater Pipeline Contractor "C"					
	Wastewater Facilities Contractor "A"					
	Wastewater Facilities Contractor "B"					
SPECIALTIES						
	Bore Contractor "A"					
	Bore Contractor "D"					

Classification	Project/Client/Location	Reference (Contact /Phone)	Key Team Members	Completion Date	\$ Contract / \$ Subcontract	Description of Work Performed
Water Pipeline	Conduit 15 Replacement Project City of Oklahoma City NW 36 th Street to NW 42 nd Street from North May Avenue to Drexel Oklahoma City, Oklahoma	City of Oklahoma City Brad Rhodes 420 W. Main Street, Ste 700 Oklahoma City, OK 73102 405-297-2034	Pam Darner, P.E. Project Engineer Bill Woods, P.E. Project Manager Sean Smith, Superintendent	5/2019	\$10,400,000 Contract Price Our Subcontract Price was \$2,350,000	Constructability Services during design Subcontractor to ABC General Contracting for Installation of Conduit No 15 - 24-Inch Recycled Water Pipeline in Oklahoma City. Approximately 7,000 feet of 24-inch water pipeline and appurtenances, Constructed in 2 phases. Includes fifty" bore under an existing railroad track. Open cut installation remainder.
Water Pipeline	Booster Pump Station City of Tulsa 51st & Riverside Tulsa, OK	City of Tulsa Justin Smith 200 Civic Center Tulsa, OK 74103 918-596-9566	Sam Wright, P.E. Project Engineer Amy Sims, P.E. Project Manager Megan Banks, Superintender	11/2018	\$5,600,000 Contract Price Our Subcontract Price was \$581,860	Packaged booster pump station, 500 LF 12" waterline
Water Pipeline	Columbus Upground Reservoir City of Columbus Columbus, OH	City of Columbus Ryan Scott 111 N. Front Street Columbus, OH 614-645-8270	Jim Warner, P.E. Project Engineer Eric Van Horn, P.E. Project Manager Sean Smith, Superintendent	5/2018	\$14,973,899 Contract Price	Approximately 46,580 LF of 72" steel water pipeline, installation of valves, vaults, electrical equipment. 6 Manholes. Dewatering by deep wells spaced approximately 1000 feet apart. Maximum soil depth: 16'. Project included 20,000 LF of road stabilization, 20,000 LF of road paving, cathodic protection, 6 each 72" butterfly valves, 16 each 12" air release valves, 3 creek crossing.
Paving	Paving Repair Project City of Oklahoma City NW 36 th Street to NW 42 nd Street from North May Avenue to Drexel Oklahoma City, Oklahoma	City of Oklahoma City Brad Rhodes 420 W. Main Street, Ste 700 Oklahoma City, OK 73102 405-297-2034	Pam Darner, P.E. Project Engineer Bill Woods, P.E. Project Manager Sean Smith, Superintendent	5/2019	\$10,400,000 Contract Price Our Subcontract Price was \$2,350,000	Installation of Approximately 13,500 square yards of asphalt. • Milled all areas to a depth of 3". • Asphalt overlay all areas 3".
Paving	Homes by Tulsa Spring Creek Edition City of Tulsa 51st & Riverside Tulsa, OK	City of Tulsa Justin Smith 200 Civic Center Tulsa, OK 74103 918-596-9566	Sam Wright, P.E. Project Engineer Amy Sims, P.E. Project Manager Megan Banks, Superintendent	11/2018	\$5,600,000 Contract Price Our Subcontract Price was \$581,860	Approximately 133 square yards new residential asphalt installation. • Removal of existing 6-30 Curb/Gutter and installation of new 24' Curb Cut. • Overlay existing gravel with 1.5" of BM2 Asphalt Binder, and 3" of asphalt. Installed 16' steel culvert w/ Two 8' head walls (1 on either side) with 2' kick-outs.

*Experience listed should be detailed and demonstrate the minimum requirements of each classification are met